

BARLOW JAMES R

Form 4

August 13, 2018

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
BARLOW JAMES R

2. Issuer Name **and** Ticker or Trading
Symbol
Home Federal Bancorp, Inc. of
Louisiana [HFBL]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

C/O HOME FEDERAL BANK, 222
FLORIDA STREET

08/09/2018

President & CEO***

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

SHREVEPORT, LA 71105

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D)	Price	
Common Stock	08/09/2018		S		71	D \$ 34	30,109 ⁽¹⁾ D
Common Stock	08/10/2018		S		1,928	D \$ 33.75	28,181 ⁽¹⁾ D
Common Stock						13,500	I By IRA
Common Stock						550	I By Spouse
Common Stock						13,971.5971 ⁽²⁾	I By 401(k) Plan

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Common
Stock

6,976.085 ⁽³⁾ I

By ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 23					⁽⁴⁾ 10/26/2025	Common Stock	10,000
Employee Stock Option (Right to Buy)	\$ 18.92					⁽⁵⁾ 07/31/2024	Common Stock	2,133
Employee Stock Option (Right to Buy)	\$ 14.7					01/31/2017 ⁽⁶⁾ 01/31/2022	Common Stock	48,630
Employee Stock Option (Right to Buy)	\$ 10.93					08/19/2015 ⁽⁷⁾ 08/19/2020	Common Stock	9,611

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BARLOW JAMES R C/O HOME FEDERAL BANK 222 FLORIDA STREET SHREVEPORT, LA 71105	X		President & CEO***	

Signatures

/s/Glen W. Brown, by P.O.A. for James R.
Barlow

08/13/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Includes 9,000 shares granted pursuant to the 2014 Stock Incentive Plan which reflect the unvested portion of a grant award originally
- (1) covering 15,000 shares that commenced vesting at a rate of 20% per year on October 26, 2016, and 6,474 shares held jointly with the reporting person's spouse.
 - (2) Reflects units which represent share interests and a reserve of cash in the Issuer's 401(k) Plan. Based on a report dated August 10, 2018.
 - (3) Includes shares allocated to the reporting person's account in the ESOP since the last filed Form 4.
 - (4) The options are vesting at a rate of 20% per year commencing on October 26, 2016.
 - (5) The options are vesting at a rate of 20% per year commencing on July 31, 2015.
 - (6) The options vested at a rate of 20% per year commencing on January 31, 2013.
 - (7) The options vested at a rate of 20% per year commencing on August 19, 2011.

Remarks:

*** Also serves as President and Chief Executive Officer of the Issuer's wholly owned subsidiary, Home Federal Bank.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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