Salient Midstream & MLP Fund Form 3 January 29, 2016

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

 **ARNOLD CHRISTOPHER ROBERT** 

(Last) (First) (Middle)

Statement

(Month/Day/Year) 01/27/2016

> 4. Relationship of Reporting Person(s) to Issuer

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

5. If Amendment, Date Original

Filed(Month/Day/Year)

4265 SAN FELIPE, 8TH **FLOOR** 

(Street)

Director

X\_ Officer Other (give title below) (specify below) Principal Financial Officer

> (I) (Instr. 5)

(Check all applicable)

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One

Reporting Person

HOUSTON, TXÂ 77027

(City) (State) (Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. 4. Nature of Indirect Beneficial Ownership Ownership

Table I - Non-Derivative Securities Beneficially Owned

10% Owner

Salient Midstream & MLP Fund [SMM]

Form: Direct (D) or Indirect

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** 

Amount or

Number of

5. 4 Ownership Conversion or Exercise Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4)

Date **Expiration Title** Exercisable Date

Derivative Price of Derivative Security: Security Direct (D)

1

#### Edgar Filing: Salient Midstream & MLP Fund - Form 3

Shares or Indirect

(I) (Instr. 5)

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

ARNOLD CHRISTOPHER ROBERT

4265 SAN FELIPE 8TH FLOOR Â Â Principal Financial Officer Â

HOUSTON, TXÂ 77027

# **Signatures**

Chris Arnold 01/29/2016

\*\*Signature of Date

Reporting Person

## **Explanation of Responses:**

No securities are beneficially owned

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2