### Edgar Filing: PROVIDENT FINANCIAL SERVICES INC - Form 4

#### PROVIDENT FINANCIAL SERVICES INC

Form 4 March 06, 2015

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

**OMB APPROVAL** 

Expires: January 31, 2005

10% Owner

Other (specify

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

\_X\_\_ Director

\_X\_\_ Officer (give title

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

PROVIDENT FINANCIAL

SERVICES INC [PFS]

(Month/Day/Year)

3. Date of Earliest Transaction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

may continue.

See Instruction

30(h) of the Investment Company Act of 1940

Symbol

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(First)

(Middle)

MARTIN CHRISTOPHER P

239 WASH	`	(Month/Day/Year) 03/04/2015				below) Chairman, President and CEO				
	4. If Am	4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check				
TED GEV G	Filed(Mo	Filed(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
JERSEY C.	ITY, NJ 07302						Person			
(City)	(State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or			of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	03/04/2015		Code V A	Amount 32,633 (1)	(D)	Price \$ 0	(Instr. 3 and 4) 324,749	D		
Common Stock	03/04/2015		F	14,041	D	\$ 18.26	310,708	D		
Common Stock							131,954 (2)	I	By 401(k) Plan	
Common Stock							17,785	I	By Deferred Fee Plan	
Common Stock							10,542 (2)	I	By ESOP	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

> 8. Pri Deriv Secur (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Month/Day/Year)  Execution Date, if any (Month/Day/Year)		5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		te	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options	\$ 18.34					02/19/2016	02/19/2025	Common Stock	69,561 (3)
Stock Options	\$ 19.1					03/04/2014	03/04/2024	Common Stock	14,622 (4)
Stock Options	\$ 16.38					02/19/2015	02/19/2024	Common Stock	35,000 (5)
Stock Options	\$ 10.34					03/04/2013	03/04/2023	Common Stock	64,433 (6)
Stock Options	\$ 10.4					02/03/2012	02/03/2019	Common Stock	3,718 (6)
Stock Options	\$ 10.4					02/03/2010	02/03/2019	Common Stock	16,600 (6)
Stock Options	\$ 12.54					01/29/2009	01/29/2018	Common Stock	13,020 (6)
Stock Options	\$ 17.94					01/29/2008	01/29/2017	Common Stock	27,267 (6)
Stock Options	\$ 18.48					03/23/2007	03/23/2016	Common Stock	40,000 (6)
Stock Options	\$ 14.5					02/24/2012	02/24/2021	Common Stock	50,000 (7)
Stock Options	\$ 15.23					02/19/2014	02/19/2023	Common Stock	35,000 (8)
	\$ 14.88					02/03/2013	02/03/2022		

 $\begin{array}{ccc} \text{Stock} & \text{Common} & 25,000 \\ \text{Options} & \text{Stock} & \underline{\cancel{9}} \end{array}$ 

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MARTIN CHRISTOPHER P 239 WASHINGTON STREET JERSEY CITY, NJ 07302

X Chairman, President and CEO

**Signatures** 

/s/ Leonard Gleason, Pursuant to Power of Attorney

03/06/2015

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Performance vesting stock awards granted on February 3, 2012 (15,360 shares) and February 19, 2013 (17,273 shares) based on meeting certain performance criteria.
- (2) Reflects transactions not required to be reported pursuant to Section 16 of the Securities Exchange Act of 1934, as amended.
- (3) Stock options vest at a rate 33.3% per year over a period ending February 19, 2018.
- (4) Performance stock options granted on February 3, 2011 which vested on March 4, 2014 based on meeting certain performance criteria.
- (5) Stock options vest at a rate of 20% per year over a period ending February 19, 2019.
- (6) Stock options have fully vested.

a currently valid OMB number.

- (7) Stock options vest at a rate of 20% per year over a period ending February 24, 2016.
- (8) Stock options vest at a rate of 20% per year over a period ending February 19, 2018.
- (9) Stock options vest at a rate of 20% per year over a period ending February 3, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays

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