Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

NOMURA HOLDINGS INC Form 13F-HR February 17, 2009

OMB APPROVAL
OMB Number:
Estimated average burden
hours per response
SEC USE ONLY

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 13F

FORM 13f Cover Page

Report for the Calendar Year or Quarter Ended December 31, 2008 If amended report check here: |_| Amendment Number: _____ This Amendment (Check only one): |_| is a restatement |_| adds new holding entries. PRIOR FILINGS FOR THE NOMURA GROUP WERE REPORTED UNDER THE NAME NOMURA SECURITIES COMPANY, WITH CIK NUMBER 0000110097. SUBSEQUENT FILINGS WILL BE MADE UNDER THE NAME NOMURA HOLDINGS, INC., CIK NUMBER 0001163653. NOMURA HOLDINGS, INC. (NHI) IS THE PARENT HOLDING COMPANY OF A NUMBER OF OPERATING SUBSIDIARIES, SOME OF WHICH ARE OR MAY BE INSTITUTIONAL INVESTMENT MANAGERS FOR THE PURPOSES OF THE REPORTING REQUIREMENTS UNDER SECTION 13(F) OF THE SECURITIES EXCHANGE ACT OF 1934 (THE ACT) AND THE RULES PROMULGATED THEREUNDER. NHI ITSELF DOES NOT DIRECTLY EXERCISE INVESTMENT DISCRETION WITH RESPECT TO ANY SECTION 13(F) SECURITIES POSITIONS. IN THAT NHI'S OWNERSHIP INTEREST IN SUCH OPERATING SUBSIDIARIES IS DEEMED THE EXERCISE OF INVESTMENT DISCRETION REGARDING CERTAIN OF SUCH SUBSIDIARIES' MANAGED ACCOUNTS, THE INFORMATION REQUIRED BY FORM 13F IS REPORTED BY NHI ON BEHALF OF CERTAIN OF NHI'S OPERATING SUBSIDIARIES WHICH ARE INSTITUTIONAL INVESTMENT MANAGERS SUBJECT TO SECTION 13(F)OF THE ACT. Nomura Holdings Inc. ______ Name of Institutional Investment Manager 1-9-1, Nihonbashi, Chuo-ku, Tokyo 103-8011, Japan _____ Business Address (Street) (City) (State)

13F File Number: 001-15270

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Brendan G. Daly Head of Compliance and Surveillance 212-667-1246

Name (Title) (Phone)

/s/ Brendan G. Daly

(Manual Signature of Person Duly
Authorized to Submit This Report)

New York, NY February 17, 2009
-----(Place and Date of Signing)

Report Type:

- [] 13F HOLDINGS REPORT.
- [] 13F NOTICE.
- [X] 13F COMBINATION REPORT.

Form 13F SUMMARY PAGE

Report Summary:

Number of Other Included Managers: 4

Form 13F Information Table Entry Total: 31

Form 13F Information Table Value Total: \$20,387

(thousands)

List of Other Included Managers:

Name and 13F file numbers of ALL Institutional Investment Managers with respect to which this schedule is filed (other than the one filing this report): (List in alphabetical order).

13F File No.: Name:

6. 28-05739 Global Funds Management, S.A.

. 20 03/37 Global Lunus Hanagement, 5.1

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

2.	28-04985	Nomura	Internation	nal plc	
4.	28-04993	Nomura	Securities	(Bermuda)	
1.	28-04983	Nomura	Securities	International,	Inc.

1Page 1

FORM 13F INFORMATION TABLE QUARTER ENDING 12/31/2008

NAME OF ISSUER	TITLE OF CLASS	CUSIP	VALUE X1000	SHARES PRN AMT	SH PRN	PUT CALL	INVSTMT DSCRETN	OT MAN
OACCURIDE CORP		004398103	138	000000600000	SH		DEFINED	01
ALLIS CHALMERS ENERGY INC		019645506	106	000000019400	SH		DEFINED	01
ALTUS PHARMACEUTICALS INC		02216N105	459	000000866624	SH		DEFINED	02
ARMSTRONG WORLD INDS INC NEW				000000039637				
COMCAST CORP NEW		20030N200	168	000000010443	SH		DEFINED	01
ORE MARK HOLDING CO INC		218681104	1,253	000000058235	SH		DEFINED	01
DANA HOLDING CORP DELTA AIR LINES INC DEL		235825205	606	000000846303	SH		DEFINED	01
DELTA AIR LINES INC DEL		247361702	802	000000070014	SH		DEFINED	01
FTI CONSULTING INC		302941109	397	000000008900	SH		DEFINED	01
FEDERAL MOGUL CORP		313549404	1,668	000000840303 000000070014 000000008900 000000394474	SH		DEFINED	01
GRACE W R & CO DEL NEW		38388F.T08	/8	000000013221	SH		DELINED	UΙ
HORNBECK OFFSHORE SVCS INC N		440543106	186	000000011388	SH		DEFINED	01
HUNTSMAN CORP		447011107	182	000000052990	SH		DEFINED	01
IDENIX PHARMACEUTICALS INC		45166R204	1,786	000000301476	SH		DEFINED	02
INNOPHOS HOLDINGS INC				000000023409			DEFINED	
JPMORGAN & CHASE & CO				000000010000				
MEDCATH CORP				000000018047				
MIRANT CORP NEW				000000034531				
NRG ENERGY INC				000000018350				
NYSE EURONEXT		629491101	1,434	000000052400	SH		DEFINED	01
OWENS CORNING NEW		690742101	1,091	000000063073	SH		DEFINED	01
SCOTTS MIRACLE GRO CO		810186106	210	000000007090	SH		DEFINED	01
EMGROUP ENERGY PARTNERS L P		81662W108	110	000000007090 000000043800 000000255491 000000373200	SH		DEFINED	01
SOLUTIA INC		834376501	1,149	000000255491	SH		DEFINED	01
SOVEREIGN BANCORP INC		845905108	1,112	000000373200	SH		DEFINED	02
ARGACEPT INC		87611R306	3 , 607	000001190476	SH		DEFINED	02
TIME WARNER CABLE INC				000000013103				
TYSON FOODS INC				000000018813				
VIRGIN MEDIA INC				000000030556				
WATERS CORP				00000000500				
WINN DIXIE STORES INC		974280307	316	000000019660	SH		DEFINED	01