Radue Mark M Form 4 September 05, 2017

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Radue Mark M

(First)

(Middle)

C/O OSHKOSH CORPORATION, 2307 OREGON **STREET** 

(Street)

(State)

2. Issuer Name and Ticker or Trading Symbol

OSHKOSH CORP [OSK]

3. Date of Earliest Transaction (Month/Day/Year)

09/01/2017

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Issuer

below)

(Check all applicable)

Director 10% Owner Other (specify X\_ Officer (give title

**EVP Chief Strategy Officer** 

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

OSHKOSH, WI 54902

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Month/Day/Year)

(Zip)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

(e.g., puts, calls, warrants, options, convertible securities)

(A)

or

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I) Ownership (Instr. 4) (Instr. 4)

Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Derivative Conversion 3. Transaction Date 3A. Deemed

5. Number

6. Date Exercisable and (Month/Day/Year) Execution Date, if Transaction of Derivative Expiration Date

7. Title and Amount of 8. Pr Underlying Securities

(9-02)

1

#### Edgar Filing: Radue Mark M - Form 4

| Secur<br>(Instr      | - | or Exercise<br>Price of<br>Derivative<br>Security |            | any<br>(Month/Day/Year) | Code (Instr. 8) | Securities<br>Acquired<br>(A) or<br>Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | (Month/Day/Year)      |                    | (Instr. 3 and 4) |  | Secu<br>(Inst |
|----------------------|---|---|------------|-------------------------|-----------------|---|-----------------------|--------------------|------------------|--|---------------|
|                      |   |   |            |                         | Code V          | (A) (D)   | ) Date<br>Exercisable | Expiration<br>Date | Title            | Amount<br>or<br>Number<br>of<br>Shares |               |
| Rest<br>Stoc<br>Unit |   | <u>(1)</u>  | 09/01/2017 |                         | A               | 3.579   | (2)                   | (2)                | Common<br>Stock  | 3.579                                  | \$            |
| Rest<br>Stoc<br>Unit |   | <u>(1)</u>  | 09/01/2017 |                         | A               | 7.967   | <u>(3)</u>            | <u>(3)</u>         | Common<br>Stock  | 7.967                                  | \$            |
| Rest<br>Stoc<br>Unit |   | (1)   | 09/01/2017 |                         | A               | 7.618   | <u>(4)</u>            | <u>(4)</u>         | Common<br>Stock  | 7.618                                  | \$            |

### **Reporting Owners**

| Reporting Owner Name / Address |          | Keiauonsnips |         |       |  |  |  |
|--------------------------------|----------|--------------|---------|-------|--|--|--|
|                                | Director | 10% Owner    | Officer | Other |  |  |  |

Radue Mark M C/O OSHKOSH CORPORATION 2307 OREGON STREET OSHKOSH, WI 54902

**EVP Chief Strategy Officer** 

#### **Signatures**

Ignacio A. Cortina, for Mark M. Radue

09/05/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit represents a contingent right to receive one share of OSK common stock.
- (2) The Restricted Stock Units acquired will be settled proportionately with the Restricted Stock Units Award originally granted on 9/15/2014.
- (3) The Restricted Stock Units acquired will be settled proportionately with the Restricted Stock Units Award originally granted on 11/12/2015.
- (4) The Restricted Stock Units acquired will be settled proportionately with the Restricted Stock Units Award originally granted on 11/21/2016

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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