### LAM RESEARCH CORP

Form 4

March 03, 2016

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Expires: 2005 Estimated average burden hours per response... 0.5

**OMB APPROVAL** 

3235-0287

January 31,

**OMB** 

5. Relationship of Reporting Person(s) to

Number:

Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

subject to

Section 16.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

ARCHER TIMOTHY		Symbol Is:  LAM RESEARCH CORP [LRCX]				Issuer				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction				(Check all applicable)			
4650 CUSHING PARKWAY		(Month/Day/Year) 03/01/2016			Director 10% OwnerX Officer (give title Other (specify below)  Chief Operating Officer					
(Street) FREMONT, CA 94538		4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting					
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative S	ecurit	ies Aco	Person  quired, Disposed of	of or Reneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction (Month/Day/Y	ear) Execution		3.	4. Securition(A) or Dis (D) (Instr. 3, 4)  Amount 17,361	ies Ac sposed	quired of	• •	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	·
Stock Common	03/01/2010			А	<u>(1)</u>	A	φU	90,702 <u>(-)</u>	D	
Stock								4,321	I	By 401(k)
Common Stock								510	I	By Spouse 401(k)
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.  Persons who respond to the collection of information contained in this form are not (9-02)										

required to respond unless the form displays a currently valid OMB control

### Edgar Filing: LAM RESEARCH CORP - Form 4

#### number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	TransactiorDerivative Code Securities		erivative Expiration Date curities (Month/Day/Year) equired (A) Disposed of (a) str. 3, 4,		7. Title and Amount Underlying Securitic (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha	
Employee Stock Option (Right to Buy)	\$ 75.57	03/01/2016		A	34,722	(3)	03/01/2023	Common Stock	34,7	
Market-based Performance Restricted Stock Unit	\$ 0	03/01/2016		A	28,935	<u>(4)</u>	<u>(4)</u>	Common Stock	28,9	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
reporting of their remarks and their	Director	10% Owner	Officer	Other			
ARCHER TIMOTHY 4650 CUSHING PARKWAY FREMONT, CA 94538			Chief Operating Officer				

## **Signatures**

Brenna Hodgson by Power of Attorney 03/03/2016

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 33.3% of the RSUs will vest on 3/1/17, 3/1/18, and 3/1/19.
- (2) Amount reported includes shares subject to unvested Restricted Stock Units.
- (3) 33.3% of the stock options will vest on 3/1/17, 3/1/18, and 3/1/19.

These Market-based Performance Restricted Stock Units vest between 0% and 150% on 3/1/19. The performance of these awards is (4) based on the Lam Research Total Shareholder Return performance relative to the Philadelphia Semiconductor Sector Index Total Shareholder Return during the performance period of (2/1/16 to 2/1/19).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

## Edgar Filing: LAM RESEARCH CORP - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.