## Edgar Filing: LATTICE SEMICONDUCTOR CORP - Form 4

LATTICE SEMICONDUCTOR CORP Form 4 December 30, 2015 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **KRISHNAMURTHY BALAJI** Issuer Symbol LATTICE SEMICONDUCTOR (Check all applicable) CORP [LSCC] (Last) (First) (Middle) 3. Date of Earliest Transaction X\_ Director 10% Owner Other (specify Officer (give title (Month/Day/Year) below) below) 5555 N.E. MOORE CT. 12/29/2015 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Dav/Year) Applicable Line) \_X\_ Form filed by One Reporting Person \_ Form filed by More than One Reporting HILLSBORO, OR 97124 Person (Zip) (City) (State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of 3. Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities Form: Direct Indirect (Instr. 3) Code (D) Beneficially (D) or Beneficial any (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount Price (D) Common 2,000 \$ 12/29/2015 Μ A 73,497 D (1) 4.53 Stock 2,000 Common 12/29/2015 S D \$7 71,497 D (1) Stock Common 8,000 12/29/2015 Μ A 79.497 D Stock (1) 4.74 Common 8,000

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

S

(1)

D

\$7

71.497

12/29/2015

Stock

D

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Ame Underlying Secu (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Ai or Ni of Sł
Non-Qualified Stock Option (right to buy)	\$ 4.53	12/29/2015		М	2,000 (1)	07/31/2006(2)	01/31/2016	Common Stock	2
Non-Qualified Stock Option (right to buy)	\$ 4.74	12/29/2015		М	8,000	11/07/2009 <u>(3)</u>	08/07/2017	Common Stock	8

## **Reporting Owners**

Reporting Owner Name / Address		Relationsh									
	Director	10% Owner	Officer	Other							
KRISHNAMURTHY BALAJI 5555 N.E. MOORE CT. HILLSBORO, OR 97124	Х										
Signatures											
By: Byron W. Milstead, Attorne Krishnamurthy	12/29/2015										

\*\*Signature of Reporting Person

**Explanation of Responses:** 

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). \*\*

(1) The transaction indicated was conducted under an approved 10b5-1 Plan.

This option to purchase shares of common stock vests as follows: 12.5% of the total amount of shares subject to the option vest on (2) 7/31/06, and 6.25% of the total amount of shares subject to the option vest each three months thereafter until all shares are vested on 1/31/2010.

Date

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- This option to purchase shares of common stock vests as follows: 25% of the total amount of shares vest subject to the option on
- (3) 11/7/2009. 25% of the total amount of shares subject to the option vest each three months thereafter until all shares are vested on 8/7/2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.