

Edgar Filing: MCINTYRE J SCOTT JR - Form SC 13G/A

MCINTYRE J SCOTT JR  
Form SC 13G/A  
February 14, 2002

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934  
(Amendment No. 1 )\*

-----  
United Fire & Casualty Company

-----  
(Name of Issuer)

Common Stock \$3.33 1/3 par value

-----  
(Title of Class of Securities)

910331107

-----  
(CUSIP Number)

Various. See attached Exhibit B.

-----  
(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

- Rule 13d-1(b)  
 Rule 13d-1(c)  
 Rule 13d-1(d)

\*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP No. 910331107

-----  
Names of Reporting Persons.

1 I.R.S. Identification Nos. of above persons (entities only).

J. Scott McIntyre, Jr.

-----  
Check the Appropriate Box if a Member of a Group (See Instructions)

2 N/A (a)   
(b)

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SEC Use Only

3

Citizenship or Place of Organization

4

United States of America

Sole Voting Power

5

Number of shares

1,459,526

Shared Voting Power

Beneficially Owned by

6

55,035

Each reporting Person

7

Sole Dispositive Power

1,459,526

With

8

SHARED DISPOSITIVE POWER

55,035

Aggregate Amount Beneficially Owned by Each Reporting Person

9

1,514,561

Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)

10

N/A

Percent of Class Represented by Amount in Row (9)

11

15.1%

Type of Reporting Person (See Instructions)

12

IN

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CUSIP No. 910331107

Names of Reporting Persons.

1

I.R.S. Identification Nos. of above persons (entities only).

J. Scott McIntyre Revocable Trust dated December 8, 1992

Check the Appropriate Box if a Member of a Group (See Instructions)

2

N/A

(a)

(b)

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3

Citizenship or Place of Organization

4

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State of Iowa		
		Sole Voting Power
Number of shares	5	1,112,706
		Shared Voting Power
Beneficially Owned by	6	0
		Sole Dispositive Power
Each reporting Person	7	1,112,706
		SHARED DISPOSITIVE POWER
With	8	0
9	Aggregate Amount Beneficially Owned by Each Reporting Person	
	1,112,706	
10	Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)	
	N/A	<input type="checkbox"/>
11	Percent of Class Represented by Amount in Row (9)	
	11.1%	
12	Type of Reporting Person (See Instructions)	
	00	

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ITEM 1 (A) NAME OF ISSUER:

United Fire & Casualty Company

ITEM 1 (B) ADDRESS OF ISSUER'S PRINCIPAL EXECUTIVE OFFICES:

118 Second Avenue SE  
Cedar Rapids, Iowa 52401

ITEM 2 (A) NAME OF PERSON FILING:

The persons filing this Schedule 13G are: \*

- (1) J. Scott McIntyre, Jr.
- (2) J. Scott McIntyre Revocable Trust dated December 8, 1992

\* Attached to this Schedule 13G as Exhibit A is a Joint Filing Agreement between the persons specified above that this Schedule 13G is being filed on behalf of each of them.

ITEM 2 (B) ADDRESS OF PRINCIPAL BUSINESS OFFICE OR, IF NONE, RESIDENCE:

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2222 First Avenue NE, #1004  
Cedar Rapids, Iowa 52402

ITEM 2(C) CITIZENSHIP:

J. Scott McIntyre, Jr. is a citizen of the United States of America. The J. Scott McIntyre Revocable Trust dated December 8, 1992 was formed under the laws of the State of Iowa.

ITEM 2(D) TITLE OF CLASS OF SECURITIES:

Common Stock \$3.33 1/3 par value

ITEM 2(E) CUSIP NUMBER:

910331107

ITEM 3 IF THIS STATEMENT IS FILED PURSUANT TO ss.240.13d-1(b) OR 240.13d-2(b) or (c), CHECK WHETHER THE PERSON FILING IS A:

Not applicable.

- (a)  Broker or dealer registered under section 15 of the Act (15 U.S.C. 78o).
- (b)  Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c).
- (c)  Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c).
- (d)  Investment company registered under section 8 of the Investment Company Act

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of 1940 (15 U.S.C 80a-8)

- (e)  An investment adviser in accordance with (S)240.13d-1(b)(1)(ii)(E);
- (f)  An employee benefit plan or endowment fund in accordance with (S)240.13d-1(b)(1)(ii)(F);
- (g)  A parent holding company or control person in accordance with (S)240.13d-1(b)(1)(ii)(G);
- (h)  A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
- (i)  A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
- (j)  Group, in accordance with (S)240.13d-1(b)(1)(ii)(J).

ITEM 4 OWNERSHIP

(A) AMOUNT BENEFICIALLY OWNED

(1) J. Scott McIntyre is the beneficial owner of 1,514,561 shares of Common Stock of the Company, consisting of (i) 320 shares held personally; (ii) 55,035 shares held by the McIntyre Foundation of which Mr. McIntyre is President, Secretary and Treasurer and one of four directors; (iii) 1,112,706 shares held

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by the J. Scott McIntyre Revocable Trust dated December 8, 1992, of which Mr. McIntyre is Trustee; (iv) 121,500 shares held by the Mildred R. McIntyre Irrevocable Trust dated April 27, 1989, of which Mr. McIntyre is Trustee; and (v) 225,000 shares held by the Dee Ann McIntyre Trust, of which Mr. McIntyre is Trustee.

(2) The J. Scott McIntyre Revocable Trust dated December 8, 1992 is the direct owner and thus the beneficial owner of 1,112,706 shares of Common Stock of the Company.

(B) PERCENT OF CLASS:

The 1,514,561 shares reported as beneficially owned by J. Scott McIntyre constitute 15.1% of the issued and outstanding shares of Common Stock of the Company on December 31, 2001. The 1,112,706 shares held by the J. Scott McIntyre Revocable Trust dated December 8, 1992 constitute 11.1% of the issued and outstanding shares.

(C) NUMBER OF SHARES TO WHICH THE PERSON HAS:

(1) J. Scott McIntyre

- (i) Sole power to vote or to direct the vote: 1,459,526
- (ii) Shared power to vote or to direct the vote: 55,035
- (iii) Sole power to dispose or to direct the disposition of: 1,459,526
- (iv) Shared power to dispose or to direct the disposition of: 0

(2) J. Scott McIntyre Revocable Trust dated December 8, 1992

- (i) Sole power to vote or to direct the vote: 1,112,706
- (ii) Shared power to vote or to direct the vote: 0
- (iii) Sole power to dispose or to direct the disposition of: 1,112,706
- (iv) Shared power to dispose or to direct the disposition of: 0

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ITEM 5 OWNERSHIP OF FIVE PERCENT OR LESS OF A CLASS

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following [ ].

Not applicable.

ITEM 6 OWNERSHIP OF MORE THAN FIVE PERCENT ON BEHALF OF ANOTHER PERSON.

Not applicable.

ITEM 7 IDENTIFICATION AND CLASSIFICATION OF THE SUBSIDIARY WHICH ACQUIRED THE SECURITY BEING REPORTED ON BY THE PARENT HOLDING COMPANY

Not applicable.

ITEM 8 IDENTIFICATION AND CLASSIFICATION OF MEMBERS OF THE GROUP

Not applicable.

ITEM 9 NOTICE OF DISSOLUTION OF A GROUP

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Not applicable.

ITEM 10 CERTIFICATION

Not applicable.

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SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: February 12, 2002

/s/ J. Scott McIntyre, Jr.  
-----

J. Scott McIntyre, Jr.

Dated: February 12, 2002

J. Scott McIntyre Revocable Trust  
dated December 8, 1992

By: /s/ J. Scott McIntyre, Jr.  
-----

J. Scott McIntyre Jr., Trustee

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EXHIBIT A TO SCHEDULE 13G

JOINT FILING AGREEMENT

J. Scott McIntyre, Jr., individually, and the J. Scott McIntyre Revocable Trust dated December 8, 1992 hereby agree and consent to the joint filing on their behalf of the foregoing amendment to Schedule 13G relating to their beneficial ownership of the Common Stock of United Fire & Casualty Company.

Dated: February 12, 2002

/s/ J. Scott McIntyre, Jr.  
-----

J. Scott McIntyre, Jr.

Dated: February 12, 2002

J. Scott McIntyre Revocable Trust  
dated December 8, 1992

By: /s/ J. Scott McIntyre, Jr.  
-----

J. Scott McIntyre Jr., Trustee

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EXHIBIT B TO SCHEDULE 13G  
J. Scott McIntyre, Jr.

J. Scott McIntyre, Jr. filed his initial Schedule 13G on February 9, 1988, reporting sole voting power and sole dispositive power with respect to 419,007 shares. The Reporting Persons filing jointly on this Amendment No. 1 are J. Scott McIntyre, Jr. and the J. Scott McIntyre Revocable Trust dated December 8, 1992. Mr. McIntyre created the J. Scott McIntyre Revocable Trust dated December 8, 1992 in 1992 for estate planning purposes. Mr. McIntyre is the sole trustee of the trust. He may terminate the trust at any time. Although the trust is included as a Reporting Person, the shares owned by the trust are, for all other purposes, treated as if owned by Mr. McIntyre.

Schedule A shows current ownership and transactions from 1988 through December 31, 2001, for the Reporting Persons and for three other entities, the McIntyre Foundation, the Mildred R. McIntyre Irrevocable Trust and the Dee Ann McIntyre Trust (herein, the "Other Entities"). Mr. McIntyre created the McIntyre Foundation as a charitable foundation in 1997; Mr. McIntyre is the President, Secretary and Treasurer of the Foundation and is one of four directors. Mildred R. McIntyre, Mr. McIntyre's mother, created the Mildred R. McIntyre Irrevocable Trust; Mr. McIntyre is the trustee of that trust. Mr. McIntyre created the Dee Ann McIntyre Trust for the benefit of his wife in 1995; Mr. McIntyre is the trustee of that trust. The group consisting of the Reporting Persons and the Other Entities is referred to as the "Indicated Entities." By virtue of his positions with the Foundation and as trustee of the Mildred R. McIntyre Irrevocable Trust and the Dee Ann McIntyre Trust, Mr. McIntyre is deemed to beneficially own the shares directly owned by the Foundation and those trusts.

All transactions that involve one or more of the Indicated Entities are reported on Schedule A. Where transactions are among two of the Indicated Entities, appropriate corresponding entries reflect the transaction; such transactions are noted as "transfers." Where transactions involve only one of the Indicated Entities there is no corresponding entry. With respect to those transactions where there is no corresponding entry, please note the following:

- . all of the transactions described as "gift received," regardless of the recipient, were gifts received from the Mildred R. McIntyre Revocable Trust;
- . all of the transactions described as "gift given" were outright gifts made by the donor;
- . the three transactions noted as "stock dividend" were stock dividends issued by the Issuer;
- . the seven transactions noted as "buy" were open-market acquisitions;
- . the two transactions noted as "service award" were shares issued to Mr. McIntyre by the Issuer; and
- . the five transactions noted as "transfer to irrevocable trust" are transfers to an irrevocable trust established by Mr. McIntyre for estate planning purposes. Mr. McIntyre is not the beneficial owner of shares owned by the irrevocable trust.

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SCHEDULE A

Date	Description of Transaction	Scott McIntyre Individually		McIntyre Foundation		Scott McIntyre Revocable
		Transaction Amount	Total Shares Owned	Transaction Amount	Total Shares Owned	Transaction Amount
2/4/88	as reported		419,007			
2/9/88	gift received	400	419,407			
3/16/88	transfer to irrevocable trust	(2,000)	417,407			
1/6/89	gift received	327	417,734			
1/25/89	transfer to irrevocable trust	(1,500)	416,234			
4/27/89	gift received					
1/3/90	gift received	304	416,538			
1/5/90	transfer to irrevocable trust	(1,500)	415,038			
9/25/90	gift given	(624)	414,414			
1/3/91	gift received	290	417,704			
1/3/91	gift given	(580)	414,124			
1/29/91	transfer to irrevocable trust	(1,500)	412,624			
1/2/92	gift received	222	412,846			
1/8/92	transfer to irrevocable trust	(1,000)	411,846			
1/8/92	gift given	(444)	411,402			
3/24/92	gift given	(182)	411,220			
6/15/92	stock dividend	205,610	616,830			
12/9/92	transfer	(340,755)	276,075			340,755
1/25/93	gift received					250
1/25/93	transfer	750	276,825			(750)



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1/25/93	gift given	(750)	276,075	
3/18/93	transfer	250	276,325	(250)
3/18/93	gift given	(250)	276,075	
4/6/93	transfer	(269,000)	7,075	269,000
6/9/93	service award	45	7,120	
1/10/94	gift received			275
1/27/94	transfer	(5,945)	1,175	5,945
1/27/94	gift given	(1,130)	45	

Date	Dee Ann McIntyre Trust	Transaction Amount	Total Shares Owned
2/4/88			
2/9/88			
3/16/88			
1/6/89			
1/25/89			
4/27/89			
1/3/90			
1/5/90			
9/25/90			
1/3/91			
1/3/91			
1/29/91			
1/2/92			
1/8/92			
1/8/92			

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3/24/92  
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6/15/92  
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12/9/92  
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1/25/93  
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1/25/93  
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1/25/93  
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3/18/93  
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3/18/93  
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4/6/93  
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6/9/93  
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1/10/94  
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1/27/94  
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1/27/94  
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Date	Description of Transaction	Scott McIntyre Individually	McIntyre Foundation	Scott McIntyre Revocable Trust	Mildred McIntyre Irrevocable Trust		
		Transaction Amount	Total Shares Owned	Transaction Amount	Total Shares Owned	Transaction Amount	Total Shares Owned
2/2/94	transfer	(45)	0	45	615,270		
3/8/94	buy			11,553	626,823		
12/15/94	transfer	100,000	100,000	(100,000)	526,823		
1/5/95	stock dividend	50,000	150,000	263,411	790,234		27
1/10/95	gift received			350	790,584		
1/10/95	transfer	3,150	153,150	(3,150)	787,434		
1/10/95	gift given	(2,800)	150,350				

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1/10/95	transfer	(350)	150,000			350	787,784
1/20/95	transfer	(150,000)	0				
4/18/95	gift given					(350)	787,434
1/5/96	stock dividend					393,717	1,181,151
1/9/96	gift received					360	1,181,511
1/9/96	transfer	3,240	3,240			(3,240)	1,178,271
1/9/96	gift given	(3,240)	0				
4/1/96	buy	300	300				
4/1/96	gift given	(300)	0				
1/23/97	gift received					300	1,178,571
1/23/97	transfer	2,700	2,700			(2,700)	1,175,871
1/23/97	gift given	(2,700)	0				
3/10/97	transfer			7,000	7,000	(7,000)	1,168,871
8/29/97	gift received			2,200	9,200		
1/21/98	service award	50	50				
2/3/98	buy					650	1,169,521
2/24/98	gift received					235	1,169,756
2/24/98	gift given					(3,000)	1,166,756
6/12/98	transfer	(50)	0	7,550	16,700	(7,500)	1,159,256
11/9/98	buy	3,820	3,820				
1/12/99	gift received					285	1,159,541
3/23/99	transfer			285	17,035	(285)	1,159,256
3/23/99	gift given	(3,500)	320				
9/8/99	buy	1,000	1,320				
9/9/99	buy	1,000	2,320				
9/9/99	gift given					(435)	1,158,821

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Date	Description of Transaction	Scott McIntyre Individually		McIntyre Foundation		Scott McIntyre Revocable Trust		Irre
		Transaction Amount	Total Shares Owned	Transaction Amount	Total Shares Owned	Transaction Amount	Total Shares Owned	
9/13/99	buy	700	3,020					
12/3/99	transfer			3,500	30,535	(13,500)	1,145,321	
12/17/99	transfer			2,000	32,535	(2,000)	1,143,321	
1/7/00	gift received					450	1,143,771	
2/29/00	gift given					(5,500)	1,138,271	
11/21/00	transfer			17,500	50,035	(17,500)	1,120,771	
12/15/00	transfer	540	3,560			(540)	1,120,231	
12/15/00	gift given	(540)	3,020					
1/23/01	gift received					475	1,120,706	
1/23/01	gift given					(5,700)	1,115,006	
12/13/01	transfer			2,300	52,335	(2,300)	1,112,706	
12/13/01	transfer	(2,700)	320	2,700	55,035			
Total			320		55,035		1,112,706	