

Edgar Filing: DAVITA INC - Form 4

DAVITA INC  
Form 4  
September 09, 2002

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/ OMB APPROVAL /  
/-----/  
/ OMB Number: 3235-0287 /  
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| FORM 4 |  
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U.S. SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

Check this box if  
no longer subject  
to Section 16.  
Form 4 or Form 5  
obligations may  
continue. See  
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP  
Filed pursuant to Section 16(a) of the Securities  
Exchange Act of 1934, Section 17(a) of the  
Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person\*

Fontaine, Richard B.

(Last) (First) (Middle)

21250 Hawthorne Blvd., Suite 800

(Street)

Torrance, CA 90503

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol DaVita Inc. (DVA)

3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)

4. Statement for Month/Year 08/2002

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

X  
 Director  Officer  10% Owner  Other  
(give title below) (specify below)

7. Individual or Joint/Group Filing (Check Applicable Line)

X  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

Table I--Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

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| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |     |        | 5. Amount of Securities Beneficially Owned at end of month (Instr. 3 and 4) |            |
|---------------------------------|--------------------------------------|--------------------------------|---|-----|--------|---|------------|
|                                 |                                      |                                | Code  | V   | Amount |   | (A) or (D) |
| Common Stock                    | 03/31/2002                           | A/(1)/                         | V   | 217 | A      | \$0.00  |            |
| Common Stock                    | 06/30/2002                           | A/(2)/                         | V   | 337 | A      | \$0.00  | 1,048      |

POTENTIAL PERSONS WHO ARE TO RESPOND TO THE COLLECTION OF INFORMATION CONTAINED IN THIS FORM ARE NOT REQUIRED TO RESPOND UNLESS THE FORM DISPLAYS A CURRENTLY VALID OMB CONTROL NUMBER.

Table II--Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transaction Code (Instr. 8) |   | 5. Number of Derivative Securities Acquired (A) or Disposed of (Instr. 3, |
|--|--|--------------------------------------|--------------------------------|---|---|
|  |  |                                      | Code                           | V |   |
| Stock Options (Right to buy)               | \$25.22  | 04/11/2002                           | A/(3)/                         | V | 18,000  |

Table II--Derivative Securities Acquired, Disposed of, or Beneficially Owned



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Torrance, CA 90503

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Note: 1 Grant of Restricted Units which will vest on March 31, 2003.

Note: 2 Grant of Restricted Units which will vest on June 30, 2003.

Note: 3 Nonqualified stock options granted under the First Amended and Restated 1997 Equity Compensation Plan.

Note: 4 The option vests according to the following schedule: 7,333 shares on April 11, 2003, 7,333 shares on April 11, 2004, and 3,334 shares on April 11, 2005.