

PNM RESOURCES INC

Form 3

March 03, 2017

**FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Â OLSON CHRIS M

(Last) (First) (Middle)

414 SILVER AVENUE SW MS 1255

(Street)

ALBUQUERQUE,Â NMÂ 87102-3289

(City) (State) (Zip)

2. Date of Event  
Requiring Statement  
(Month/Day/Year)  
02/24/20173. Issuer Name **and** Ticker or Trading Symbol  
PNM RESOURCES INC [PNM]4. Relationship of Reporting  
Person(s) to Issuer5. If Amendment, Date Original  
Filed(Month/Day/Year)

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10%  
Owner  
\_\_\_\_ Officer \_\_\_\_ Other  
(give title below)(specify below)  
VP, Utility Operations6. Individual or Joint/Group  
Filing(Check Applicable Line)  
\_X\_ Form filed by One Reporting  
Person  
\_\_\_\_ Form filed by More than One  
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities  
Beneficially Owned  
(Instr. 4)3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Common Stock

3,600

D Â

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security  
(Instr. 4)2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)3. Title and Amount of  
Securities Underlying  
Derivative Security  
(Instr. 4)4. Conversion  
or Exercise  
Price of  
Derivative  
Security5. Ownership  
Form of  
Derivative  
Security:  
Direct (D)  
or Indirect6. Nature of Indirect  
Beneficial Ownership  
(Instr. 5)Date Expiration Title Amount or  
Exercisable Date Shares

(I)  
(Instr. 5)

Restricted Stock Rights	Â (2)	Â (2)	Common Stock	795	\$ (1)	D	Â
Restricted Stock Rights	Â (2)	Â (2)	Common Stock	1,501	\$ (1)	D	Â
Restricted Stock Rights	Â (2)	Â (2)	Common Stock	3,108	\$ (1)	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
OLSON CHRIS M 414 SILVER AVENUE SW MS 1255 ALBUQUERQUE, NM 87102-3289	Â	Â	Â VP, Utility Operations	Â

## Signatures

/s/ Laurie S. Monfiletto, POA for CHRIS M OLSON

03/03/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock right represents a contingent right to receive one share of PNM Resources, Inc. common stock.
- (2) The restricted stock units were awarded on multiple dates and vest in three equal annual installments beginning one year from the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.