Edgar Filing: Ally Financial Inc. - Form 4

Ally Financi	al Inc.									
Form 4 August 05, 2	2014									
FORM								OMB AF	PPROVAL	
Washington, D.C. 20549							OMB Number:	3235-0287		
Check th if no long	aer.			DENIDE	ICIA	LOW		Expires:	January 31, 2005	
subject to STATEMENT OF CHANGE				GES IN BENEFICIAL OWNERS SECURITIES				Estimated a burden hou response	average rs per	
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										
(Print or Type I	Responses)									
Brown Jeffrey Jonathan Symb			2. Issuer Name and Ticker or Trading ymbol Illy Financial Inc. [ALLY]				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (N		3. Date of Earliest Transaction			(Chec	k all applicable	;)		
(Mon			Month/Day/Year) 8/01/2014				Director 10% Owner X_ Officer (give title Other (specify below) below) Pres & CEO, DIr Fin Svcs			
			If Amendment, D ed(Month/Day/Yea	-	1		 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
							Person			
(City)	(State)	(Zip)	Table I - Non-	Derivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution Da any		e, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (ear) (Instr. 8) (A)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial		
			Code V	' Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock (1)	08/01/2014		М	4,316	А	\$0	4,316	D		
Common Stock	08/01/2014		F	263	D	\$ 23.91 (2)	4,053	D		
Common Stock	08/01/2014		D	4,053	D	\$ 23.91 (2)	0	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

2)

1

Edgar Filing: Ally Financial Inc. - Form 4

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Numb orDerivati Securitic Acquire Dispose (Instr. 3, 5)	ve es d (A) or d of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. De Se (I1
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Deferred Stock Units (DSU)	<u>(3)</u>	08/01/2014		A	6,109		(3)	(3)	Common Stock	6,109	
Deferred Stock Units (DSU)	<u>(3)</u>	08/01/2014		М		4,316	(3)	(3)	Common Stock	4,316	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Brown Jeffrey Jonathan 200 RENAISSANCE CENTER DETROIT, MI 48265			Pres & CEO, Dlr Fin Svcs				
Signatures							
	C . C . M						

/s/ Cathy L. Brown	08/05/2014	
	**Signature of Reporting Person	Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The common stock reported includes (i) shares issued upon the settlement of a portion of DSUs awarded in prior periods, plus (ii) shares (1)issued as a result of the immediate settlement of the portion of DSUs granted, to pay applicable taxes.
- (2) Represents the per share fair market value of the Company's common stock as of July 23, 2014.
- (3) Each Deferred Stock Unit (DSU) represents a vested right to receive the value of one share of the Company's common stock in cash equal to the fair market value of a share of the Company's common stock. A portion of all DSUs granted are immediately settled to pay

Edgar Filing: Ally Financial Inc. - Form 4

applicable taxes. DSUs do not have an expiration or exercise date or carry a conversion price. May reflect rounding of fractional shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.