Edgar Filing: Faraci Philip J - Form 4

s box er STATEM 6. Filed purs	IENT OF suant to S a) of the F	Was CHAN ection 10 Public Ut	GES IN SECUR 6(a) of the ility Hold	D.C. 205 BENEFI ITIES e Securiti ling Com	549 CIAI es Ex pany	L OW chang Act of	NERSHIP OF e Act of 1934, f 1935 or Sectio	OMB Number: Expires: Estimated a burden hou response	•	
Responses)										
Faraci Philip J Symbol							5. Relationship of Reporting Person(s) to Issuer			
(First) (N	(iddle)	3. Date of Earliest Transaction					(Cnec	k all applicable	e)	
							below)	titleOtho	o Owner er (specify	
				onth/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting					erson	
(State)	(Zip)	Tabl	e I - Non-D	erivative S	Securi	ties Aco		or Beneficial	lv Owned	
	Execution any	ned 1 Date, if	3.	4. Securition(A) or Dis	ies Ac sposed 4 and 3 (A)	equired l of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership	7. Nature of	
01/03/2012			Code V M	Amount 31,230	(D)	Price \$	(Instr. 3 and 4)	D		
				_						
01/03/2012			F	11,312 (1)	D	\$ 0.65	61,810	D		
01/03/2012			М	53,091 (7)	А	\$ 0.65	114,901	D		
01/03/2012			F	19,230 (1)	D	\$ 0.65	95,671	D		
	012 4 UNITED S s box er STATEM 6. Filed purs Section 17(a sesponses) ddress of Reporting I (First) (M STREET (Street) ER, NY 14650 (State) 2. Transaction Date (Month/Day/Year) 01/03/2012 01/03/2012	012 4 UNITED STATES sbox state STATEMENT OF 6. 5. Section 17(a) of the F 30(h) of Section 17(a) of the F 30(h) of cesponses) oddress of Reporting Person * oddress of Reporting Person * oddress of Reporting Person * (First) (Middle) STREET (Street) 2. Transaction Date 2A. Deen (Month/Day/Year) 2. Transaction Date 2A. Deen (Month/Day/Year) 01/03/2012 01/03/2012 01/03/2012 01/03/2012	012 UNITED STATES SECUR s box STATEMENT OF CHAN 6. Filed pursuant to Section 14 as Filed pursuant to Section 14 as Section 17(a) of the Public Ut as State (first) (Middle) (first) (Middle) (Street) 4. If Amer (Street) (Zip) (State) (Zip) (Month/Day/Year) Execution Date, if any (Month/Day/Year) 01/03/2012 01/03/2012 01/03/2012 01/03/2012	012 UNITED STATES SECURITIES A Washington, s box STATEMENT OF CHANGES IN IS 6. SECUR 5. Filed pursuant to Section 16(a) of the Section 17(a) of the Public Utility Hold 30(h) of the Investment deress of Reporting Person [↑] 2. Issuer Name and Symbol EASTMAN KOE deress of Reporting Middle 3. Date of Earliest Tr (Month/Day/Year) STREET 01/03/2012 (Street) 4. If Amendment, Da Filed(Month/Day/Year) 2. Transaction Date 2A. Deemed 3. (Month/Day/Year) State) Code V 2. Transaction Date 2A. Deemed 3. Month/Day/Year) 2. Month/Day/Year) Execution Date, if Transactic any Code M 01/03/2012 M M	1012 UNITED STATES SECURITIES AND EXC Washington, D.C. 205 sbox er STATEMENT OF CHANGES IN BENEFI 6. SECURITIES Filed pursuant to Section 16(a) of the Securiti is Section 17(a) of the Public Utility Holding Com 30(h) of the Investment Company desponses) ddress of Reporting Person ¹ 2. Issuer Name and Ticker or $30(h)$ of the Investment Company ddress of Reporting Person ¹ 2. Issuer Name and Ticker or $30(h)$ of the Investment Company ddress of Reporting Person ¹ 2. Issuer Name and Ticker or $30(h)$ of the Investment Company ddress of Reporting Person ¹ 2. Issuer Name and Ticker or $30(h)$ of the Investment Company ddress of Reporting Person ¹ 2. Issuer Name and Ticker or $30(h)$ of EASTMAN KODAK CO (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) STREET 01/03/2012 (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) SR, NY 14650 (State) (Zip) Table I - Non-Derivative SS 2. Transaction Date 2A. Deemed 3. 4. Securit (Month/Day/Year) (Instr. 3, 4) (Month/Day/Year) (Instr. 8) Code (Instr. 3, 4) (Month/Day/Year) (Instr. 8)	1012 14 UNITED STATES SECURITIES AND EXCHANCE Washington, D.C. 20549 Statement of CHANGES IN BENEFICIAL Section 17(a) of the Public Utility Holding Company Section 17(a) of the Public Utility Holding Company and the Public Utility Holding Company Section 17(a) of the Public Utility Holding Company and the Public Utility Holding Company Section 17(a) of the Public Utility Holding Company and the Public Utility Holding Company Section 17(a) of the Public Utility Holding Company and the Public Utility Holding Company Section 30(h) of the Investment Company Act Responses) ddress of Reporting Person [±] 2. Issuer Name and Ticker or Tradin Symbol EASTMAN KODAK CO [EK] (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) STREET 01/03/2012 (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) STREET 01/03/2012 (State) (Zip) Table I - Non-Derivative Securities Act (Month/Day/Year) Execution Date, if Transaction(A) or Disposed any Code (Instr. 3, 4 and 3) (Month/Day/Year) (Instr. 8) (A) or (D1/03/2012 F 11,312 01/03/2012 M 53,091 A (I) 01/03/2012 M 53,091 A (I) 01/03/2012 F 19,230 D	012 VINTED STATES SECURITIES AND EXCHANGE OF Solution Statement of Changes in Beneficial OW Section 17(a) of the Public Utility Holding Company Act of 194 The probability of the Public Utility Holding Company Act of 194 Section 30(h) of the Investment Company Act of 194 Responses) defress of Reporting Person $1 2$. Issuer Name and Ticker or Trading Symbol EASTMAN KODAK CO [EK] (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) STREET 01/03/2012 (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) SR, NY 14650 (State) (Zip) Table I - Non-Derivative Securities Acquired (Month/Day/Year) (Instr. 8) $Code V Amount (D) Price 1, 31, 230 A \frac{5}{0}, 0.6501/03/2012 F \frac{11,312}{(1)} D \frac{5}{0,65}01/03/2012 M \frac{53,091}{(2)} A \frac{5}{0,65}01/03/2012 M \frac{53,091}{(2)} A \frac{5}{0,65}01/03/2012 M \frac{53,091}{(2)} A \frac{5}{0,65}01/03/2012 M \frac{53,091}{(2)} A \frac{5}{0,65}$	14 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 s box STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES s box Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, so to 1935 or Section 30(h) of the Investment Company Act of 1940 s box Section 17(a) of the Public Utility Holding Company Act of 1940 deress of Reporting Person 1 2. Issuer Name and Ticker or Trading Symbol deress of Reporting Person 2 2. Issuer Name and Ticker or Trading Symbol (First) Middle) 3. Date of Earliest Transaction (Month/Day/Year) S. Relationship of Issuer (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or to Prevantive Securities Acquired S. Amount of Code (Instr. 3, 4 and 5) (State) (Zip) Table I - Non-Derivative Securities Acquired S. Amount of Securities and (Instr. 3) 5. Amount of Securities Acquired S. Amount of Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) S. Amount of Transaction(s) (Instr. 3, and 4) 01/03/2012 F 11,312 D S 0.65 61,810 01/03/2012 F 11,312 D S 0.65 114,901		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	 5. Number of 6. Date Exercisable and Expiration Date Securities (Month/Day/Year) 3) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) 		7. Title and Amo Underlying Secu (Instr. 3 and 4)			
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	A N Sł
Option (right to buy)	\$ 26.46						(2)	05/11/2012	Common Stock	
Option (right to buy)	\$ 26.47						(2)	05/31/2012	Common Stock	4
Option (right to buy) (3)	\$ 24.75						(2)	12/06/2012	Common Stock	4
Option (right to buy) (3)	\$ 25.01						(2)	01/31/2013	Commons Stock	4
Option (right to buy) (3)	\$ 25.88						(2)	12/11/2013	Common Stock	4
Option (right to buy) (3)	\$ 23.28						(2)	12/10/2014	Common Stock	1
Option (right to buy) (3)	\$ 7.41						(2)	12/08/2015	Common Stock	2
Option (right to buy)	\$ 4.54						10/14/2013	10/13/2016	Common Stock	3
Option (right to buy)	\$ 3.4						(2)	02/27/2018	Common Stock	2
	<u>(4)</u>	01/03/2012		М			12/31/2011(8)	12/31/2011(8)		

2

Restricted Stock Units <u>(5)</u>				31,230 (<u>6)</u>			Common Stock
Restricted Stock Units	<u>(4)</u>				<u>(9)</u>	<u>(9)</u>	Commons 3 Stock 3
Restricted Stock Units (10)	<u>(4)</u>	01/03/2012	М	53,091 (7)	12/31/2011(<u>8)</u>	12/31/2011(<u>8)</u>	Common Stock
Restricted Stock Units	<u>(4)</u>				(11)	(11)	Common 1 Stock 1

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Faraci Philip J 343 STATE STREET ROCHESTER, NY 14650			President & COO					
Signatures								
Patrick M. Sheller, as attorney- Faraci	in-fact fo	r Philip J.	01/05/2012	,				

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Payment of withholding taxes.
- (2) These options vest one-third on each of the first three anniversaries of the grant date.
- (3) Stock option granted under the 2005 Omnibus Long-Term Compensaton Plan.
- (4) These units convert on a one-for-one basis.
- (5) The effective date for these restricted stock units is January 1, 2009.
- (6) Vesting and distribution of shares of Restricted Stock Units.
- (7) Vesting and distribution of shares of Leadership Stock 2009 Cycle.
- (8) This is the date these restricted stock units will vest.
- (9) These units vest 50% on both the 3rd and 4th anniversary of the grant date.
- (10) These are units earned under the Company's Leadership Stock Program for the 2009 performance cycle.
- (11) These units vest one-third on each of the first three anniversaries of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.