#### MKS INSTRUMENTS INC

Form 4 June 28, 2006

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0

Check this box if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Number: 3235-0287 Expires: January 31, 2005

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

obligations

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

**SECURITIES** 

may continue.

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person \*

EMERSON ELECTRIC CO			Symbol MKS INSTRUMENTS INC [MKSI]					Issuer				
						_	MIKSIJ	(Check all applicable)				
	(Last)	(First)	(Middle)		of Earliest	Transaction	1		<b>5</b> .	** 40.	· .	
	9000 W E	LORISSANT AV	/IC		Day/Year)			-	Director Officer (give t	X 109		
	0000 W.F	LOKISSANI AV	E.	06/26/	2006			ŀ	pelow)	below)	or (openity	
(Street)			4. If An	nendment, I	Date Origin	al	(	6. Individual or Jo	int/Group Fili	ng(Check		
				Filed(M	onth/Day/Ye	ear)		1	Applicable Line)			
								-	Form filed by O	1 0		
	ST LOUIS	, MO 63136							_X_ Form filed by More than One Reporting Person			
(City) (State) (Zip)		(Zip)	Table I. New Desiration Committee Asses					quired, Disposed of, or Beneficially Owned				
								-	, <u> </u>		·	
	1.Title of	2. Transaction Date			3.			equired (A)	5. Amount of Securities	6. Ownership	7. Nature of Indirect	
	Security (Month/Day/Year) Execution (Instr. 3) any		Date, if Transaction Disposed of (D) Code (Instr. 3, 4 and 5)					Beneficially	Form:	Beneficial		
	(,		(Month/D	ay/Year)	(Instr. 8)	(,		,	Owned	Direct (D)	Ownership	
									Following	or Indirect	(Instr. 4)	
							(A)		Reported Transaction(s)	(I) (Instr. 4)		
							or		(Instr. 3 and 4)	(IIISu. 4)		
					Code V	Amount	(D)	Price	(		7D1 1	
	Common	06/26/2006			C	25 000	Ъ	\$	5 522 405	т	Through a	
	Stock	06/26/2006			S	25,000	D	19.6537 (1) (2)	5,522,405	I	subsidiary	
								(1) (2)			(3)	
	Common							\$			Through a	
	Stock	06/27/2006			S	25,000	D	19.6829	5,497,405	I	subsidiary	
								<u>(4)</u> <u>(5)</u>			(3)	
	Common								1 065 192	D (6)		
	Stock								1,065,182	<u>U</u>		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form

SEC 1474

(9-02)

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# displays a currently valid OMB control number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Titl	le and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration D	ate	Amou	ınt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Owne
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A 4		
									Amount		
						Date	Expiration	m: .1	or		
						Exercisable	Date	Title	Number		
				~	<i>(</i> 1)				of		
				Code V	(A) (D)				Shares		

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
,	Director	10% Owner	Officer	Other			
EMERSON ELECTRIC CO 8000 W. FLORISSANT AVE. ST LOUIS, MO 63136		X					
ASTEC AMERICA INC 5810 VAN ALLEN WAY CARLSBAD, CA 92008		X					

### **Signatures**

/s/ Timothy G. Westman, Assistant Secretary for Emerson Electric Co.	06/28/2006
**Signature of Reporting Person	Date
/s/ Timothy G. Westman, Secretary for Astec America Inc.	06/28/2006
**Signature of Reporting Person	Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The sales were effected in multiple transactions, at varying prices, on June 26, 2006, as follows and as described in Footnote 2 below: 483 shares at \$19.37; 700 at \$19.38; 400 at \$19.40; 617 at \$19.41; 200 at \$19.42; 400 at \$19.43; 400 at \$19.44; 600 at \$19.45; 300 at \$19.46; 100 at \$19.47; 300 at \$19.48; 524 at \$19.50; 200 at \$19.51; 400 at \$19.52; 200 at \$19.53; 300 at \$19.54; 600 at \$19.55; 400 at \$19.56; 583 at \$19.57; 900 at \$19.60; 400 at \$19.62; 400 at \$19.63; 600 at \$19.66; 900 at \$19.67; 1,000 at \$19.68; and 1,280 at \$19.69.
- (2) This footnote sets forth additional detail with respect to the transactions described in Footnote 1, as follows: 744 shares at \$19.70; 669 at \$19.71; 200 at \$19.72; 330 at \$19.73; 200 at \$19.74; 2,700 at \$19.75; 1,800 at \$19.76; 1,300 at \$19.77; 200 at \$19.78; 400 at \$19.79; 200

Reporting Owners 2

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at \$19.80; 800 at \$19.81; 300 at \$19.82; 700 at \$19.83; 200 at \$19.84; 200 at \$19.85; 100 at \$19.86; 570 at \$19.87; and 200 at \$19.88. The weighted average sales price for these transactions was \$19.6537 per share.

- (3) The reported securities are owned directly by Astec America Inc. The Reporting Person is the ultimate parent company of Astec America Inc.
- The sales were effected in multiple transactions, at varying prices, on June 27, 2006, as follows and as described in Footnote 5 below: 100 shares at \$19.48; 700 at \$19.49; 500 at \$19.50; 100 at \$19.51; 500 at \$19.52; 500 at \$19.53; 100 at \$19.54; 359 at \$19.55; 200 at \$19.56; 400 at \$10.57; 800 at \$10.57; 800 at \$10.58; 1.300 at \$10.50; 2.844 at \$10.60; 1.680 at \$10.61; 377 at \$10.62; 323 at \$10.63; 623 at \$10.64; 500 at \$10.50; 100 at
- 300 at \$19.57; 800 at \$19.58; 1,300 at \$19.59; 2,844 at \$19.60; 1,680 at \$19.61; 377 at \$19.62; 323 at \$19.63; 633 at \$19.64; 500 at \$19.65; 300 at \$19.66; 1,200 at \$19.67; 500 at \$19.68; 1,200 at \$19.69; 100 at \$19.70; 1,200 at \$19.71; and 500 at \$19.72.
- This footnote sets forth additional detail with respect to the transactions described in Footnote 4, as follows: 400 shares at \$19.73; 421 at \$19.74; 560 at \$19.75; 384 at \$19.76; 500 at \$19.78; 200 at \$19.79; 1,219 at \$19.80; 100 at \$19.81; 300 at \$19.82; 800 at \$19.83; 324 at \$19.84; 576 at \$19.85; 200 at \$19.86; 189 at \$19.87; 1,211 at \$19.88; 300 at \$19.89; 200 at \$19.90; 100 at \$19.91; and 200 at \$20.04. The weighted average sales price for these transactions was \$19.6829 per share.
- (6) The reported securities are owned directly by Emerson Electric Co.

#### **Remarks:**

#### See Exhibit 99.1 - Joint Filer Information

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.