### Edgar Filing: Worzel Ken - Form 4

Worzel Ken Form 4 June 06, 2018 <b>FORM</b> Check this I if no longer subject to Section 16. Form 4 or Form 5 obligations may continu <i>See</i> Instruct 1(b).	Filed pursua Section 17(a) c	Was NT OF CHAN ant to Section 10 of the Public Ut	CITIES AND EXCHANGE Shington, D.C. 20549 GES IN BENEFICIAL OV SECURITIES 6(a) of the Securities Exchan ility Holding Company Act of vestment Company Act of 19	W <b>NERSHIP OF</b> ge Act of 1934, of 1935 or Sectio	OMB Number: Expires: Estimated burden ho response.	urs per	
(Print or Type Res	sponses)						
1. Name and Address of Reporting Person <u>*</u> Worzel Ken		Symbol	Name and Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) C/O NORDST SIXTH AVEN	(First) (Midd FROM, INC., 1617 NUE	(Month/D	-	Director 10% Owner X Officer (give title Other (specify below) below) Chief Digital Officer			
SEATTLE, W	(Street)		ndment, Date Original th/Day/Year)	<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>			
(City)	(State) (Zip	))		Person	с <b>ъ</b> с		
1.Title of	2. Transaction Date 2. (Month/Day/Year) E au	A. Deemed	e I - Non-Derivative Securities Ad 3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or Code V Amount (D) Price	5. Amount of Securities Beneficially (Owned D	f, or Beneficia 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of	
Common Stock				123,959.75	D		
Common Stock				3,972.637	I	By 401(k) Plan, per Plan statement dated 5/31/2018	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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# required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price Deriva Securit (Instr.
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Units	<u>(1)</u>	06/04/2018		А	40.35 (2)		(3)	(3)	Common Stock	40.35	\$ 50.

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Worzel Ken C/O NORDSTROM, INC. 1617 SIXTH AVENUE SEATTLE, WA 98101			Chief Digital Officer			
<b>O</b> !						

### Signatures

Karen Ruby, Attorney-in-Fact for Ken Worzel

\*\*Signature of Reporting Person

06/06/2018 Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 1 for 1
- (2) Stock unit dividend paid on performance share units that were deferred at the election of the reporting person under the Executive Deferred Compensation Plan.
- (3) The stock units are convertible into issuer's common stock and payable upon the occurrence of certain events, including the reporting person's retirement from the issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.