#### DONDERO JAMES D

Form 4/A

October 03, 2017

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Common

Common

Stock Class A 09/30/2017

09/30/2017

(Print or Type Responses)

DONDERO JAMES D Symbol			r Name <b>and</b> Il Corp [P	l Ticker or T	rading	Ţ	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)					(Check all applicable)  DirectorX 10% Owner Officer (give title Other (specify			
300 CRESC 700	CENT COURT,	SUITE	09/30/2	017				below)	below)	er (specify	
			endment, Date Original onth/Day/Year) 2017				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
DALLAS, 7	ΓX 75201							Form filed by N Person	More than One Re	porting	
(City)	(State)	(Zip)	Tab	le I - Non-I	Derivative S	ecuriti	ies Acqu	uired, Disposed o	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	r) Execution any		Code (Instr. 8)	4. Securitie or(A) or Disp (Instr. 3, 4	oosed of and 5)  (A) or	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Common Stock	09/30/2017			Code V S	Amount 704,267	(D)	Price \$ 6.83	0	I	By trust #1	
Class A Common Stock	09/30/2017			P	704,267	A	\$ 6.83	704,267	D		
Class A							¢				

S

P

704.267 D

704,267 A

0

704,267

6.83

6.83

\$

D

I

By trust

#2

#### Edgar Filing: DONDERO JAMES D - Form 4/A

Stock

Class A Common Stock	1,273,884 (1)	I	See Footnote 1
Class A Common Stock	261,859	I	By affiliated funds

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr.	ectio	5. InNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)
				Code	V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
•	Director	10% Owner	Officer	Other		
DONDERO JAMES D 300 CRESCENT COURT, SUITE 700 DALLAS, TX 75201		X				

# **Signatures**

Reporting Person

/s/ James D.	10/03/2017			
Dondero	10/03/2017			
**Signature of	Date			

Reporting Owners 2

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### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These shares are held by Highland Capital Management, L.P. ("HCMLP") both directly and indirectly through advised accounts. Mr.

  Dondero is the President and the director of Strand Advisors, Inc. ("Strand"), HCMLP's general partner, and may be deemed to be an indirect beneficial owner of shares held by HCMLP. Mr. Dondero disclaims beneficial ownership of such shares except to the extent of the strand of the shares are held by HCMLP. Mr. Dondero disclaims beneficial ownership of such shares except to the extent of the strand of the shares are held by HCMLP. Mr. Dondero disclaims beneficial ownership of such shares except to the extent of the strand of the shares are held by HCMLP. Mr. Dondero disclaims beneficial ownership of such shares except to the extent of the shares are held by HCMLP. Mr. Dondero disclaims beneficial ownership of such shares except to the extent of the shares are held by HCMLP.
- indirect beneficial owner of shares held by HCMLP. Mr. Dondero disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.