

Pell Richard  
Form 4  
June 11, 2010

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Pell Richard

(Last) (First) (Middle)

C/O ARTIO GLOBAL INVESTORS INC., 330 MADISON AVENUE

(Street)

NEW YORK, NY 10017

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
Artio Global Investors Inc. [ART]

3. Date of Earliest Transaction (Month/Day/Year)  
06/09/2010

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
CEO & Chief Investment Officer

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Class A common stock	06/09/2010		D <sup>(4)(5)</sup>		1,885,115	D	\$ 16.4635
Class A common stock	06/09/2010		M <sup>(1)</sup>		1,080,006	A	16.4635
Class A common stock	06/09/2010		M <sup>(2)</sup>		3,119,994	A	16.4635

By Pell Family Trust <sup>(3)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B common stock	<u>(1)</u>	06/09/2010		M	1,080,006	<u>(1)</u>	<u>(1)</u>	Class A Common Stock	1,080,006
New Class A Units	<u>(1)</u>	06/09/2010		M	1,080,006	<u>(1)</u>	<u>(1)</u>	Class A Common Stock	1,080,006
Class B common stock	<u>(2)</u>	06/09/2010		M	3,119,994	<u>(2)</u>	<u>(2)</u>	Class A Common Stock	3,119,994
New Class A Units	<u>(2)</u>	06/09/2010		M	3,119,994	<u>(2)</u>	<u>(2)</u>	Class A Common Stock	3,119,994

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Pell Richard C/O ARTIO GLOBAL INVESTORS INC. 330 MADISON AVENUE NEW YORK, NY 10017	X	X	CEO & Chief Investment Officer	

## Signatures

/s/ Adam Spilka, as Agent for  
Richard Pell

06/11/2010

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) Pursuant to the Exchange Agreement (as amended), Mr. Pell exchanged 1,080,006 New Class A Units for 1,080,006 shares of Class A common stock on June 9, 2010. In connection therewith, on June 9, 2010, Mr. Pell delivered to the Issuer 1,080,006 shares of Class B common stock for cancellation.
- (2) Pursuant to the Exchange Agreement (as amended), the Pell Family Trust exchanged 3,119,994 New Class A Units for 3,119,994 shares of Class A common stock on June 9, 2010. In connection therewith, on June 9, 2010, the Pell Family Trust delivered to the Issuer 3,119,994 shares of Class B common stock for cancellation.
- (3) Held by the Pell Family Trust, a grantor retained annuity trust for which Mr. Pell serves as settlor and trustee.  
Pursuant to the Exchange Agreement, Mr. Pell is permitted to exchange New Class A Units at any time for Class A common stock on a one-for-one basis. Any Exchange is generally a taxable event for Mr. Pell. As a result, at any time following the expiration of the underwriters' lock-up (180 days after September 23, 2009), Mr. Pell is permitted to sell shares of Class A common stock in connection with any Exchange in an amount necessary to generate proceeds (after deducting discounts and commissions) sufficient to cover the taxes payable on such Exchange (the amount of shares permitted to be sold determined based upon the stock price on the date of Exchange, whether or not such shares are sold then or thereafter). (continued on footnote 5)
- (5) In addition, Mr. Pell will be permitted to sell up to 20% of the remaining shares of Class A common stock that he owns (calculated assuming all New Class A Units have been Exchanged by him) on or after the first anniversary of September 23, 2009, and an additional 20% of such remaining shares of Class A common stock on or after each of the next four anniversaries.

### Remarks:

The Issuer entered into an exchange agreement (the "Exchange Agreement") with Mr. Pell on September 29, 2009. Pursuant to

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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