Edgar Filing: RAYMOND JAMES FINANCIAL INC - Form 4

RAYMOND JAMES FINANCIAL INC

Form 4

Common

Common

Stock

Stock

November 28, 2007

FORM	1 4						PPROVAL	
	Washington, D.C. 20549						3235-0287	
Check the if no long	rer		CEC IN I		WATERCHIE OF	Expires:	January 31, 2005	
subject to Section 1 Form 4 o Form 5	6. r		SECURITIES ection 16(a) of the Securities Exchange Act of 193			Estimated average burden hours per response (
obligation may cont See Instru	ns inue. Section 17(a)	of the Public Ut	ility Hold	ing Company Act Company Act of 1	of 1935 or Section	n		
(Print or Type I	Responses)							
1. Name and A	Symbol	2. Issuer Name and Ticker or Trading Symbol RAYMOND JAMES FINANCIAL			5. Relationship of Reporting Person(s) to Issuer			
	INC [RJ		IES FINANCIAL	(Check all applicable)				
(Last)	(First) (Mi	ddle) 3. Date of (Month/D	Earliest Tra	ansaction	Director 10% OwnerX Officer (give title Other (specify			
880 CARIL	11/27/20	007		below) below) Executive Vice President - RJF				
		4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
ST. PETER	SBURG, FL 33716	6			Form filed by M Person	More than One Re	eporting	
(City)	(State) (Z	Zip) Table	e I - Non-D	erivative Securities A	cquired, Disposed of	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities on Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	

(A)

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Transaction(s) (Instr. 3 and 4)

D

I

138,270

12,516 (1)

ESOP

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exerci Expiration Dat (Month/Day/Y	te	7. Title and 2 Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 16.8					12/04/2006	02/04/2009	Common Stock	18,000 (2)
Employee Stock Option (right to buy)	\$ 24.9733					12/01/2008	02/01/2011	Common Stock	15,000 (3)
Employee Stock Option (right to buy)	\$ 30.44	11/27/2007		M	15,000	11/27/2010	01/27/2013	Common Stock	15,000 (4)

Reporting Owners

Reporting Owner Name / Address	Relationships

Director 10% Owner Officer Other

TROCIN JEFFREY E 880 CARILLON PARKWAY ST. PETERSBURG, FL 33716

Executive Vice President - RJF

Signatures

Reporting Person

Jeffrey E.
Trocin

**Signature of Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes number of shares acquired under ESOP through 11/27/2007

Reporting Owners 2

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- (2) Options Currnetly Exercisable 8,092- Options Becoming Exercisable as follows: 5,952 on 1/04/2008 and 3,956 on 1/04/2009
- (3) Options Becoming Exercisable as follows: 6,150 on 12/01/2008, 1,342 on 2/01/2009, 3,999 on 2/01/2010 and 3,509 on 2/01/2011
- (4) Options Becoming exercisable 9,000 on 11/27/2010, 3,000 on 11/27/2011 and 3,000 on 11/27/2012

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.