**OSHKOSH CORP** 

Form 4

November 15, 2016

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

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Check this box

Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Cortina Ignacio A

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Last)

(First)

(Middle)

(Zip)

OSHKOSH CORP [OSK] 3. Date of Earliest Transaction

(Month/Day/Year) 11/11/2016

(Check all applicable)

Director X\_ Officer (give title

10% Owner Other (specify

below)

SVP, Gen. Counsel & Secretary

C/O OSHKOSH CORPORATION, 2307 OREGON **STREET** 

(Street)

(State)

(City)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

OSHKOSH, WI 54902

| (City)                               | (State)                              | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |  |        |             |  |  |   |  |  |
|--------------------------------------|--------------------------------------|--|--|--|--------|-------------|--|--|---|--|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                            | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securities Approx Disposed of (Instr. 3, 4 an | of (D) | red (A)     | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |  |
| Common<br>Stock                      | 11/11/2016                           |  | M                                      | 1,407.174  | A      | <u>(1)</u>  | 8,271.374  | D  |   |  |  |
| Common<br>Stock                      | 11/11/2016                           |  | F                                      | 493  | D      | \$<br>65.71 | 7,778.374  | D  |   |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Code   | 5. Number of or Derivative Securities Acquired (A) or Disposed of (D) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                            |
|---|---|---|---|--------|---|--|--------------------|---|----------------------------|
|   | Security  |   |   | Code V | (Instr. 3, 4, and 5) (A) (D)  | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>Number<br>Shares |
| Restricted<br>Stock<br>Units                        | <u>(1)</u>  | 11/11/2016                              |   | M      | 1,407.174   | 11/12/2016   | (2)                | Common<br>Stock   | 1,407.                     |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Cortina Ignacio A C/O OSHKOSH CORPORATION 2307 OREGON STREET OSHKOSH, WI 54902

SVP, Gen. Counsel & Secretary

# **Signatures**

Ignacio A.
Cortina

\*\*Signature of Date Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit represents a contingent right to receive one share of OSK common stock.
- (2) Restricted Stock Unit Award vests in one-third (1/3) annual increments commencing on 11/12/2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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