5.

### Edgar Filing: RICHARDSON DUNCAN W - Form 3

RICHARDSON DUNCAN W

1. Title of Derivative Security 2. Date Exercisable and

Expiration Date

(Month/Day/Year)

(Instr. 4)

Form 3

January 26, 2006

FORM	I 3 <sup>UN</sup>	ITED STAT	RITIES AND EXCHANGE COMMISSION			ION	OMB APPROVAL					
	Washington, D.C. 20549							OMB Number:	3235-0104			
INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF							7	Expires:	January 31, 2005			
		led pursuant ion 17(a) of 3(	the Public U	tility Holdi	Securities E	Act of 193			Estimated a burden hour response	verage		
(Print or Type F	Responses)											
1. Name and Address of Reporting       2. Date of Events         Person _*       Statement         Â RICHARDSON DUNCAN W       (Month/Day/				Year)	<sup>g</sup> 3. Issuer Name <b>and</b> Ticker or Trading Symbol EATON VANCE CORP [EV]							
(Last)	(First)	(Middle)	01/11/2006		4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)				
THE EATO BUILDING STREET					(Check	all applicable)						
(Street) BOSTON, MA 021092617				X Off (give title				Filing	<ol> <li>Individual or Joint/Group</li> <li>Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting</li> <li>Person</li> </ol>			
BOSTON,A	. MAA 02	1092617							rm filed by Mor ing Person	e than One		
(City)	(State)	(Zip)		Table I - N	Non-Derivat	tive Securiti	ies Ber	nefici	ally Owned	l		
1.Title of Secu (Instr. 4)	rity			2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Natı Owner (Instr.	rship	Indirect Benef:	icial		
Eaton Vance Corp. Non-voting Common Stock				364,110		D	Â					
Reminder: Rep owned directly	-		ch class of sec	urities benefic	<sup>ially</sup> S	EC 1473 (7-02	2)					
	infor requ	ons who resp mation conta ired to respo ently valid OM	ined in this i nd unless th	form are not e form displ	t							
ſ		erivative Secu			.g., puts, calls.	warrants, op	tions, co	onverti	ible securities	)		

3. Title and Amount of

Securities Underlying

Derivative Security

4.

Conversion

6. Nature of Indirect

			(Instr. 4)		Price of	Derivative	(Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Option (right to buy) $(1)$	(2)	11/02/2006	Eaton Vance Corp. Non-voting Common Stock	100,000	\$ 5.73	D	Â
Option (right to buy) $(1)$	( <u>3)</u>	11/01/2009	Eaton Vance Corp. Non-voting Common Stock	72,000	\$ 8.59	D	Â
Option (right to buy) $(1)$	(4)	11/01/2010	Eaton Vance Corp. Non-voting Common Stock	200,000	\$ 12.27	D	Â
Option (right to buy) $(1)$	(5)	11/01/2011	Eaton Vance Corp. Non-voting Common Stock	250,000	\$ 14.34	D	Â
Option (right to buy) $(1)$	( <u>6)</u>	11/01/2012	Eaton Vance Corp. Non-voting Common Stock	282,600	\$ 14.55	D	Â
Option (right to buy) $(1)$	(7)	11/03/2013	Eaton Vance Corp. Non-voting Common Stock	273,000	\$ 17.51	D	Â
Option (right to buy) (1)	(8)	11/01/2014	Eaton Vance Corp. Non-voting Common Stock	259,600	\$ 21.96	D	Â

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Option (right to buy) $(1)$	( <u>9)</u>	11/01/2015	Eaton Vance Corp. Non-voting Common Stock	210,500	\$ 24.87	D	Â
			Stock				

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
RICHARDSON DUNCAN W THE EATON VANCE BUILDING 255 STATE STREET BOSTON, MA 021092617	Â	Â	Executive Vice President	Â		
Signatures						
By: Katie McManus, Attorney in Fact	01/26/2006					

Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Granted under the 1998 Stock Option Plan, which is a 16b-3 plan.

- (2) Granted on November 2, 1998, these options vest over a 4 year period at 25% per year.
- (3) Granted on November 1, 1999, these options vest over a 5 year period at 20% per year.
- (4) Granted on November 1, 2000, these options vest over a 5 year period at 20% per year.
- (5) Granted on November 1, 2001, these options vest over a 5 year period at 20% per year.
- (6) Granted on November 1, 2002, these options vest over a 5 year period at 20% per year.
- (7) Granted on November 3, 2003, these options vest over a 5 year period at 20% per year.
- (8) Granted on November 1, 2004 these options vest over a 5 year period at 20% per year.
- (9) Granted on November 1, 2005 these options vest over a 5 year period in increments of 10%, 15%, 20%, 25%, and 30%.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.