Edgar Filing: Orford Keith - Form 4

Orford Keith

Form 4 January 16, 2	018										
FORM 4 UNITED STATES SE									OMB APPROVAL		
CURIVI 4 UNITED STATES SECURITIES AND EXCHANGE C Washington, D.C. 20549						COMMISSION	N OMB Number:	3235-0287			
Section 16. Form 4 or Form 5 obligations may continue Form 5 Section 17(a) of the Pu				CHANGES IN BENEFICIAL OWNERSHIP SECURITIES ection 16(a) of the Securities Exchange Act of 19 ublic Utility Holding Company Act of 1935 or S of the Investment Company Act of 1940				Estimated burden hou response	Estimated average burden hours per response 0.5		
1(b).	etton				•	•					
(Print or Type R	esponses)										
Outand Vaidh			Symbol	er Name an o		C C	5. Relationship of Reporting Person(s) to Issuer				
			Calithe	ra Bioscie	ences, Ind	c. [CALA]	(Che	eck all applicabl	e)		
	(First) (1 HERA BIOSCIE YSTER POINT	ENCES,		of Earliest T Day/Year) 2018	ransaction		Director X Officer (giv below)	109	% Owner er (specify		
				endment, Dannt, Dannth/Day/Yea		1	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
SOUTH SAN FRANCISCO	N O, CA 94080						Form filed by Person	More than One R	eporting		
(City)	(State)	(Zip)	Tab	le I - Non-I	Derivative	Securities A	cquired, Disposed	of, or Beneficia	lly Owned		
	ity (Month/Day/Year) Execution Date, i		d Date, if	3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or Code V Amount (D) Price		5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: Orford Keith - Form 4

1. Title of Derivative2.Derivative SecurityConversion or Exercise(Instr. 3)Price of Derivative Security		Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, any (Month/Day/Yea	Code	5. Number of iorDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 1 2 ()
					Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
	Stock Option (Right to Buy)	\$ 8.6	01/11/2018		А	70,000		<u>(1)</u>	01/10/2028	Common Stock	70,000	
Reporting Owners												
	Reporting Owner Name / Address			Relationships								
			Director	10% Owner	6 Owner Officer				Other			
Orford Keith C/O CALITHERA BIOSCIENCES, INC. 343 OYSTER POINT BLVD. #200 SOUTH SAN FRANCISCO, CA 94080					SR. VP, CLINICAL DEVELOPMEN				NT			
	Signa	turos										

Signatures

/s/ Keith Orford 01/16/2018

<u>**</u>Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

One-fourth (1/4th) of the Option vests one year after January 11, 2018; the balance of the Option vests in a series of thirty-six (36) (1) successive equal monthly installments thereafter. The Option shall be subject to accelerated vesting as set forth in the optionee's

employment agreement with the Company.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

8 E S ()