

BRISTOL MYERS SQUIBB CO

Form 3

May 15, 2015

**FORM 3****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF  
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

Â Blin Emmanuel

(Last)

(First)

(Middle)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

05/05/2015

3. Issuer Name **and** Ticker or Trading Symbol

BRISTOL MYERS SQUIBB CO [BMY]

4. Relationship of Reporting  
Person(s) to Issuer5. If Amendment, Date Original  
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

SVP, Head of Commercialization

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting  
Person☐ Form filed by More than One  
Reporting PersonBRISTOL-MYERS SQUIBB  
COMPANY,Â 345 PARK  
AVENUE

(Street)

NEW YORK,Â NYÂ 10154

(City)

(State)

(Zip)

**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities  
Beneficially Owned  
(Instr. 4)3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Common Stock, \$0.10 par value

1.7825

D

Â

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security  
(Instr. 4)2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)3. Title and Amount of  
Securities Underlying  
Derivative Security  
(Instr. 4)4. Conversion  
or Exercise  
Price of  
Derivative5. Ownership  
Form of  
Derivative  
Security:6. Nature of Indirect  
Beneficial  
Ownership  
(Instr. 5)

# Edgar Filing: BRISTOL MYERS SQUIBB CO - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Market Share Units	Â <u>(1)</u>	03/06/2016	Common Stock, \$0.10 par value	819	\$ <u>(2)</u>	D	Â
Market Share Units	Â <u>(3)</u>	03/10/2017	Common Stock, \$0.10 par value	1,905	\$ <u>(2)</u>	D	Â
Market Share Units	Â <u>(4)</u>	03/10/2018	Common Stock, \$0.10 par value	2,846	\$ <u>(2)</u>	D	Â
Market Share Units	Â <u>(5)</u>	03/10/2019	Common Stock, \$0.10 par value	5,651	\$ <u>(2)</u>	D	Â
Performance Shares	Â <u>(6)</u>	03/10/2016	Common Stock, \$0.10 par value	5,039.77	\$ <u>(7)</u>	D	Â
Performance Shares	Â <u>(8)</u>	03/10/2017	Common Stock, \$0.10 par value	8,615.036	\$ <u>(8)</u>	D	Â
Restricted Stock Units	Â <u>(9)</u>	07/02/2017	Common Stock, \$0.10 par value	2,853	\$ <u>(10)</u>	D	Â
Restricted Stock Units	Â <u>(11)</u>	02/02/2020	Common Stock, \$0.10 par value	16,234	\$ <u>(10)</u>	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Blin Emmanuel BRISTOL-MYERS SQUIBB COMPANY 345 PARK AVENUE NEW YORK, NY 10154	Â	Â	Â SVP, Head of Commercialization	Â

## Signatures

/s/ Emmanuel  
Blin

05/15/2015

          Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These market share units will vest on March 6, 2016.  
Each market share unit converts into the number of shares of common stock determined by applying a payout factor to the target number of shares vesting on a given date. The payout factor is a ratio of the average of the closing price on the measurement date plus the nine prior trading days divided by the average stock price on the grant date (also a 10-day average). The minimum payout factor that must be achieved to earn a payout is 60% and the maximum payout factor is 200%.
- (2) One-half of these market share units will vest on each of March 10, 2016 and March 10, 2017.
- (3) One-third of these market share units will vest on each of March 10, 2016, March 10, 2017, and March 10, 2018.
- (4) Twenty-five percent of these market share units will vest on each of the first, second, third, and fourth anniversaries of the grant date, starting on March 10, 2016.
- (5) Consists of 4,818.32 performance shares and 221.46 performance shares representing dividend equivalents earned under the 2013-2015 Long-Term Performance Award.
- (6) Each performance share converts into one share of common stock upon distribution in the first quarter of 2016.
- (7) Each performance share converts into one share of common stock upon distribution in the first quarter of 2017, subject to a Total Shareholder Return modifier.
- (8) The restricted stock units vest in three equal annual installments beginning on July 2, 2015.
- (9) Each restricted stock unit converts into one share of common stock upon vesting.
- (10) The restricted stock units vest in three equal annual installments beginning on February 2, 2018.

^

### Remarks:

EXHIBIT LIST: Exhibit 24 - Emmanuel Blin Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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