#### Edgar Filing: ASHFORD HOSPITALITY TRUST INC - Form 3

#### ASHFORD HOSPITALITY TRUST INC

Form 3 June 16, 2014

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement ASHFORD HOSPITALITY TRUST INC [AHT] Eubanks Deric S (Month/Day/Year) 06/13/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) **14185 DALLAS** (Check all applicable) PARKWAY, SUITE 1100 (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Chief Financial Officer Person DALLAS, TXÂ 75254 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock D 54,113 Common Stock 2,215 I Through Spouse's IRA Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	· ·		4. Conversion	5. Ownership	6. Nature of Indirect Beneficial
(11311. 4)	(Month/Day/Year)	Securities Underlying Derivative Security (Instr. 4)		1	Ownership (Instr. 5)

Edgar Filing: ASHFORD HOSPITALITY TRUST INC - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Common Limited Partnership Units (1)	(1)	(2)	Common Stock (1)	19,396 (1)	\$ 0	D	Â
Special Limited Partnership Units (3)	(3)(4)	(5)	Common Stock (3)	56,855 (3)	\$ 0	D	Â
Special Limited Partnership Units (3)	02/27/2015(6)	(5)	Common Stock (3)	10,578 (3)	\$ 0	D	Â
Special Limited Partnership Units (3)	03/04/2015(6)	(5)	Common Stock (3)	10,000 (3)	\$ 0	D	Â
Special Limited Partnership Units (3)	03/29/2015(6)	(5)	Common Stock (3)	5,000 (3)	\$ 0	D	Â
Special Limited Partnership Units (3)	03/31/2015(6)	(5)	Common Stock (3)	18,749 (3)	\$ 0	D	Â
Special Limited Partnership Units (3)	02/27/2016(6)	(5)	Common Stock (3)	10,578 (3)	\$ 0	D	Â
Special Limited Partnership Units (3)	03/04/2016(6)	(5)	Common Stock (3)	10,000 (3)	\$ 0	D	Â
Special Limited Partnership Units (3)	02/27/2017(6)	(5)	Common Stock (3)	10,578 (3)	\$ 0	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Othe	
Eubanks Deric S 14185 DALLAS PARKWAY SUITE 1100	Â	Â	Chief Financial Officer	Â	
DALLAS, TX 75254					

# **Signatures**

/s/ DERIC S.
EUBANKS

\*\*Signature of Reporting
Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Common limited partnership units ("Common Units") in Ashford Hospitality Limited Partnership, the Issuer's operating subsidiary

  (1) ("Subsidiary"). Common Units are redeemable for cash or, at the option of the Issuer, shares of the Issuer's common stock on a 1-for-1 basis.

Reporting Owners 2

#### Edgar Filing: ASHFORD HOSPITALITY TRUST INC - Form 3

- (2) The Common Units have no expiration date.
  - Special long-term incentive partnership units ("LTIP Units") in Subsidiary. Vested LTIP Units, upon achieving parity with the Common
- (3) Units, are convertible into Common Units at the option of the Reporting Person. Common Units are redeemable for cash or, at the option of the Issuer, shares of the Issuer's common stock on a 1-for-1 basis.
- (4) Currently vested.
- (5) The LTIP Units have no expiration date.
- (6) Date of vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.