TRI Pointe Group, Inc.

Form 4 June 07, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction 1(b).

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* ROGERS THOMAS B.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

below)

(Last)

(First)

(Middle)

TRI Pointe Group, Inc. [TPH] 3. Date of Earliest Transaction

(Month/Day/Year)

Filed(Month/Day/Year)

06/06/2016

(Check all applicable) X\_ Director

Officer (give title

10% Owner

Other (specify

C/O TRI POINTE GROUP, INC., 19540 JAMBOREE ROAD, **SUITE 300** 

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

**IRVINE, CA 92612** 

(Instr. 3)

(City) (State) (Zip) 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of 6. 7. Nature of Securities Ownership Indirect Beneficially Form: Direct Beneficial Owned (D) or Ownership Indirect (I) (Instr. 4) Following (Instr. 4)

Reported Transaction(s)

(Instr. 3 and 4)

Common 06/06/2016 Stock

Code V Amount (D)  $A^{(1)}$ 10,638

(A)

or

Price

43,415

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(9-02)

#### Edgar Filing: TRI Pointe Group, Inc. - Form 4

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                | 5.                       | 6. Date Exer        | cisable and        | 7. Titl                     | e and      | 8. Price of | 9. Nu |
|-------------|-------------|---------------------|--------------------|-------------------|--------------------------|---------------------|--------------------|-----------------------------|------------|-------------|-------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber |                          | Expiration D        | ate                | Amou                        | nt of      | Derivative  | Deriv |
| Security    | or Exercise |                     | any                | Code              | of                       | (Month/Day/         | Year)              | Under                       | lying      | Security    | Secur |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)        | Derivative<br>Securities |                     |                    | Securities (Instr. 3 and 4) | (Instr. 5) | Bene        |       |
|             | Derivative  |                     |                    |                   |                          |                     |                    |                             | 3 and 4)   |             | Own   |
|             | Security    |                     |                    |                   | Acquired                 | Acquired (A) or     |                    |                             |            |             | Follo |
|             |             |                     |                    |                   | (A) or                   |                     |                    |                             |            |             | Repo  |
|             |             |                     |                    |                   | Disposed                 |                     |                    |                             |            |             | Trans |
|             |             | of (D)              |                    |                   |                          |                     |                    |                             | (Instr     |             |       |
|             |             |                     |                    |                   | (Instr. 3,               |                     |                    |                             |            |             |       |
|             |             |                     |                    |                   | 4, and 5)                |                     |                    |                             |            |             |       |
|             |             |                     |                    |                   |                          |                     |                    |                             | Amount     |             |       |
|             |             |                     |                    |                   |                          |                     |                    | or                          |            |             |       |
|             |             |                     |                    |                   |                          | Date<br>Exercisable | Expiration<br>Date | Title                       | Number     |             |       |
|             |             |                     |                    |                   |                          |                     |                    |                             | of         |             |       |
|             |             |                     |                    | Code V            | (A) (D)                  |                     |                    |                             | Shares     |             |       |

# **Reporting Owners**

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other

ROGERS THOMAS B. C/O TRI POINTE GROUP, INC. 19540 JAMBOREE ROAD, SUITE 300 IRVINE, CA 92612

X

## **Signatures**

/s/ Michael D. Grubbs, attorney-in-fact

06/06/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents a grant of 10,638 restricted stock units. The restricted stock units, which shall vest on the day immediately prior to TRI Pointe Group, Inc.'s 2017 Annual Meeting of Stockholders, are to be settled for an equal number of shares of common stock upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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