CHUY'S HOLDINGS, INC.

Form 4 June 27, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB Washington, D.C. 20549 Number:

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading Hislop Steven J.

(Middle)

Symbol

CHUY'S HOLDINGS, INC.

[CHUY]

(First)

3. Date of Earliest Transaction (Month/Day/Year)

06/23/2016

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

OMB APPROVAL

Expires:

response...

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

_X__ Director 10% Owner X_ Officer (give title _ Other (specify

below)

President & CEO

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

AUSTIN, TX 78704

1623 TOOMEY ROAD

| (City) | (State) | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | |
|--------------------------------------|---|--|--|--|--------|----------------------|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transactic Code (Instr. 8) | 4. Securitie onDisposed o (Instr. 3, 4 | of (D) | uired (A) or Price | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common Stock | 06/23/2016 | | M <u>(1)</u> | 50,026 | A | \$ 10.48 | 63,518 | D | |
| Common Stock | 06/23/2016 | | M(1) | 13,368 | A | \$ 2.76 | 76,886 | D | |
| Common Stock | 06/23/2016 | | S <u>(1)</u> | 31,697 | D | \$ 35.5784 (2) | 45,189 | D | |
| Common Stock | 06/24/2016 | | M <u>(1)</u> | 106,341 | A | \$ 2.76 | 151,530 | D | |
| Common Stock | 06/24/2016 | | S <u>(1)</u> | 53,167 | D | \$ 35.1745 | 98,363 | D | |

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| | | | | | (3) | | |
|-----------------|------------|--------------|--------|---|----------------------|---------|---|
| Common Stock | 06/27/2016 | M <u>(1)</u> | 21,085 | A | \$ 2.76 | 119,448 | D |
| Common Stock | 06/27/2016 | S(1) | 10,541 | D | \$ 34.0771 (4) | 108,907 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title Deriva Securit (Instr. | tive Conversion cy or Exercise | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of ctionDerivative Securities 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|--|-----------------------------------|---|---|---|---|---------|--|--------------------|---|----------------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Optio (Righ Buy) | n \$ 10.48 | 06/23/2016 | | M <u>(1)</u> | | 50,026 | <u>(5)</u> | 07/09/2017 | Common Stock | 50,026 |
| Stock Optio (Righ Buy) | n \$ 2.76 | 06/23/2016 | | M <u>(1)</u> | | 13,368 | <u>(5)</u> | 07/09/2017 | Common Stock | 13,368 |
| Stock Optio (Righ Buy) | n \$ 2.76 | 06/24/2016 | | M <u>(1)</u> | | 106,341 | <u>(5)</u> | 07/09/2017 | Common Stock | 106,341 |
| Stock Optio (Righ Buy) | n \$2.76 | 06/27/2016 | | M(1) | | 21,085 | <u>(5)</u> | 07/09/2017 | Common Stock | 21,085 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |

Reporting Owners 2

Hislop Steven J. 1623 TOOMEY ROAD AUSTIN, TX 78704

X

President & CEO

Signatures

/s/ Sharon Russell, attorney-in-fact

06/27/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were exercised and sold pursuant to a trading plan adopted by the reporting person in accordance with Rule 10b5-1 of the Securities Exchange Act of 1934, as amended.
- The price reported in Column 4 is a weighted average sale price. These shares were sold in multiple trades at prices ranging from \$35.50 to \$35.83, inclusive. The reporting person hereby undertakes to provide upon request to the SEC staff, Chuy's Holding. Inc., or any security holders of Chuy's Holdings. Inc., full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- The price reported in Column 4 is a weighted average sale price. These shares were sold in multiple trades at prices ranging from \$34.82 to \$35.46, inclusive. The reporting person hereby undertakes to provide upon request to the SEC staff, Chuy's Holding. Inc., or any security holders of Chuy's Holdings. Inc., full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- The price reported in Column 4 is a weighted average sale price. These shares were sold in multiple trades at prices ranging from \$34.00 to \$34.43, inclusive. The reporting person hereby undertakes to provide upon request to the SEC staff, Chuy's Holding. Inc., or any security holders of Chuy's Holdings. Inc., full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (5) These options were granted on July 9, 2007 and vested 20% on each of the first five anniversaries of the grant date. These stock options became fully vested on July 9, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3