

ADVANCED ENERGY INDUSTRIES INC  
Form SC 13G/A  
February 13, 2009

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

**SCHEDULE 13G**

**(Rule 13d-102)**

**(Amendment No. 3)<sup>1</sup>**

Advanced Energy Industries, Inc.  
(Name of Issuer)

Common Stock, \$0.001 par value  
(Title of Class of Securities)

007973 10 0

(CUSIP Number)

December 31, 2008

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

☐ Rule 13d-1(b)

☐ Rule 13d-1(c)

☐ Rule 13d-1(d)

<sup>1</sup> The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934 or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

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CUSIP No. 007973 10 0

13G

Page 2 of 7 Pages

1. NAME OF REPORTING PERSONS  
I.R.S. IDENTIFICATION NO. OF ABOVE PERSONS (ENTITIES ONLY)

Douglas S. Schatz & Jill E. Schatz Family Trust

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP \*

2. (a) ☐  
(b) ☐

SEC USE ONLY

3.

CITIZENSHIP OR PLACE OF ORGANIZATION

4.

WYOMING

SOLE VOTING POWER

5.

NUMBER OF 8,655,722

SHARED VOTING POWER

6.

SHARES  
BENEFICIALLY OWNED BY 136,668

SOLE DISPOSITIVE POWER

7.

EACH  
REPORTING PERSON 8,655,722

SHARED DISPOSITIVE POWER

8.

WITH 136,668

AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

9.

8,792,390

CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES\*

10.

o

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

11.

21.0 %

TYPE OF REPORTING PERSON\*

12.

OO

**\*SEE INSTRUCTIONS BEFORE FILLING OUT!**

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CUSIP No. 007973 10 0

**13G**

Page 3 of 7 Pages

- 1.** NAME OF REPORTING PERSONS  
I.R.S. IDENTIFICATION NO. OF ABOVE PERSONS (ENTITIES ONLY)

Douglas S. Schatz

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP\*

- 2.**  
(a) ☐  
(b) ☐

SEC USE ONLY

**3.**

CITIZENSHIP OR PLACE OF ORGANIZATION

**4.**

UNITED STATES

SOLE VOTING POWER

**5.**

NUMBER OF 8,655,722

SHARED VOTING POWER

**6.**

SHARES  
BENEFICIALLY OWNED BY 136,668

SOLE DISPOSITIVE POWER

**7.**

EACH  
REPORTING PERSON 8,655,722

SHARED DISPOSITIVE POWER

**8.**

WITH 136,668

AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

**9.**

8,792,390

CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES\*

10.

☐

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

11.

21.0 %

TYPE OF REPORTING PERSON\*

12.

IN

**\*SEE INSTRUCTIONS BEFORE FILLING OUT!**

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CUSIP No. 007973 10 0

13G

Page 4 of 7 Pages

1. NAME OF REPORTING PERSONS  
I.R.S. IDENTIFICATION NO. OF ABOVE PERSONS (ENTITIES ONLY)

Jill E. Schatz

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP\*

2. (a) ☐  
(b) ☐

3. SEC USE ONLY

4. CITIZENSHIP OR PLACE OF ORGANIZATION  
UNITED STATES

5. SOLE VOTING POWER

NUMBER OF 8,655,722

6. SHARES SHARED VOTING POWER  
BENEFICIALLY OWNED BY 136,668

7. EACH SOLE DISPOSITIVE POWER  
REPORTING PERSON 8,655,722

8. WITH SHARED DISPOSITIVE POWER  
136,668

9. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON  
8,792,390

CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES\*

10.

☐

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

11.

21.0 %

TYPE OF REPORTING PERSON\*

12.

IN

**\*SEE INSTRUCTIONS BEFORE FILLING OUT!**

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Item 1(a). Name of Issuer

Advanced Energy Industries, Inc.

Item 1(b). Address of Issuer's Principal Executive Offices

1625 Sharp Point Drive  
Fort Collins, CO 80525

Item 2(a). Names of Person Filing

Douglas S. Schatz & Jill E. Schatz Family Trust  
Douglas S. Schatz  
Jill E. Schatz

Item 2(b). Address of Principal Business Office or, if None, Residence

PO Box 481  
Fort Collins, CO 80522

Item 2(c). Citizenship

Douglas S. Schatz & Jill E. Schatz Family Trust    Wyoming  
Douglas S. Schatz    United States  
Jill E. Schatz    United States

Item 2(d). Title of Class of Securities

Common Stock, \$0.001 par value

Item 2(e). CUSIP Number

007973 10 0

Item 3. If this statement is filed pursuant to Rule 13d-1(b) or 13d-2(b) or (c), check whether the person filing is a:

Not applicable

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Item 4. Ownership

(a) Amount Beneficially Owned:

Douglas S. Schatz & Jill E. Schatz Family Trust **8,792,390** shares

Douglas S. Schatz **8,792,390** shares

Jill E. Schatz **8,792,390** shares

(b) Percent of Class:

Douglas S. Schatz & Jill E. Schatz Family Trust **21.0 %**

Douglas S. Schatz **21.0 %**

Jill E. Schatz **21.0 %**

(c) Number of shares as to which such person has:

Douglas S. Schatz & Jill E. Schatz Family Trust and Douglas S. Schatz:

(i) sole power to vote or to direct the vote: 8,655,722

(ii) shared power to vote or to direct the vote: 136,668\*\*

(iii) sole power to dispose or to direct the disposition of: 8,655,722

(iv) shared power to dispose or to direct the disposition of: 136,668\*\*

Jill E. Schatz:

(i) sole power to vote or to direct the vote: 8,655,722

(ii) shared power to vote or to direct the vote: 136,668\*\*

(iii) sole power to dispose or to direct the disposition of: 8,655,722

(iv) shared power to dispose or to direct the disposition of: 136,668\*\*

\*\* Mr. and  
Mrs. Schatz are  
trustees of a  
charitable  
foundation that  
is the record  
holder of  
136,668 shares  
of common

stock of the  
issuer. The two  
other trustees of  
the charitable  
foundation are  
members of Mr.  
and Mrs.  
Schatz's  
immediate  
family.  
Accordingly,  
Mr. Schatz and  
Mrs. may be  
deemed to share  
with the other  
trustees voting  
and dispositive  
power with

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respect to the  
charitable  
foundation s  
136,668 shares.  
Mr. and  
Mrs. Schatz  
disclaim  
beneficial  
ownership of  
the shares held  
by the charitable  
foundation.

Item 5. Ownership of Five Percent or Less of a Class

Not applicable

Item 6. Ownership of More than Five Percent on Behalf of Another Person

Not applicable

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported By the Parent Holding Company

Not applicable

Item 8. Identification and Classification of Members of the Group

Not applicable

Item 9. Notice of Dissolution of Group

Not applicable

Item 10. Certification

Not applicable

**SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: February 13, 2009

DOUGLAS S. SCHATZ &  
JILL E. SCHATZ FAMILY TRUST

By: /s/ Douglas S. Schatz  
Douglas S. Schatz, Trustee

By: /s/ Jill E. Schatz  
Jill E. Schatz, Trustee

By: /s/ Douglas S. Schatz  
Douglas S. Schatz

By: /s/ Jill E. Schatz  
Jill E. Schatz