

PEREZ ANTONIO M
Form 4
October 02, 2012

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
PEREZ ANTONIO M

(Last) (First) (Middle)

343 STATE STREET

(Street)

ROCHESTER, NY 14650

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

EASTMAN KODAK CO [EKDKQ]

3. Date of Earliest Transaction
(Month/Day/Year)

09/28/2012

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

President, Chairman & CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock			Code V	Amount (D)	Price	491,970	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not
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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number of Shares
Option (right to buy)	\$ 24.75							<u>(1)</u>	12/06/2012	Common Stock	1
Option (right to buy)	\$ 30.96							<u>(1)</u>	04/01/2013	common stock	5
Option (right to buy)	\$ 25.88							<u>(1)</u>	12/11/2013	Common Stock	3
Option (right to buy)	\$ 23.28							<u>(1)</u>	12/10/2014	Common Stock	3
Option (right to buy)	\$ 7.41							<u>(1)</u>	12/08/2015	Common Stock	7
Option (right to buy)	\$ 4.54							<u>(3)</u>	10/13/2016	Common Stock	5
Option (right to buy)	\$ 3.4							<u>(2)</u>	02/27/2018	Common Stock	7
Stock Units	<u>(4)</u>							<u>(5)</u>	<u>(5)</u>	Common Stock	18
Stock Units	<u>(4)</u>							<u>(5)</u>	<u>(5)</u>	Common Stock	56
Stock Units <u>(6)</u>	<u>(4)</u>							<u>(5)</u>	<u>(5)</u>	Common Stock	19,
Restricted Stock Units <u>(10)</u>	<u>(4)</u>	09/28/2012		F	7,601 <u>(9)</u>			<u>(10)</u>	<u>(10)</u>	Common Stock	
Restricted Stock Units	<u>(4)</u>							<u>(8)</u>	<u>(8)</u>	Common Stock	2
	<u>(4)</u>							12/31/2013 ⁽⁷⁾	12/31/2013 ⁽⁷⁾		2

Restricted
Stock
Units

Common
Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
PEREZ ANTONIO M 343 STATE STREET ROCHESTER, NY 14650	X		President, Chairman & CEO	

Signatures

Patrick M. Sheller, as attorney-in-fact for Antonio M.
Perez

10/02/2012

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options have vested.
- (2) These options vest one-third on each of the first three anniversaries of the date of grant.
- (3) One third vests on the 2nd anniversary of the grant date; one third vests on 3rd anniversary of the grant date; remaining third vests on the 4th anniversary of the grant date.
- (4) These units convert on a one-to-one basis.
- (5) This date is not applicable to these units.
- (6) These units granted under the 2000 Omnibus Long-Term Compensation Plan; Leadership Stock Program, 2004-2005 cycle.
- (7) This is the date these restricted stock units will vest.
- (8) These units vest one-third on each of the first three anniversaries of the date of grant.
- (9) On September 28, 2012 7,601 units were deducted to pay FICA.
- (10) On September 28, 2012 524,185 of these restricted stock units vested. The remaining will vest on September 28, 2013.
- (11) Some of these units are restricted.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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