Edgar Filing: INTL FCSTONE INC. - Form 8-K

INTL FCSTONE INC. Form 8-K September 12, 2018

UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the

Securities Exchange Act of 1934

Date of report: September 12, 2018

Date of earliest event reported: September 7, 2018

INTL FCStone Inc.

(Exact Name of Registrant as Specified in Charter)

Delaware 000-23554 59-2921318

(State or Other Jurisdiction of Incorporation) (Commission File No.) (IRS Employer Id. No.)

708 Third Avenue, Suite 1500, New York, New York
(Address of Principal Executive Offices)
10017
(Zip Code)

Registrant's telephone number, including area code: (212) 485-3500

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

written communications pursuant to Rule 425 under the Securities Act (17 CFR 250.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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Item 5.02. Election of Director.

Diane L. Cooper was elected to the Board of Directors of INTL FCStone Inc. on September 7, 2018, and will receive the same compensation package as other non-executive Directors of INTL FCStone Inc., prorated until the next annual shareholders' meeting.

Item 7.01. Regulation FD Disclosure.

A copy of the related press release issued on September 12, 2018 is filed herewith as Exhibit 99.1.

Item 9.01. Financial Statements and Exhibits.

Exhibit 99.1 Press release dated as of September 12, 2018.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

INTL FCStone Inc.

Date: September 12, 2018 By: /s/ Brian T. Sephton

Brian T. Sephton, Chief Legal & Governance Officer

Exhibit Index

Exhibit No. Description of Document

Exhibit 99.1 Press release dated as of September 12, 2018.