VunCannon Tony J. Form 4 February 13, 2019

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

OMB Number: 3235-0287

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person 2. Issuer Name and Ticker or Trading
VunCannon Tony J.

Symbol

HomeTrust Bancshares, Inc. [HTBI]

(Last) (First) (Middle)

3. Date of Earliest Transaction

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Month/Day/Year)

— Director

— 10% Owner

— 2X\_ Officer (give title — Other (specify below)

EVP, CFO and Treasurer

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
\_X\_ Form filed by One Reporting Person
\_ Form filed by More than One Reporting Person

ASHEVILLE, NC 28801

HOMETRUST BANCSHARES,

(Ctota)

INC., 10 WOODFIN STREET

| (City)                 | (State) (2                           | Table                         | I - Non-De | rivative S                        | Securi    | ties Acc           | quired, Disposed           | of, or Beneficial         | lly Owned             |
|------------------------|--------------------------------------|-------------------------------|------------|-----------------------------------|-----------|--------------------|----------------------------|---------------------------|-----------------------|
| 1.Title of<br>Security | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if | 3.         | 4. Securit                        |           | r                  | 5. Amount of Securities    | 6. Ownership Form: Direct | 7. Nature of Indirect |
| (Instr. 3)             | (Wolld/Day/Tear)                     | any                           | Code       | onAcquired (A) or Disposed of (D) |           |                    | Beneficially               | (D) or                    | Beneficial            |
|                        |                                      | (Month/Day/Year)              | (Instr. 8) | (Instr. 3, 4 and 5)               |           | Owned<br>Following | Indirect (I)<br>(Instr. 4) | Ownership (Instr. 4)      |                       |
|                        |                                      |                               |            |                                   | (A)<br>or |                    | Reported<br>Transaction(s) | (111311. 4)               | (msu. 4)              |
|                        |                                      |                               | Code V     | Amount                            | (D)       | Price              | (Instr. 3 and 4)           |                           |                       |
| Common<br>Stock        | 02/11/2019                           |                               | A          | 875 <u>(1)</u>                    | A         | \$0                | 37,632                     | D                         |                       |
| Common<br>Stock        | 02/11/2019                           |                               | A          | 875 (2)                           | A         | \$0                | 38,507                     | D                         |                       |
| Common<br>Stock        |                                      |                               |            |                                   |           |                    | 24,954                     | I                         | By KSOP               |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | (Month/Day/Year) ive es ed |                    | Underlying Securities (Instr. 3 and 4) |                                     | 8. Price<br>Deriva<br>Securit<br>(Instr. : |
|---|---|---|---|--|---|----------------------------|--------------------|--|-------------------------------------|--|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable        | Expiration<br>Date | Title                                  | Amount<br>or<br>Number<br>of Shares |  |
| Stock<br>Option                                     | \$ 14.37  |   |   |  |   | (3)                        | 02/11/2023         | Common<br>Stock                        | 85,000                              |  |
| Stock<br>Option                                     | \$ 26   |   |   |  |   | <u>(4)</u>                 | 02/11/2028         | Common<br>Stock                        | 25,000                              |  |

## **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|
|                                | Director      | 10% Owner | Officer | Other |  |  |  |

VunCannon Tony J. HOMETRUST BANCSHARES, INC. 10 WOODFIN STREET

EVP, CFO and Treasurer

## **Signatures**

ASHEVILLE, NC 28801

/s/ Tony J.

VunCannon 02/13/2019

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents restricted stock award under Issuer's 2013 Omnibus Incentive Plan with the following vesting schedule: 20% increments on February 11, 2020, 2021, 2022, 2023 and 2024.
- (2) Represents restricted stock award under Issuer's 2013 Omnibus Incentive Plan subject to performance-based vesting conditions.
- Represents stock option granted under Issuer's 2013 Omnibus Incentive Plan with the following vesting schedule: 20% increments on February 11, 2014, 2015, 2016, 2017 and 2018.

Reporting Owners 2

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(4) Represents stock option granted under Issuer's 2013 Omnibus Incentive Plan with the following vesting schedule: 20% increments on February 11, 2019, 2020, 2021, 2022 and 2023.

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