Edgar Filing: Ultragenyx Pharmaceutical Inc. - Form 4

Ultragenyx Pharmaceutical Inc. Form 4 November 03, 2015

November 0	3, 2015											
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION							OMB APPROVAL					
	Washington, D.C. 20549							OMB Number:	3235-0287			
Check this box if no longer									Expires:	January 31, 2005		
subject to Section 16. Form 4 or Form 5 Filed pursuant to S				SECUR	RITIES				Estimated a burden hour response	d average Iours per		
obligatio may con <i>See</i> Instr 1(b).	tinue. Section	17(a) of the	Public U		ding Cor	npan	y Act of	1935 or Section	1			
(Print or Type]	Responses)											
VAVVICENIL D			2. Issue Symbol	r Name and	l Ticker or	[.] Tradi	0	5. Relationship of Reporting Person(s) to Issuer				
			Ultrage [RARE	nyx Pharı]	naceutic	al In	с.	(Check all applicable)				
				f Earliest Ti Day/Year)	ansaction			Director 10% Owner Officer (give title Other (specify				
C/O ULTR PHARMAC LEVERON	CEUTICAL IN	C., 60	10/30/2	•				below)	below) sident & CEO			
				endment, Date Original nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
NOVATO,	CA 94949							Person		porting		
(City)	(State)	(Zip)	Tab	le I - Non-I	Derivative	Secu	rities Acqu	uired, Disposed of	, or Beneficial	y Owned		
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)			3. 4. Securities Acquired Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
Common Stock	10/30/2015			S <u>(1)</u>	6,100	D	\$ 98.63 (2)	629,540 <u>(3)</u>	D			
Common Stock	10/30/2015			S <u>(1)</u>	7,600	D	\$ 99.42 (4)	621,940 <u>(3)</u>	D			
Common Stock	10/30/2015			S <u>(1)</u>	4,400	D	\$ 100.43 (5)	617,540 <u>(3)</u>	D			
Common Stock	10/30/2015			S <u>(1)</u>	1,900	D	\$ 101.2 (6)	615,640 <u>(3)</u>	D			

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Common Stock						2,552,	241 I		Kal and Son Liv Tru dat	Emil kkis I Jenny iano ing ist, ed June 2009			
Reminder: F	Report on a seț	parate line for each cla	iss of securities bene	Person inform require	ns who re ation con ed to resp ys a curre	or indirectly. spond to the tained in thi pond unless ently valid O	s form are the form	e not	SEC 14 (9-1				
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)													
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	xercise any e of (Month/Day/Yea vative		4. Transactic Code (Instr. 8)	of			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr		
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Repo	rting O	wners											
Reporting Owner Name / Address				Relationships									
	Reporting	Owner Manie / Add		Director	10% Ow	ner Offic	er (Other					
KAKKIS EMIL D C/O ULTRAGENYX PHARMACEUTICAL INC. 60 LEVERONI COURT NOVATO, CA 94949						Pres & CI	sident EO						
Signa	tures												
-		power of attorney	for Emil D. Kak	kis, M.D.	,	11/03/2	2015						
		**Signature of Reportir	ng Person			Date							

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 were effected pursuant to a trading plan adopted pursuant to Rule 10b5-1 under the Securities Exchange Act of 1934, as amended.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$98.03 to \$99.02 inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the

- (2) Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.
- (3) Includes previously reported shares of common stock underlying Restricted Stock Units granted to the Reporting Person, which are subject to certain vesting conditions.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$99.03 to \$99.97 inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the

(4) Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$100.05 to \$101.04 inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of

(5) the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$101.05 to \$101.38 inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of

(6) the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.