

BECTON DICKINSON & CO

Form 3

February 04, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Mercurio Joseph

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

01/28/2014

3. Issuer Name and Ticker or Trading Symbol
BECTON DICKINSON & CO [BDX]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

VP and Corporate Controller

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting PersonC/O BECTON, DICKINSON
AND COMPANY,Â 1 BECTON
DRIVE

(Street)

FRANKLIN
LAKES,Â NJÂ 07417

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

1,614 ⁽¹⁾

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)4. Conversion
or Exercise
Price of
Derivative5. Ownership
Form of
Derivative
Security:6. Nature of
Indirect Beneficial
Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Rights to Common Stock under Deferred Compensation Plan	Â <u>(2)</u>	Â <u>(2)</u>	Common Stock	805	\$ <u>(3)</u>	D	Â
Employee Stock Option (right to buy)	11/23/2005 <u>(4)</u>	11/23/2014	Common Stock	3,820	\$ 54.41	D	Â
Stock Appreciation Rights	11/21/2006 <u>(5)</u>	11/21/2015	Common Stock	3,520	\$ 59.16	D	Â
Stock Appreciation Rights	11/21/2007 <u>(6)</u>	11/21/2016	Common Stock	2,947	\$ 71.72	D	Â
Stock Appreciation Rights	11/20/2008 <u>(7)</u>	11/20/2017	Common Stock	2,958	\$ 84.33	D	Â
Stock Appreciation Rights	11/25/2009 <u>(8)</u>	11/25/2018	Common Stock	3,892	\$ 62.5	D	Â
Stock Appreciation Rights	11/24/2010 <u>(9)</u>	11/24/2019	Common Stock	4,790	\$ 75.63	D	Â
Stock Appreciation Rights	11/23/2011 <u>(10)</u>	11/23/2020	Common Stock	5,153	\$ 76.64	D	Â
Stock Appreciation Rights	11/22/2012 <u>(11)</u>	11/22/2021	Common Stock	4,583	\$ 72.12	D	Â
Stock Appreciation Rights	11/20/2013 <u>(12)</u>	11/20/2022	Common Stock	6,586	\$ 76.18	D	Â
Stock Appreciation Rights	11/26/2014 <u>(13)</u>	11/26/2023	Common Stock	4,007	\$ 108.89	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Mercurio Joseph C/O BECTON, DICKINSON AND COMPANY 1 BECTON DRIVE FRANKLIN LAKES, NJ 07417	Â	Â	Â VP and Corporate Controller	Â

Signatures

Richard Stout, by power of attorney for Joseph Mercurio 02/04/2014

 **Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes restricted stock units awarded under the Becton, Dickinson and Company 2004 Employee and Director Equity-Based Compensation Plan.
- (2) The securities are generally distributed upon termination, or following retirement on the date or dates specified by the reporting person.
- (3) The securities convert to common stock on a one-for-one basis.
- (4) The employee stock options vest in four annual installments beginning November 23, 2005.
- (5) The stock appreciation rights vest in four annual installments beginning November 21, 2006.
- (6) The stock appreciation rights vest in four annual installments beginning November 21, 2007.
- (7) The stock appreciation rights vest in four annual installments beginning November 20, 2008.
- (8) The stock appreciation rights vest in four annual installments beginning November 25, 2009.
- (9) The stock appreciation rights vest in four annual installments beginning November 24, 2010.
- (10) The stock appreciation rights vest in four annual installments beginning November 23, 2011.
- (11) The stock appreciation rights vest in four annual installments beginning November 22, 2012.
- (12) The stock appreciation rights vest in four annual installments beginning November 20, 2013.
- (13) The stock appreciation rights vest in four annual installments beginning November 26, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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