Paylor Ryan M Form 3 February 14, 2019

#### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Date of Event Requiring 2. Issuer Name and Ticker or Trading Symbol

(Print or Type Responses)

1. Name and Address of Reporting

Person * Â Paylor F	Ryan M	F8	Statement (Month/Day/Year)	HERZFI	HERZFELD CARIBBEAN BASIN FUND INC [CUBA]					
(Last)	(First)	(Middle)	02/07/2019	4. Relation Person(s)	nship of Reporting to Issuer	ıg	5. If Amendment, Date Original Filed(Month/Day/Year)			
C/O THOMAS J. HERZFELD ADVISORS INC., 119 WASHINGTON AVE., SUITE 504 (Street)				(Check all applicab  Director 10  Officer X Officer (give title below) (specify below)  Portfolio Manage		% Owner her elow)	6. Individual or Joint/Group Filing(Check Applicable Line)			
MIAMI BI	EACH, FI	LÂ 33139					_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Tal	ble I - Non-Deriv	vative Securi	ties Bei	neficially Owned			
1.Title of Sec (Instr. 4)	urity		Ber	Amount of Securities aeficially Owned str. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr.	*			
THE HERZFELD CARIBBEAN BASIN FUND, INC.			BASIN 1,6	20	D Â					
Reminder: Re			ach class of securitie	s beneficially	SEC 1473 (7-0	02)				
	infor requi	mation cont ired to resp	spond to the colle ained in this form and unless the for MB control numb	are not m displays a						

 Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership

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(Month/Day/Year)	)	Derivative Security (Instr. 4)		or Exercise Price of	Form of Derivative	(Instr. 5)
Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Paylor Ryan M					
C/O THOMAS J. HERZFELD ADVISORS INC.	â	â	â	Portfolio	
119 WASHINGTON AVE., SUITE 504	A	А	А	Manager	
MIAMI BEACH, FL 33139					

### **Signatures**

/s/ Ryan M.
Paylor

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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