

Edgar Filing: AGIC Equity & Convertible Income Fund - Form N-PX

AGIC Equity & Convertible Income Fund  
Form N-PX  
August 29, 2011

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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number: 811-21989

AGIC Equity & Convertible Income Fund  
(Exact name of registrant as specified in charter)

1633 Broadway, New York, NY 10019  
(Address of Principal Executive Office)

Allianz Global Investors Fund Management LLC  
1633 Broadway  
New York, NY 10019  
(Name and Address of Agent for Service)

Registrant's telephone number, including area code: 212-739-3000

Date of fiscal year end: January 31

Date of reporting period: July 1, 2010 through June 30, 2011

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Item 1: Proxy Voting Record

Registrant : AGIC Equity & Convertible Income Fund

Fund Name : AGIC Equity & Convertible Income Fund

Abbott  
Laboratories

Ticker	Security ID:	Meeting Date	Meeting Status		
ABT	CUSIP 002824100	04/29/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Robert Alpern	Mgmt	For	For	For
1.2	Elect Roxanne Austin	Mgmt	For	For	For
1.3	Elect W. James Farrell	Mgmt	For	For	For
1.4	Elect H. Laurance Fuller	Mgmt	For	For	For
1.5	Elect Edward Liddy	Mgmt	For	For	For
1.6	Elect Phebe Novakovic	Mgmt	For	For	For

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1.7	Elect William Osborn	Mgmt	For	For	For
1.8	Elect Samuel Scott III	Mgmt	For	For	For
1.9	Elect Glenn Tilton	Mgmt	For	For	For
1.10	Elect Miles White	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
5	Shareholder Proposal Regarding Pharmaceutical Price Restraints	ShrHoldr	Against	Against	For

AGCO Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
AGCO	CUSIP 001084102	04/21/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Wolfgang Deml	Mgmt	For	For	For
2	Elect Luiz Fernando Furlan	Mgmt	For	For	For
3	Elect Gerald Johanneson	Mgmt	For	For	For
4	Elect Thomas LaSorda	Mgmt	For	For	For
5	Elect George Minnich	Mgmt	For	For	For
6	Elect Martin Richenhagen	Mgmt	For	For	For
7	Elect Daniel Ustian	Mgmt	For	For	For
8	Amendment to the 2006 Long-Term Incentive Plan	Mgmt	For	For	For
9	Advisory Vote on Executive Compensation	Mgmt	For	For	For
10	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
11	Ratification of Auditor	Mgmt	For	For	For

American International Group, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
AIG	CUSIP 026874784	05/11/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Robert Benmosche	Mgmt	For	For	For
2	Elect W. Don Cornwell	Mgmt	For	For	For
3	Elect John Fitzpatrick	Mgmt	For	For	For
4	Elect Laurette Koellner	Mgmt	For	For	For
5	Elect Donald Layton	Mgmt	For	For	For
6	Elect Christopher Lynch	Mgmt	For	For	For
7	Elect Arthur Martinez	Mgmt	For	For	For
8	Elect George Miles, Jr.	Mgmt	For	Against	Against
9	Elect Henry Miller	Mgmt	For	For	For
10	Elect Robert Miller	Mgmt	For	For	For
11	Elect Suzanne Nora Johnson	Mgmt	For	For	For
12	Elect Morris Offit	Mgmt	For	Against	Against
13	Elect Ronald Rittenmeyer	Mgmt	For	For	For
14	Elect Douglas Steenland	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For
16	Adoption of Protective Amendment to the Certificate of Incorporation	Mgmt	For	For	For
17	Adoption of Tax Asset Protection Plan	Mgmt	For	Against	Against
18	Ratification of Auditor	Mgmt	For	For	For
19	Shareholder Proposal Regarding Responsible Use of Company Stock	ShrHoldr	Against	For	Against

Amphenol  
Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
APH	CUSIP 032095101	05/25/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Ronald Badie	Mgmt	For	For	For
1.2	Elect R. Adam Norwitt	Mgmt	For	For	For
1.3	Elect Dean Secord	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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Apple Inc.					
Ticker	Security ID:	Meeting Date	Meeting Status		
AAPL	CUSIP 037833100	02/23/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect William Campbell	Mgmt	For	For	For
1.2	Elect Millard Drexler	Mgmt	For	For	For
1.3	Elect Albert Gore, Jr.	Mgmt	For	For	For
1.4	Elect Steven Jobs	Mgmt	For	For	For
1.5	Elect Andrea Jung	Mgmt	For	For	For
1.6	Elect Arthur Levinson	Mgmt	For	For	For
1.7	Elect Ronald Sugar	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
5	Shareholder Proposal Regarding Adoption and Disclosure of a Written CEO Succession Planning Policy	ShrHoldr	Against	Against	For
6	Shareholder Proposal Regarding Majority Vote for Election of Directors	ShrHoldr	Against	For	Against
Avery Dennison Corporation					
Ticker	Security ID:	Meeting Date	Meeting Status		
AVY	CUSIP 053611109	04/28/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Peter Barker	Mgmt	For	For	For
2	Elect Ken Hicks	Mgmt	For	For	For
3	Elect Debra Reed	Mgmt	For	Against	Against
4	Ratification of Auditor	Mgmt	For	For	For
5	Repeal of Classified Board	Mgmt	For	For	For
6	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against

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7 Frequency of Advisory Vote on Executive Compensation Mgmt 2 Years 1 Year Against

Aviat Networks Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
AVNW	CUSIP 05366Y102	11/09/2010	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	United States				
Issue No.	Description				
1.1	Elect Eric Evans	Mgmt	For	For	For
1.2	Elect William Hasler	Mgmt	For	Withhold	Against
1.3	Elect Clifford Higgerson	Mgmt	For	Withhold	Against
1.4	Elect Charles Kissner	Mgmt	For	For	For
1.5	Elect Raghavendra Rau	Mgmt	For	For	For
1.6	Elect Mohsen Sohi	Mgmt	For	Withhold	Against
1.7	Elect James Stoffel	Mgmt	For	Withhold	Against
1.8	Elect Edward Thompson	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For

Baxter International Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
BAX	CUSIP 071813109	05/03/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	United States				
Issue No.	Description				
1	Elect Wayne Hockmeyer	Mgmt	For	For	For
2	Elect Robert Parkinson, Jr.	Mgmt	For	For	For
3	Elect Thomas Stallkamp	Mgmt	For	For	For
4	Elect Albert Stroucken	Mgmt	For	For	For
5	Ratification of Auditor	Mgmt	For	For	For
6	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Mgmt	For	For	For

7 Frequency of Advisory Vote on Executive Compensation Mgmt 3 Years 1 Year Against

8	Employee Stock Purchase Plan	Mgmt	For	For	For
9	2011 Incentive Plan	Mgmt	For	For	For
10	Repeal of Classified Board	Mgmt	For	For	For

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Cisco Systems,  
Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
CSCO	CUSIP 17275R102	11/18/2010	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Carol Bartz	Mgmt	For	For	For
2	Elect M. Michele Burns	Mgmt	For	For	For
3	Elect Michael Capellas	Mgmt	For	For	For
4	Elect Larry Carter	Mgmt	For	For	For
5	Elect John Chambers	Mgmt	For	For	For
6	Elect Brian Halla	Mgmt	For	For	For
7	Elect John Hennessy	Mgmt	For	Against	Against
8	Elect Richard Kovacevich	Mgmt	For	For	For
9	Elect Roderick McGearry	Mgmt	For	For	For
10	Elect Michael Powell	Mgmt	For	For	For
11	Elect Arun Sarin	Mgmt	For	For	For
12	Elect Steven West	Mgmt	For	For	For
13	Elect Jerry Yang	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
15	Ratification of Auditor	Mgmt	For	For	For
16	Shareholder Proposal Regarding Formation of Environmental Sustainability Committee	ShrHoldr	Against	Against	For
17	Shareholder Proposal Regarding Report on Internet Fragmentation	ShrHoldr	Against	Against	For
18	Shareholder Proposal Regarding Restricting Sales to China	ShrHoldr	Against	Against	For

Constellation  
Energy Group,  
Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
CEG	CUSIP 210371100	05/27/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Yves de Balmann	Mgmt	For	For	For
2	Elect Ann Berzin	Mgmt	For	For	For
3	Elect James Brady	Mgmt	For	For	For
4	Elect James Curtiss	Mgmt	For	For	For

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5	Elect Freeman Hrabowski, III	Mgmt	For	Against	Against
6	Elect Nancy Lampton	Mgmt	For	For	For
7	Elect Robert Lawless	Mgmt	For	Against	Against
8	Elect Mayo Shattuck III	Mgmt	For	For	For
9	Elect John Skolds	Mgmt	For	For	For
10	Elect Michael Sullivan	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Deere & Company

Ticker	Security ID:	Meeting Date	Meeting Status		
DE	CUSIP 244199105	02/23/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Charles Holliday, Jr.	Mgmt	For	For	For
2	Elect Dipak Jain	Mgmt	For	For	For
3	Elect Joachim Milberg	Mgmt	For	For	For
4	Elect Richard Myers	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For
6	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
7	Ratification of Auditor	Mgmt	For	For	For

Diamond Offshore Drilling, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
DO	CUSIP 25271C102	05/23/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect James Tisch	Mgmt	For	For	For
2	Elect Lawrence Dickerson	Mgmt	For	For	For
3	Elect John Bolton	Mgmt	For	For	For
4	Elect Charles Fabrikant	Mgmt	For	For	For
5	Elect Paul Gaffney II	Mgmt	For	For	For
6	Elect Edward Grebow	Mgmt	For	For	For
7	Elect Herbert Hofmann	Mgmt	For	For	For
8	Elect Andrew Tisch	Mgmt	For	For	For

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9	Elect Raymond Troubh	Mgmt	For	For	For
10	Ratification of Auditor	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

### EMC Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
EMC	CUSIP 268648102	05/04/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual Issue No.	Description				
1	Elect Michael Brown	Mgmt	For	For	For
2	Elect Randolph Cowen	Mgmt	For	For	For
3	Elect Michael Cronin	Mgmt	For	For	For
4	Elect Gail Deegan	Mgmt	For	For	For
5	Elect James DiStasio	Mgmt	For	For	For
6	Elect John Egan	Mgmt	For	For	For
7	Elect Edmund Kelly	Mgmt	For	For	For
8	Elect Windle Priem	Mgmt	For	For	For
9	Elect Paul Sagan	Mgmt	For	For	For
10	Elect David Strohm	Mgmt	For	For	For
11	Elect Joseph Tucci	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Amendment to the 2003 Stock Plan	Mgmt	For	For	For
14	Amendment to Right to Call a Special Meeting	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

### Entergy Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
ETR	CUSIP 29364G103	05/06/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual Issue No.	Description				
1	Elect Maureen Bateman	Mgmt	For	For	For
2	Elect Gary Edwards	Mgmt	For	For	For
3	Elect Alexis Herman	Mgmt	For	For	For



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4	Elect Donald Hintz	Mgmt	For	For	For
5	Elect J. Wayne Leonard	Mgmt	For	For	For
6	Elect Stuart Levenick	Mgmt	For	For	For
7	Elect Blanche Lincoln	Mgmt	For	For	For
8	Elect Stewart Myers	Mgmt	For	For	For
9	Elect William Percy, II	Mgmt	For	For	For
10	Elect W.J. Tauzin	Mgmt	For	For	For
11	Elect Steven Wilkinson	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	2011 Equity Ownership and Long Term Cash Incentive Plan	Mgmt	For	For	For

FelCor Lodging Trust Incorporated

Ticker	Security ID:	Meeting Date	Meeting Status		
FCH	CUSIP 31430F200	11/15/2010	Voted		
Meeting Type	Country of Trade				
Proxy Contest	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Christopher Hartung	Mgmt	N/A	For	N/A
2	Elect C. Brian Strickland	Mgmt	N/A	For	N/A
3	Right to Adjourn Meeting	Mgmt	N/A	For	N/A

Ford Motor Company

Ticker	Security ID:	Meeting Date	Meeting Status		
F	CUSIP 345370860	05/12/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Stephen Butler	Mgmt	For	For	For
2	Elect Kimberly Casiano	Mgmt	For	For	For
3	Elect Anthony Earley, Jr.	Mgmt	For	Against	Against
4	Elect Edsel Ford II	Mgmt	For	Against	Against
5	Elect William Ford, Jr.	Mgmt	For	For	For
6	Elect Richard Gephardt	Mgmt	For	For	For
7	Elect James Hance, Jr.	Mgmt	For	For	For
8	Elect Irvine Hockaday, Jr.	Mgmt	For	For	For
9	Elect Richard Manoogian	Mgmt	For	Against	Against
10	Elect Ellen Marram	Mgmt	For	For	For

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11	Elect Alan Mulally	Mgmt	For	For	For
12	Elect Homer Neal	Mgmt	For	For	For
13	Elect Gerald Shaheen	Mgmt	For	For	For
14	Elect John Thornton	Mgmt	For	For	For
15	Ratification of Auditor	Mgmt	For	For	For
16	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
17	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
18	Shareholder Proposal Regarding Publishing Political Contributions and Expenditure in Newspapers	ShrHoldr	Against	Against	For
19	Shareholder Proposal Regarding Recapitalization Plan	ShrHoldr	Against	For	Against
20	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHoldr	Against	For	Against

Freeport-McMoRan  
Copper & Gold,  
Inc.

Ticker	Security ID:	Meeting Date		Meeting Status	
FCX	CUSIP 35671D857	06/15/2011		Voted	
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag
Annual	United States				Mgmt
Issue No.	Description				
1.1	Elect Richard Adkerson	Mgmt	For	For	For
1.2	Elect Robert Allison, Jr.	Mgmt	For	Withhold	Against
1.3	Elect Robert Day	Mgmt	For	For	For
1.4	Elect Gerald Ford	Mgmt	For	For	For
1.5	Elect H. Devon Graham, Jr.	Mgmt	For	For	For
1.6	Elect Charles Krulak	Mgmt	For	For	For
1.7	Elect Bobby Lackey	Mgmt	For	For	For
1.8	Elect Jon Madonna	Mgmt	For	For	For
1.9	Elect Dustan McCoy	Mgmt	For	For	For
1.10	Elect James Moffett	Mgmt	For	For	For
1.11	Elect B. M. Rankin, Jr.	Mgmt	For	Withhold	Against
1.12	Elect Stephen Siegele	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Shareholder Proposal Regarding	ShrHoldr	Against	Against	For

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Environmental Expertise on  
Board

Frontier  
Communications  
Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
FTR	CUSIP 35906A108	05/12/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Leroy Barnes, Jr.	Mgmt	For	For	For
1.2	Elect Peter Bynoe	Mgmt	For	For	For
1.3	Elect Jeri Finard	Mgmt	For	For	For
1.4	Elect Edward Fraioli	Mgmt	For	For	For
1.5	Elect James Kahan	Mgmt	For	For	For
1.6	Elect Pamela Reeve	Mgmt	For	For	For
1.7	Elect Howard Schrott	Mgmt	For	For	For
1.8	Elect Lorraine Segil	Mgmt	For	For	For
1.9	Elect Mark Shapiro	Mgmt	For	For	For
1.10	Elect Myron Wick III	Mgmt	For	For	For
1.11	Elect Mary Wilderotter	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Shareholder Proposal Regarding Independent Chairman	ShrHoldr	Against	For	Against
5	Ratification of Auditor	Mgmt	For	For	For

General Electric  
Company

Ticker	Security ID:	Meeting Date	Meeting Status		
GE	CUSIP 369604103	04/27/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect W. Geoffrey Beattie	Mgmt	For	For	For
2	Elect James Cash, Jr.	Mgmt	For	For	For
3	Elect Ann Fudge	Mgmt	For	For	For
4	Elect Susan Hockfield	Mgmt	For	For	For
5	Elect Jeffrey Immelt	Mgmt	For	For	For
6	Elect Andrea Jung	Mgmt	For	For	For

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7	Elect Alan Lafley	Mgmt	For	For	For
8	Elect Robert Lane	Mgmt	For	For	For
9	Elect Ralph Larsen	Mgmt	For	For	For
10	Elect Rochelle Lazarus	Mgmt	For	For	For
11	Elect James Mulva	Mgmt	For	For	For
12	Elect Sam Nunn	Mgmt	For	For	For
13	Elect Roger Penske	Mgmt	For	Against	Against
14	Elect Robert Swieringa	Mgmt	For	For	For
15	Elect James Tisch	Mgmt	For	For	For
16	Elect Douglas Warner III	Mgmt	For	For	For
17	Ratification of Auditor	Mgmt	For	For	For
18	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
19	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
20	Shareholder Proposal Cumulative Voting	ShrHoldr	Against	Against	For
21	Shareholder Proposal Regarding Performance-Based Equity Compensation	ShrHoldr	Against	Against	For
22	Shareholder Proposal Regarding Restricting Executive Compensation	ShrHoldr	Against	Against	For
23	Shareholder Proposal Regarding Report on Financial Risks of Climate Change	ShrHoldr	Against	Against	For
24	Shareholder Proposal Regarding Transparency in Animal Research	ShrHoldr	Against	Against	For

Gilead Sciences, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
GILD	CUSIP 375558103	05/12/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description				
1.1	Elect John Cogan	Mgmt	For	For	For
1.2	Elect Etienne Davignon	Mgmt	For	For	For
1.3	Elect James Denny	Mgmt	For	For	For
1.4	Elect Carla Hills	Mgmt	For	For	For
1.5	Elect Kevin Lofton	Mgmt	For	For	For
1.6	Elect John Madigan	Mgmt	For	For	For
1.7	Elect John Martin	Mgmt	For	For	For
1.8	Elect Gordon Moore	Mgmt	For	For	For
1.9	Elect Nicholas Moore	Mgmt	For	For	For

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1.10	Elect Richard Whitley	Mgmt	For	Withhold	Against
1.11	Elect Gayle Wilson	Mgmt	For	For	For
1.12	Elect Per Wold-Olsen	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Amendment to the 162(m) Bonus Plan	Mgmt	For	For	For
4	Elimination of Supermajority Requirement	Mgmt	For	For	For
5	Right to Call a Special Meeting	Mgmt	For	For	For
6	Advisory Vote on Executive Compensation	Mgmt	For	For	For
7	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Google Inc. Ticker GOOG Meeting Type Annual Issue No.	Security ID: CUSIP 38259P508 Country of Trade United States Description	Meeting Date 06/02/2011	Meeting Status Voted	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
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1.1	Elect Larry Page	Mgmt	For	For	For
1.2	Elect Sergey Brin	Mgmt	For	For	For
1.3	Elect Eric Schmidt	Mgmt	For	For	For
1.4	Elect L. John Doerr	Mgmt	For	For	For
1.5	Elect John Hennessy	Mgmt	For	Withhold	Against
1.6	Elect Ann Mather	Mgmt	For	For	For
1.7	Elect Paul Otellini	Mgmt	For	For	For
1.8	Elect K. Ram Shriram	Mgmt	For	For	For
1.9	Elect Shirley Tilghman	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Amendment to the 2004 Stock Plan	Mgmt	For	Against	Against
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
6	Shareholder Proposal Regarding Formation of Sustainability Committee	ShrHoldr	Against	Against	For
7	Shareholder Proposal Regarding Simple Majority Vote	ShrHoldr	Against	For	Against
8	Shareholder Proposal Regarding Conflict of Interest Report	ShrHoldr	Against	Against	For

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Harris  
Corporation

Ticker	Security ID:	Meeting Date		Meeting Status	
HRS	CUSIP 413875105	10/22/2010		Voted	
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Howard Lance	Mgmt	For	For	For
2	Elect Thomas Dattilo	Mgmt	For	For	For
3	Elect Terry Growcock	Mgmt	For	For	For
4	Elect Leslie Kenne	Mgmt	For	For	For
5	Elect David Rickard	Mgmt	For	For	For
6	Elect James Stoffel	Mgmt	For	For	For
7	Elect Gregory Swienton	Mgmt	For	For	For
8	Ratification of Auditor	Mgmt	For	For	For
9	Annual Incentive Plan	Mgmt	For	For	For
10	Amendment to the 2005 Equity Incentive Plan	Mgmt	For	For	For
11	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against	For	Against

Intel Corporation

Ticker	Security ID:	Meeting Date		Meeting Status	
INTC	CUSIP 458140100	05/19/2011		Voted	
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Charlene Barshefsky	Mgmt	For	Against	Against
2	Elect Susan Decker	Mgmt	For	For	For
3	Elect John Donahoe	Mgmt	For	For	For
4	Elect Reed Hundt	Mgmt	For	For	For
5	Elect Paul Otellini	Mgmt	For	For	For
6	Elect James Plummer	Mgmt	For	For	For
7	Elect David Pottruck	Mgmt	For	For	For
8	Elect Jane Shaw	Mgmt	For	For	For
9	Elect Frank Yeary	Mgmt	For	For	For

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10	Elect David Yoffie	Mgmt	For	Against	Against
11	Ratification of Auditor	Mgmt	For	For	For
12	Amendment to the 2006 Equity Incentive Plan	Mgmt	For	For	For
13	Amendment to the 2006 Stock Purchase Plan	Mgmt	For	For	For
14	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Mgmt	For	For	For
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

International Business Machines Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
IBM	CUSIP 459200101	04/26/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag
Annual	United States				Mgmt
Issue No.	Description				
1	Elect Alain Belda	Mgmt	For	Against	Against
2	Elect William Brody	Mgmt	For	For	For
3	Elect Kenneth Chenault	Mgmt	For	For	For
4	Elect Michael Eskew	Mgmt	For	Against	Against
5	Elect Shirley Jackson	Mgmt	For	For	For
6	Elect Andrew Liveris	Mgmt	For	Against	Against
7	Elect W. James Mc Nerney, Jr.	Mgmt	For	For	For
8	Elect James Owens	Mgmt	For	For	For
9	Elect Samuel Palmisano	Mgmt	For	For	For
10	Elect Joan Spero	Mgmt	For	For	For
11	Elect Sidney Taurel	Mgmt	For	For	For
12	Elect Lorenzo Hector Zambrano Trevino	Mgmt	For	Against	Against
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
16	Shareholder Proposal Regarding Cumulative Voting	ShrHoldr	Against	Against	For
17	Shareholder Proposal Regarding Political Contributions and Expenditure Report	ShrHoldr	Against	Against	For

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18	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	Against	For
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Intuitive  
Surgical,  
Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
ISRG	CUSIP 46120E602	04/21/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt

1	Elect Floyd Loop	Mgmt	For	For	For
2	Elect George Stalk Jr.	Mgmt	For	For	For
3	Elect Craig Barratt	Mgmt	For	For	For
4	Amendment to the 2010 Incentive Award Plan	Mgmt	For	Against	Against
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For
6	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
7	Ratification of Auditor	Mgmt	For	For	For

Johnson & Johnson

Ticker	Security ID:	Meeting Date	Meeting Status		
JNJ	CUSIP 478160104	04/28/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt

1	Elect Mary Coleman	Mgmt	For	Against	Against
2	Elect James Cullen	Mgmt	For	For	For
3	Elect Ian Davis	Mgmt	For	For	For
4	Elect Michael Johns	Mgmt	For	Against	Against
5	Elect Susan Lindquist	Mgmt	For	For	For
6	Elect Anne Mulcahy	Mgmt	For	Against	Against
7	Elect Leo Mullin	Mgmt	For	For	For
8	Elect William Perez	Mgmt	For	Against	Against
9	Elect Charles Prince	Mgmt	For	Against	Against
10	Elect David Satcher	Mgmt	For	For	For
11	Elect William Weldon	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For



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14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	Shareholder Proposal Regarding Pharmaceutical Price Restraints	ShrHoldr	Against	Against	For
16	Shareholder Proposal Regarding Policy on Health Status Discrimination	ShrHoldr	Against	Against	For
17	Shareholder Proposal Regarding Animal Welfare	ShrHoldr	Against	Against	For

Johnson Controls, Inc.

Ticker	Security ID:	Meeting Date		Meeting Status	
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
JCI	CUSIP 478366107				
Annual	United States				
Issue No.	Description				
1.1	Elect Natalie Black	Mgmt	For	N/A	N/A
1.2	Elect Robert Cornog	Mgmt	For	N/A	N/A
1.3	Elect William Lacy	Mgmt	For	N/A	N/A
1.4	Elect Stephen Roell	Mgmt	For	N/A	N/A
2	Ratification of Auditor	Mgmt	For	N/A	N/A
3	Adoption of Majority Vote for Election of Directors	Mgmt	For	N/A	N/A
4	Amendment to the Annual Incentive Performance Plan	Mgmt	For	N/A	N/A
5	Amendment to the Long-Term Incentive Performance Plan	Mgmt	For	N/A	N/A
6	Advisory Vote on Executive Compensation	Mgmt	For	N/A	N/A
7	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	N/A	Against

Joy Global Inc.  
Ticker

Security ID: Meeting Date Meeting Status

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JOYG Meeting Type Annual Issue No.	CUSIP 481165108 Country of Trade United States Description	03/08/2011	Voted		
		Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Steven Gerard	Mgmt	For	For	For
1.2	Elect John Hanson	Mgmt	For	For	For
1.3	Elect Kenneth Johnsen	Mgmt	For	For	For
1.4	Elect Gale Klappa	Mgmt	For	For	For
1.5	Elect Richard Loynd	Mgmt	For	For	For
1.6	Elect P. Eric Siegert	Mgmt	For	For	For
1.7	Elect Michael Sutherlin	Mgmt	For	For	For
1.8	Elect James Tate	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
5	Employee Stock Purchase Plan	Mgmt	For	For	For
JPMorgan Chase & Co.					
Ticker JPM Meeting Type Annual Issue No.	Security ID: CUSIP 46625H100 Country of Trade United States Description	Meeting Date 05/17/2011	Meeting Status Voted		
		Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Crandall Bowles	Mgmt	For	For	For
2	Elect Stephen Burke	Mgmt	For	For	For
3	Elect David Cote	Mgmt	For	For	For
4	Elect James Crown	Mgmt	For	For	For
5	Elect James Dimon	Mgmt	For	For	For
6	Elect Ellen Futter	Mgmt	For	Against	Against
7	Elect William Gray, III	Mgmt	For	For	For
8	Elect Laban Jackson, Jr.	Mgmt	For	For	For
9	Elect David Novak	Mgmt	For	Against	Against
10	Elect Lee Raymond	Mgmt	For	For	For
11	Elect William Weldon	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	Amendment to the Long-Term Incentive	Mgmt	For	For	For

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	Plan				
16	Shareholder Proposal Regarding Affirmation of Political Nonpartisanship	ShrHoldr	Against	Against	For
17	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Against	For	Against
18	Shareholder Proposal Regarding Mortgage Loan Servicing	ShrHoldr	Against	Against	For
19	Shareholder Proposal Regarding Political Contributions and Expenditure Report	ShrHoldr	Against	For	Against
20	Shareholder Proposal Regarding Genocide-Free Investing	ShrHoldr	Against	Against	For
21	Shareholder Proposal Regarding an Independent Lead Director	ShrHoldr	Against	Against	For

L-3  
Communications  
Holdings,  
Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
LLL	CUSIP 502424104	04/26/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Issue No.	Description				
1.1	Elect Henry Shelton	Mgmt	For	For	For
1.2	Elect Michael Strianese	Mgmt	For	For	For
1.3	Elect John White	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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McDonald's  
Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
MCD	CUSIP 580135101	05/19/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Susan Arnold	Mgmt	For	For	For
2	Elect Richard Lenny	Mgmt	For	For	For
3	Elect Cary McMillan	Mgmt	For	For	For
4	Elect Sheila Penrose	Mgmt	For	For	For
5	Elect James Skinner	Mgmt	For	For	For
6	Ratification of Auditor	Mgmt	For	For	For
7	Advisory Vote on Executive Compensation	Mgmt	For	For	For
8	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
9	Elimination of Super-majority Requirement in Article Regarding Transactions with Interested Shareholders	Mgmt	For	For	For
10	Elimination of Supermajority Requirement in Article Regarding the Removal of Directors	Mgmt	For	For	For
11	Elimination of Supermajority Requirement in Article Regarding Shareholder Action	Mgmt	For	For	For
12	Shareholder Proposal Regarding Declassification of the Board	ShrHoldr	Against	For	Against
13	Shareholder Proposal Regarding Controlled Atmosphere Killing	ShrHoldr	Against	Against	For
14	Shareholder Proposal Regarding Report on Childhood Obesity	ShrHoldr	Against	Against	For
15	Shareholder Proposal Regarding Beverage Containers	ShrHoldr	Against	Against	For

McKesson  
Corporation

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Ticker	Security ID:	Meeting Date	Meeting Status		
MCK	CUSIP 58155Q103	07/28/2010	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Andy Bryant	Mgmt	For	For	For
2	Elect Wayne Budd	Mgmt	For	For	For
3	Elect John Hammergren	Mgmt	For	For	For
4	Elect Alton Irby III	Mgmt	For	Against	Against
5	Elect M. Christine Jacobs	Mgmt	For	For	For
6	Elect Marie Knowles	Mgmt	For	For	For
7	Elect David Lawrence	Mgmt	For	For	For
8	Elect Edward Mueller	Mgmt	For	For	For
9	Elect Jane Shaw	Mgmt	For	For	For
10	Reapproval of Performance Goals Under the 2005 Stock Plan	Mgmt	For	For	For
11	Reapproval of Performance Goals Under the 2005 Management Incentive Plan	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Shareholder Proposal Regarding Retention of Shares After Retirement	ShrHoldr	Against	Against	For
14	Shareholder Proposal Regarding Report of Ratio Between Executive and Employee Pay	ShrHoldr	Against	Against	For

Medco Health  
Solutions,  
Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
MHS	CUSIP 58405U102	05/24/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Howard Barker, Jr.	Mgmt	For	For	For
2	Elect John Cassis	Mgmt	For	For	For
3	Elect Michael Goldstein	Mgmt	For	Against	Against
4	Elect Charles Lillis	Mgmt	For	Against	Against
5	Elect Myrtle Potter	Mgmt	For	For	For
6	Elect William Roper	Mgmt	For	For	For
7	Elect David Snow, Jr.	Mgmt	For	For	For
8	Elect David Stevens	Mgmt	For	For	For
9	Elect Blenda Wilson	Mgmt	For	For	For
10	Ratification of Auditor	Mgmt	For	For	For
11	Elimination of Supermajority Requirements	Mgmt	For	For	For

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12	Amendment to the 2002 Stock Incentive Plan	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	Shareholder Proposal Regarding Restricting Executive Compensation	ShrHoldr	Against	Against	For

Merck & Co., Inc.

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Leslie Brun	Mgmt	For	For	For
2	Elect Thomas Cech	Mgmt	For	For	For
3	Elect Richard Clark	Mgmt	For	For	For
4	Elect Kenneth Frazier	Mgmt	For	For	For
5	Elect Thomas Glocer	Mgmt	For	For	For
6	Elect Steven Goldstone	Mgmt	For	For	For
7	Elect William Harrison, Jr.	Mgmt	For	For	For
8	Elect Harry Jacobson	Mgmt	For	For	For
9	Elect William Kelley	Mgmt	For	For	For
10	Elect C. Robert Kidder	Mgmt	For	For	For
11	Elect Rochelle Lazarus	Mgmt	For	For	For
12	Elect Carlos Represas	Mgmt	For	For	For
13	Elect Patricia Russo	Mgmt	For	For	For
14	Elect Thomas Shenk	Mgmt	For	For	For
15	Elect Anne Tatlock	Mgmt	For	For	For
16	Elect Craig Thompson	Mgmt	For	For	For
17	Elect Wendell Weeks	Mgmt	For	For	For
18	Elect Peter Wendell	Mgmt	For	For	For
19	Ratification of Auditor	Mgmt	For	For	For
20	Advisory Vote on Executive Compensation	Mgmt	For	For	For
21	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against

MetLife, Inc.

Meeting Type	Country of Trade	Meeting Date	Meeting Status
Annual	United States	04/26/2011	Voted

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Annual Issue No.	United States Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Steven Kandarian	Mgmt	For	For	For
1.2	Elect Sylvia Mathews Burwell	Mgmt	For	For	For
1.3	Elect Eduardo Castro-Wright	Mgmt	For	For	For
1.4	Elect Cheryl Grise	Mgmt	For	For	For
1.5	Elect Lulu Wang	Mgmt	For	For	For
2	Repeal of Classified Board	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

### Microsoft Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
MSFT	CUSIP 594918104	11/16/2010	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Steven Ballmer	Mgmt	For	For	For
2	Elect Dina Dublon	Mgmt	For	For	For
3	Elect William Gates III	Mgmt	For	For	For
4	Elect Raymond Gilmartin	Mgmt	For	For	For
5	Elect Reed Hastings	Mgmt	For	For	For
6	Elect Maria Klawe	Mgmt	For	For	For
7	Elect David Marquardt	Mgmt	For	For	For
8	Elect Charles Noski	Mgmt	For	For	For
9	Elect Helmut Panke	Mgmt	For	For	For
10	Ratification of Auditor	Mgmt	For	For	For
11	Shareholder Proposal Regarding Formation of Environmental Sustainability Committee	ShrHoldr	Against	Against	For

### Molson Coors Brewing Company

Ticker	Security ID:	Meeting Date	Meeting Status		
TAP	CUSIP 60871R209	05/25/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt

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1.1	Elect John Cleghorn	Mgmt	For	For	For
1.2	Elect Charles Herington	Mgmt	For	For	For
1.3	Elect David O'Brien	Mgmt	For	For	For

National Oilwell  
Varco,  
Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
NOV	CUSIP 637071101	05/19/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description				
1	Elect Robert Beauchamp	Mgmt	For	For	For
2	Elect Jefferey Smisek	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
6	Repeal of Classified Board	Mgmt	For	For	For
7	Increase of Authorized Common Stock	Mgmt	For	For	For
8	Shareholder Proposal Regarding Political Contributions and Expenditure Report	ShrHoldr	Against	Against	For

NRG Energy, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
NRG	CUSIP 629377508	04/26/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description				
1	Elect Lawrence Coben	Mgmt	For	For	For
2	Elect Paul Hobby	Mgmt	For	For	For
3	Elect Gerald Luterman	Mgmt	For	For	For
4	Elect Herbert Tate	Mgmt	For	For	For
5	Elect Walter Young	Mgmt	For	For	For
6	Ratification of Auditor	Mgmt	For	For	For
7	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
8	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For



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NRG Energy, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
NRG	CUSIP 629377508	07/28/2010	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description				
1	Elect Kirbyjon Caldwell	Mgmt	For	For	For
2	Elect David Crane	Mgmt	For	For	For
3	Elect Stephen Cropper	Mgmt	For	For	For
4	Elect Kathleen McGinty	Mgmt	For	For	For
5	Elect Thomas Weidemeyer	Mgmt	For	For	For
6	Amendment to the Long-Term Incentive Plan	Mgmt	For	Against	Against
7	Ratification of Auditor	Mgmt	For	For	For

Occidental  
Petroleum  
Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
OXY	CUSIP 674599105	05/06/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description				
1	Elect Spencer Abraham	Mgmt	For	For	For
2	Elect Howard Atkins	Mgmt	For	For	For
3	Elect Stephen Chazen	Mgmt	For	For	For
4	Elect Edward Djerejian	Mgmt	For	For	For
5	Elect John Feick	Mgmt	For	For	For
6	Elect Margaret Foran	Mgmt	For	For	For
7	Elect Carlos Gutierrez	Mgmt	For	For	For
8	Elect Ray Irani	Mgmt	For	For	For
9	Elect Avedick Poladian	Mgmt	For	For	For
10	Elect Rodolfo Segovia	Mgmt	For	For	For
11	Elect Aziz Syriani	Mgmt	For	For	For
12	Elect Rosemary Tomich	Mgmt	For	For	For
13	Elect Walter Weisman	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	For	1 Year	N/A
17	Shareholder Proposal Regarding Political Contributions and Expenditure Report	ShrHoldr	Against	Against	For

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18	Shareholder Proposal Regarding Environmental Expertise on Board	ShrHoldr	Against	Against	For
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Oracle Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
ORCL	CUSIP 68389X105	10/06/2010	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec

1.1	Elect Jeffrey Berg	Mgmt	For	Withhold	Against
1.2	Elect H. Raymond Bingham	Mgmt	For	Withhold	Against
1.3	Elect Michael Boskin	Mgmt	For	For	For
1.4	Elect Safra Catz	Mgmt	For	For	For
1.5	Elect Bruce Chizen	Mgmt	For	For	For
1.6	Elect George Conrades	Mgmt	For	For	For
1.7	Elect Lawrence Ellison	Mgmt	For	For	For
1.8	Elect Hector Garcia-Molina	Mgmt	For	For	For
1.9	Elect Jeffrey Henley	Mgmt	For	For	For
1.10	Elect Mark Hurd	Mgmt	For	For	For
1.11	Elect Donald Lucas	Mgmt	For	For	For
1.12	Elect Naomi Seligman	Mgmt	For	For	For
2	Executive Bonus Plan	Mgmt	For	For	For
3	Amendment to the 2000 Long-Term Equity Incentive Plan	Mgmt	For	Against	Against
4	Ratification of Auditor	Mgmt	For	For	For
5	Shareholder Proposal Regarding Formation of Sustainability Committee	ShrHoldr	Against	Against	For
6	Shareholder Proposal Regarding Majority Vote for Election of Directors	ShrHoldr	Against	For	Against
7	Shareholder Proposal Regarding Retention of Shares After Retirement	ShrHoldr	Against	Against	For

Peabody Energy Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
BTU	CUSIP 704549104	05/03/2011	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec

1.1	Elect Gregory Boyce	Mgmt	For	For	For
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1.2	Elect William Coley	Mgmt	For	For	For
1.3	Elect William James	Mgmt	For	For	For
1.4	Elect Robert Karn III	Mgmt	For	For	For
1.5	Elect M. Frances Keeth	Mgmt	For	For	For
1.6	Elect Henry Lentz	Mgmt	For	For	For
1.7	Elect Robert Malone	Mgmt	For	For	For
1.8	Elect William Rusnack	Mgmt	For	For	For
1.9	Elect John Turner	Mgmt	For	For	For
1.10	Elect Sandra Van Trease	Mgmt	For	For	For
1.11	Elect Alan Washkowitz	Mgmt	For	Withhold	Against
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	2 Years	1 Year	Against
5	2011 Long-Term Equity Incentive Plan	Mgmt	For	For	For
PepsiCo, Inc.					
Ticker	Security ID:	Meeting Date		Meeting Status	
PEP	CUSIP 713448108	05/04/2011		Voted	
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Shona Brown	Mgmt	For	For	For
2	Elect Ian Cook	Mgmt	For	For	For
3	Elect Dina Dublon	Mgmt	For	For	For
4	Elect Victor Dzau	Mgmt	For	For	For
5	Elect Ray Hunt	Mgmt	For	For	For
6	Elect Alberto Ibarguen	Mgmt	For	For	For
7	Elect Arthur Martinez	Mgmt	For	For	For
8	Elect Indra Nooyi	Mgmt	For	For	For
9	Elect Sharon Rockefeller	Mgmt	For	For	For
10	Elect James Schiro	Mgmt	For	For	For
11	Elect Lloyd Trotter	Mgmt	For	For	For
12	Elect Daniel Vasella	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
15	Ratification of Auditor	Mgmt	For	For	For
16	Adoption of Majority Vote for Election of Directors	Mgmt	For	For	For
17	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHoldr	Against	For	Against
18	Shareholder Proposal Regarding Reviewing Political Contributions	ShrHoldr	Against	Against	For

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and  
Expenditures

Prudential  
Financial,  
Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
PRU	CUSIP 744320102	05/10/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Issue No.	Description				
1	Elect Thomas Baltimore Jr.	Mgmt	For	For	For
2	Elect Gordon Bethune	Mgmt	For	For	For
3	Elect Gaston Caperton	Mgmt	For	For	For
4	Elect Gilbert Casellas	Mgmt	For	For	For
5	Elect James Cullen	Mgmt	For	Against	Against
6	Elect William Gray, III	Mgmt	For	For	For
7	Elect Mark Grier	Mgmt	For	For	For
8	Elect Constance Horner	Mgmt	For	For	For
9	Elect Martina Hund-Mejean	Mgmt	For	For	For
10	Elect Karl Krapek	Mgmt	For	For	For
11	Elect Christine Poon	Mgmt	For	For	For
12	Elect John Strangfeld	Mgmt	For	For	For
13	Elect James Unruh	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
17	Shareholder Proposal Regarding Simple Majority Vote	ShrHoldr	For	For	For
18	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	Against	For

QUALCOMM  
Incorporated

Ticker	Security ID:	Meeting Date	Meeting Status		
QCOM	CUSIP 747525103	03/08/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Issue No.	Description				

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1.1	Elect Barbara Alexander	Mgmt	For	For	For
1.2	Elect Stephen Bennett	Mgmt	For	For	For
1.3	Elect Donald Cruickshank	Mgmt	For	For	For
1.4	Elect Raymond Dittamore	Mgmt	For	For	For
1.5	Elect Thomas Horton	Mgmt	For	For	For
1.6	Elect Irwin Jacobs	Mgmt	For	For	For
1.7	Elect Paul Jacobs	Mgmt	For	For	For
1.8	Elect Robert Kahn	Mgmt	For	For	For
1.9	Elect Sherry Lansing	Mgmt	For	For	For
1.10	Elect Duane Nelles	Mgmt	For	For	For
1.11	Elect Francisco Ros	Mgmt	For	For	For
1.12	Elect Brent Scowcroft	Mgmt	For	For	For
1.13	Elect Marc Stern	Mgmt	For	For	For
2	Amendment to the 2006 Long-term Incentive Plan	Mgmt	For	Against	Against
3	Amendment to the 2001 Employee Stock Purchase Plan	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For
6	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
7	Shareholder Proposal Regarding Majority Vote for Election of Directors	ShrHoldr	Against	For	Against

Research In Motion Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
RIM	CUSIP 760975102	07/13/2010	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description				
1.1	Elect James Balsillie	Mgmt	For	For	For
1.2	Elect Mike Lazaridis	Mgmt	For	For	For
1.3	Elect James Estill	Mgmt	For	Withhold	Against
1.4	Elect David Kerr	Mgmt	For	For	For
1.5	Elect Roger Martin	Mgmt	For	For	For
1.6	Elect John Richardson	Mgmt	For	Withhold	Against
1.7	Elect Barbara Stymiest	Mgmt	For	For	For
1.8	Elect Antonio Viana-Baptista	Mgmt	For	For	For
1.9	Elect John Wetmore	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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Schlumberger  
Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SLB	CUSIP 806857108	04/06/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag
Annual	United States				Mgmt
Issue No.	Description				
1	Elect Philippe Camus	Mgmt	For	For	For
2	Elect Peter Currie	Mgmt	For	For	For
3	Elect Andrew Gould	Mgmt	For	For	For
4	Elect Tony Isaac	Mgmt	For	Against	Against
5	Elect K.V. Kamath	Mgmt	For	For	For
6	Elect Nikolay Kudryavtsev	Mgmt	For	For	For
7	Elect Adrian Lajous	Mgmt	For	For	For
8	Elect Michael Marks	Mgmt	For	For	For
9	Elect Elizabeth Moler	Mgmt	For	For	For
10	Elect Leo Reif	Mgmt	For	For	For
11	Elect Tore Sandvold	Mgmt	For	For	For
12	Elect Henri Seydoux	Mgmt	For	For	For
13	Elect Paal Kibsgaard	Mgmt	For	For	For
14	Elect Lubna Olayan	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	2 Years	1 Year	Against
17	Increase of Authorized Common Stock	Mgmt	For	For	For
18	Amendements to Articles	Mgmt	For	For	For
19	Approval of Financial Statements and Dividends	Mgmt	For	For	For
20	Ratification of Auditor	Mgmt	For	For	For

Target  
Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
TGT	CUSIP 87612E106	06/08/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag
Annual	United States				Mgmt
Issue No.	Description				
1	Elect Roxanne Austin	Mgmt	For	For	For
2	Elect Calvin Darden	Mgmt	For	For	For
3	Elect Mary Dillon	Mgmt	For	For	For
4	Elect James Johnson	Mgmt	For	For	For
5	Elect Mary Minnick	Mgmt	For	For	For
6	Elect Anne Mulcahy	Mgmt	For	Against	Against
7	Elect Derica Rice	Mgmt	For	For	For
8	Elect Stephen Sanger	Mgmt	For	For	For

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9	Elect Gregg Steinhafel	Mgmt	For	For	For
10	Elect John Stumpf	Mgmt	For	For	For
11	Elect Solomon Trujillo	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	2011 Long-Term Incentive Plan	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
16	Shareholder Proposal Regarding Compensation Benchmarking	ShrHoldr	Against	Against	For
17	Shareholder Proposal Regarding Report on Electronic Waste	ShrHoldr	Against	Against	For

Texas  
Instruments  
Incorporated

Ticker	Security ID:	Meeting Date	Meeting Status		
TXN	CUSIP 882508104	04/21/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag
Annual	United States				Mgmt
Issue No.	Description				
1	Elect Ralph Babb, Jr.	Mgmt	For	For	For
2	Elect Daniel Carp	Mgmt	For	For	For
3	Elect Carrie Cox	Mgmt	For	For	For
4	Elect Stephen MacMillan	Mgmt	For	For	For
5	Elect Pamela Patsley	Mgmt	For	For	For
6	Elect Robert Sanchez	Mgmt	For	For	For
7	Elect Wayne Sanders	Mgmt	For	For	For
8	Elect Ruth Simmons	Mgmt	For	Against	Against
9	Elect Richard Templeton	Mgmt	For	For	For
10	Elect Christine Whitman	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
13	Ratification of Auditor	Mgmt	For	For	For

Textron Inc.					
Ticker	Security ID:	Meeting Date	Meeting Status		
TXT	CUSIP 883203101	04/27/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag
Annual	United States				Mgmt

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect James Conway	Mgmt	For	For	For
2	Elect Paul Gagne	Mgmt	For	For	For
3	Elect Dain Hancock	Mgmt	For	For	For
4	Elect Lloyd Trotter	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
6	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
7	Right to Call a Special Meeting	Mgmt	For	For	For
8	Ratification of Auditor	Mgmt	For	For	For

The Coca-Cola  
Company

Ticker	Security ID:	Meeting Date	Meeting Status		
KO	CUSIP 191216100	04/27/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Herbert Allen	Mgmt	For	Against	Against
2	Elect Ronald Allen	Mgmt	For	For	For
3	Elect Howard Buffett	Mgmt	For	For	For
4	Elect Barry Diller	Mgmt	For	Against	Against
5	Elect Evan Greenberg	Mgmt	For	For	For
6	Elect Alexis Herman	Mgmt	For	For	For
7	Elect Muhtar Kent	Mgmt	For	For	For
8	Elect Donald Keough	Mgmt	For	For	For
9	Elect Maria Lagomasino	Mgmt	For	For	For
10	Elect Donald McHenry	Mgmt	For	For	For
11	Elect Sam Nunn	Mgmt	For	For	For
12	Elect James Robinson III	Mgmt	For	For	For
13	Elect Peter Ueberroth	Mgmt	For	For	For
14	Elect Jacob Wallenberg	Mgmt	For	For	For
15	Elect James Williams	Mgmt	For	Against	Against
16	Ratification of Auditor	Mgmt	For	For	For
17	Amendment to the Performance Incentive Plan	Mgmt	For	For	For
18	Amendment to the 1989 Restricted Stock Award Plan	Mgmt	For	For	For
19	Advisory Vote on Executive Compensation	Mgmt	For	For	For
20	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For



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21	Shareholder Proposal Regarding Report on Bisphenol-A	ShrHoldr	Against	Against	For
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The Procter & Gamble Company

Ticker	Security ID:	Meeting Date	Meeting Status		
PG	CUSIP 742718109	10/12/2010	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Angela Braly	Mgmt	For	For	For
2	Elect Kenneth Chenault	Mgmt	For	For	For
3	Elect Scott Cook	Mgmt	For	For	For
4	Elect Rajat Gupta	Mgmt	For	For	For
5	Elect Robert McDonald	Mgmt	For	For	For
6	Elect W. James McNerney, Jr.	Mgmt	For	For	For
7	Elect Johnathan Rodgers	Mgmt	For	Against	Against
8	Elect Mary Wilderotter	Mgmt	For	For	For
9	Elect Patricia Woertz	Mgmt	For	For	For
10	Elect Ernesto Zedillo	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Shareholder Proposal Regarding Cumulative Voting	ShrHoldr	Against	Against	For

Verizon Communications Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
VZ	CUSIP 92343V104	05/05/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Richard Carrion	Mgmt	For	For	For
2	Elect M. Frances Keeth	Mgmt	For	For	For
3	Elect Robert Lane	Mgmt	For	For	For
4	Elect Lowell McAdam	Mgmt	For	For	For
5	Elect Sandra Moose	Mgmt	For	For	For
6	Elect Joseph Neubauer	Mgmt	For	Against	Against
7	Elect Donald Nicolaisen	Mgmt	For	For	For
8	Elect Clarence Otis, Jr.	Mgmt	For	For	For
9	Elect Hugh Price	Mgmt	For	For	For
10	Elect Ivan Seidenberg	Mgmt	For	For	For
11	Elect Rodney Slater	Mgmt	For	Against	Against
12	Elect John Snow	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For

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14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
16	Shareholder Proposal Regarding Disclosure of Prior Government Service	ShrHoldr	Against	Against	For
17	Shareholder Proposal Regarding Performance-Based Equity Compensation	ShrHoldr	Against	Against	For
18	Shareholder Proposal Regarding Cumulative Voting	ShrHoldr	Against	For	Against
19	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHoldr	Against	For	Against

SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant): AGIC Equity & Convertible Income Fund  
 By (Signature and Title)\*: /s/ Brian Shlissel  
 -----  
 Name: Brian Shlissel  
 Title: President and Chief Executive Officer

Date: August 29, 2011

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 \* Print the name and title of each signing officer under his or her signature.