

Fogarty Maria V.
Form 4
April 17, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Fogarty Maria V.

(Last) (First) (Middle)

700 MARKET STREET

(Street)

ST. LOUIS, MO 63101

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

SPIRE INC [SR]

3. Date of Earliest Transaction
(Month/Day/Year)

02/08/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____ Officer (give title below) ____ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)			
			Code	V	Amount		Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)

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	Derivative Security		Disposed of (D) (Instr. 3, 4, and 5)		Date Exercisable	Expiration Date	Title	Amount or Number of Shares
			Code	V (A) (D)				
Phantom Stock	<u>(1)</u>	02/08/2018	I	1,078.04	<u>(1)</u>	<u>(1)</u>	Common Stock	1,078.04
Phantom Stock	<u>(2)</u>	04/03/2018	A	8.37	<u>(2)</u>	<u>(2)</u>	Common Stock	8.37
Phantom Stock	<u>(3)</u>	06/29/2018	A	102.26	<u>(3)</u>	<u>(3)</u>	Common Stock	102.26
Phantom Stock	<u>(2)</u>	07/03/2018	A	8.45	<u>(2)</u>	<u>(2)</u>	Common Stock	8.45
Phantom Stock	<u>(3)</u>	09/28/2018	A	98.23	<u>(3)</u>	<u>(3)</u>	Common Stock	98.23
Phantom Stock	<u>(2)</u>	10/02/2018	A	9.25	<u>(2)</u>	<u>(2)</u>	Common Stock	9.25
Phantom Stock	<u>(3)</u>	12/14/2018	A	91.09	<u>(3)</u>	<u>(3)</u>	Common Stock	91.09
Phantom Stock	<u>(2)</u>	01/03/2019	A	10.62	<u>(2)</u>	<u>(2)</u>	Common Stock	10.62
Phantom Stock	<u>(4)</u>	02/01/2019	A	19.06	<u>(4)</u>	<u>(4)</u>	Common Stock	19.06
Phantom Stock	<u>(5)</u>	02/01/2019	A	19.06	<u>(5)</u>	<u>(5)</u>	Common Stock	19.06
Phantom Stock	<u>(6)</u>	02/01/2019	A	19.06	<u>(6)</u>	<u>(6)</u>	Common Stock	19.06
Phantom Stock	<u>(7)</u>	02/01/2019	A	19.06	<u>(7)</u>	<u>(7)</u>	Common Stock	19.06
Phantom Stock	<u>(8)</u>	02/01/2019	A	19.06	<u>(8)</u>	<u>(8)</u>	Common Stock	19.06
Phantom Stock	<u>(4)</u>	03/29/2019	A	27.85	<u>(4)</u>	<u>(4)</u>	Common Stock	27.85
Phantom Stock	<u>(5)</u>	03/29/2019	A	27.85	<u>(5)</u>	<u>(5)</u>	Common Stock	27.85
Phantom Stock	<u>(6)</u>	03/29/2019	A	27.85	<u>(6)</u>	<u>(6)</u>	Common Stock	27.85
Phantom Stock	<u>(7)</u>	03/29/2019	A	27.85	<u>(7)</u>	<u>(7)</u>	Common Stock	27.85
Phantom Stock	<u>(8)</u>	03/29/2019	A	27.85	<u>(8)</u>	<u>(8)</u>	Common Stock	27.85

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Phantom Stock	<u>(9)</u>	04/02/2019	A	0.14	<u>(9)</u>	<u>(9)</u>	Common Stock	0.14
Phantom Stock	<u>(10)</u>	04/02/2019	A	0.14	<u>(10)</u>	<u>(10)</u>	Common Stock	0.14
Phantom Stock	<u>(11)</u>	04/02/2019	A	0.14	<u>(11)</u>	<u>(11)</u>	Common Stock	0.14
Phantom Stock	<u>(12)</u>	04/02/2019	A	0.14	<u>(12)</u>	<u>(12)</u>	Common Stock	0.14
Phantom Stock	<u>(13)</u>	04/02/2019	A	0.14	<u>(13)</u>	<u>(13)</u>	Common Stock	0.14
Phantom Stock	<u>(2)</u>	04/02/2019	A	10.27	<u>(2)</u>	<u>(2)</u>	Common Stock	10.27

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other

Fogarty Maria V.
700 MARKET STREET
ST. LOUIS, MO 63101

Signatures

/s/ Ellen Therooff, Attorney-in-Fact for Fogarty Maria V. 04/17/2019

 Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents phantom stock acquired by the reporting person pursuant to a transfer from another investment within her deferred income plan account. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person at retirement and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- (10) Represents phantom stock automatically credited to the reporting person's deferred income plan account as a result of dividend equivalents paid on the phantom stock held by her within her deferred income plan account. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2022 and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- (11) Represents phantom stock automatically credited to the reporting person's deferred income plan account as a result of dividend equivalents paid on the phantom stock held by her within her deferred income plan account. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2023 and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- (12) Represents phantom stock automatically credited to the reporting person's deferred income plan account as a result of dividend equivalents paid on the phantom stock held by her within her deferred income plan account. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2024 and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- (13) Represents phantom stock automatically credited to the reporting person's deferred income plan account as a result of dividend equivalents paid on the phantom stock held by her within her deferred income plan account. Each share of phantom stock is the

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economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2025 and can be transferred to other investments within the reporting person's deferred income plan account at any time.

- (2) Represents phantom stock automatically credited to the reporting person's deferred income plan account as a result of dividend equivalents paid on the phantom stock held by her within her deferred income plan account. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person at retirement and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- (3) Represents phantom stock acquired by the reporting person with deferred director fees paid by Spire Inc. pursuant to Spire Inc.'s deferred income plan. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person at retirement and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- (4) Represents phantom stock acquired by the reporting person with deferred director fees paid by Spire Inc. pursuant to Spire Inc.'s deferred income plan. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2021 and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- (5) Represents phantom stock acquired by the reporting person with deferred director fees paid by Spire Inc. pursuant to Spire Inc.'s deferred income plan. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2022 and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- (6) Represents phantom stock acquired by the reporting person with deferred director fees paid by Spire Inc. pursuant to Spire Inc.'s deferred income plan. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2023 and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- (7) Represents phantom stock acquired by the reporting person with deferred director fees paid by Spire Inc. pursuant to Spire Inc.'s deferred income plan. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2024 and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- (8) Represents phantom stock acquired by the reporting person with deferred director fees paid by Spire Inc. pursuant to Spire Inc.'s deferred income plan. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2025 and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- (9) Represents phantom stock automatically credited to the reporting person's deferred income plan account as a result of dividend equivalents paid on the phantom stock held by her within her deferred income plan account. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2021 and can be transferred to other investments within the reporting person's deferred income plan account at any time.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.