Clough Global Dividend & Income Fund Form N-PX August 23, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number: 811-21583

#### **CLOUGH DIVIDEND AND INCOME FUND**

(Exact name of registrant as specified in charter)

#### 1290 Broadway, Suite 1100, Denver, Colorado 80203

(Address of principal executive offices) (Zip code)

Sareena Khwaja-Dixon, Esq.
Clough Dividend and Income Fund
1290 Broadway, Suite 1100

<u>Denver, Colorado 80203</u>

(Name and address of agent for service)

Registrant's Telephone Number, including Area Code: (303) 623-2577

Date of fiscal year end: October 31

Date of reporting period: July 1, 2016 – June 30, 2017

Item 1 – Proxy Voting Record.

Item 1 –	- Proxy	Voting Record.						
Vote Su	ummary							
AKOR	N, INC.							
Security	y	009728106			Meeting	Type	Annual	
Ticker	Symbol	AKRX			Meeting Date		01-Jul-2016	
ISIN	ISIN US0097281069				Agenda		934429437 - 1	Management
Record	Record Date 11-May-2016				Holding Date	Recon	11-May-2016	
City /	Count	rv /	Jnited States		Vote De Date	adline	30-Jun-2016	
SEDOI	L(s)				Quick C	lode		
Item	Propos	sal		Proposed by	ote	For/Aga Manage		
1.	DIRE	CTOR		Managemen	t			
	1	JOHN KAPOOR, PHD			For	For		
	2	KENNETH ABRAMOW	/ITZ		For	For		
	3	ADRIENNE GRAVES, I	PHD		For	For		
	4	RONALD JOHNSON			For	For		
	5	STEVEN MEYER			For	For		
	6	TERRY ALLISON RAP	PUHN		For	For		
	7	BRIAN TAMBI			For	For		
	8	ALAN WEINSTEIN			For	For		
2.	APPO THE C REGIS	OSAL TO RATIFY THE INTMENT OF BDO USA COMPANY'S INDEPEND STERED PUBLIC ACCOUNT FOR YEAR ENDING DE 16.	ENT UNTING	Managemen	t For	For		
PROPOSAL TO APPROVE, THROUGH A NON- BINDING ADVISORY VOTE, THE COMPANY'S EXECUTIVE COMPENSATION PROGRAM AS DESCRIBED IN THE COMPANY'S 2016 PROXY STATEMENT.		Managemen	t For	For				
Accour Numbe		Account Name	Internal Account	ustodian Ballo Share	ot es	Unavailabl Shares	e /ote Date	Date Confirmed

Account Number	Account Name	Internal Custodia Account	n Ballot Shares	Unavaila Shares	able Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AISÆATE STREET BANK & TRUST	9,000	18,800	21-May-2016	21-May-2016

		0 0	O						
			C	O					
997AL	47	GLQ BNP PLEDGE	S' 997AI& T	TATE TREET ANK TRUST	5,500	•	46,700	21-May-2016	21-May-2016
997AL	49	GLO BNP PLEDGE	S' S' 997AI <mark>&amp;</mark> T	TATE TREET	5,200	,	111,100	21-May-2016	21-May-2016
ARMS	TRONG	WORLD INDUSTRIES,	INC.						
Securit		04247X102				Meeting	Туре	Annual	
	Symbol	AWI				Meeting		08-Jul-2016	
ISIN	·	US04247X1028				Agenda		934420237 -	Management
Record	Date	15-Apr-2016				Holding Date	Recon	15-Apr-2016	5
City /	Count	rv /	nited tates			Vote De Date	adline	07-Jul-2016	
SEDOI	L(s)					Quick C	lode		
Item	Propos	sal		Propo by	sed Vo	ote		gainst gement	
1.	DIRE	CTOR		Manag	gemen	t			
	1	STAN A. ASKREN				For	Fo	r	
	2	VICTOR D. GRIZZLE				For	Fo	r	
	3	TAO HUANG				For	Fo	r	
	4	LARRY S. MCWILLIAM	<b>1</b> S			For	Fo	r	
	5	JAMES C. MELVILLE				For	Fo	r	
	6	JAMES J. O'CONNOR				For	Fo	r	
	7	JOHN J. ROBERTS				For	Fo	r	
	8	GREGORY P. SPIVY				For	Fo	r	
	9	CHERRYL T. THOMAS				For	Fo	r	
2.	KPM0 REGIS	ATIFY THE SELECTION G LLP AS OUR INDEPEN STERED PUBLIC ACCOU FOR 2016.	DENT	Manaş	gemen	t For	Fo	r	
3.	WOR	PPROVE OF THE ARMST LD INDUSTRIES, INC. 20 CTORS' STOCK UNIT PL	)16	Manaş	gemen	t For	Fo	or	
4.				Manag	gemen	t For	Fo	r	

# TO APPROVE OF THE ARMSTRONG WORLD INDUSTRIES, INC. 2016 LONG-TERM INCENTIVE PLAN.

Account Number	Account Name	Internal Custodian Ballot Account Shares	Unavailable Vote Date Shares	Date Confirmed
997GLO	GLO	STATE STREET  997GL  TRUST CO	0 07-May-2016	11-Jul-2016
997AL45	GLV BNP PLEDGE	STATE STREET 997AL45 27,400 TRUST CO	0 07-May-2016	11-Jul-2016
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL4 45,200 TRUST CO	0 07-May-2016	11-Jul-2016

#### CHINA LONGYUAN POWER GROUP CORPORATION LTD

Security	Y1501T101	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	12-Aug-2016
ISIN	CNE100000HD4	Agenda	707215744 - Management
Record Date	11-Jul-2016	Holding Recon Date	11-Jul-2016
City / Country	BEIJING / China	Vote Deadline Date	08-Aug-2016
SEDOL(s)	B3MFW30 - B4Q2TX3 - B4XWG35 - BP3RS86	Quick Code	

Item Proposal Proposed Vote For/Against by Management

CMMT PLEASE NOTE THAT THE COMPANY Non-Voting

NOTICE AND PROXY FORM ARE

AVAILABLE BY CLICKING-ON THE

**URL LINKS:-**

http://www.hkexnews.hk/listedco/listconews/SEHK/2016/

0624/LTN20160624371.pdf-,-

http://www.hkexnews.hk/listedco/listconews/SEHK/2016/

0624/LTN20160624389.pdf

TO CONSIDER AND APPROVE THE

APPOINTMENT OF MR. LUAN

**BAOXING AS A NONEXECUTIVE** 

DIRECTOR OF THE COMPANY TO

FILL THE VACANCY LEFT BY

RESIGNATION OF MR. SHAO

GUOYONG, WITH EFFECT FROM THE

DATE OF THE EGM WHEN THE

NOMINATION IS APPROVED BY THE

SHAREHOLDERS OF THE COMPANY

AND UNTIL THE EXPIRATION OF

THE TERM OF THE CURRENT

SESSION OF THE BOARD OF

DIRECTORS OF THE COMPANY (THE

"BOARD"). MEANWHILE, TO

AUTHORIZE THE REMUNERATION

AND ASSESSMENT COMMITTEE OF

THE BOARD TO DETERMINE THE

1 REMUNERATION OF THE NEW

DIRECTORS ACCORDING TO THE

**DIRECTORS' AND SUPERVISORS'** 

REMUNERATION PLAN FOR THE

YEAR 2016 AS APPROVED AT THE

ANNUAL GENERAL MEETING UPON

THE CANDIDATES FOR THE NEW

DIRECTORS AS APPROVED AT THE

EGM, AND TO AUTHORIZE THE

CHAIRMAN OF THE BOARD OF THE

COMPANY OR ANY ONE OF THE

EXECUTIVE DIRECTORS TO ENTER

INTO A SERVICE CONTRACT WITH

EACH OF THE NEW DIRECTORS AND

HANDLE OTHER RELEVANT

MATTERS ON BEHALF OF THE

COMPANY UPON THE CANDIDATES

FOR THE NEW DIRECTORS BEING

APPROVED AT THE EGM

2 TO CONSIDER AND APPROVE THE

APPOINTMENT OF MR. YANG

XIANGBIN AS A NONEXECUTIVE

DIRECTOR OF THE COMPANY TO

FILL THE VACANCY LEFT BY

RESIGNATION OF MR. CHEN

JINGDONG, WITH EFFECT FROM THE

DATE OF THE EGM WHEN THE

NOMINATION IS APPROVED BY THE

SHAREHOLDERS OF THE COMPANY

AND UNTIL THE EXPIRATION OF

THE TERM OF THE CURRENT

Management For

For

Management For

For

SESSION OF THE BOARD.
MEANWHILE, TO AUTHORIZE THE
REMUNERATION AND

ASSESSMENT COMMITTEE OF THE BOARD TO DETERMINE THE REMUNERATION OF THE NEW DIRECTORS ACCORDING TO THE DIRECTORS' AND SUPERVISORS' REMUNERATION PLAN FOR THE YEAR 2016 AS APPROVED AT THE ANNUAL GENERAL MEETING UPON THE CANDIDATES FOR THE NEW DIRECTORS AS APPROVED AT THE EGM, AND TO AUTHORIZE THE CHAIRMAN OF THE BOARD OF THE COMPANY OR ANY ONE OF THE EXECUTIVE DIRECTORS TO ENTER INTO A SERVICE CONTRACT WITH EACH OF THE NEW DIRECTORS AND HANDLE OTHER RELEVANT MATTERS ON BEHALF OF THE COMPANY UPON THE CANDIDATES FOR THE NEW DIRECTORS BEING APPROVED AT THE EGM.

Account Number	Account Name	Internal Custod Account	an Ballot Shares	Unavaila Shares	able Vote Date	Date Confirmed
GLV	GLV	STATE STREE BANK	T 771,000	0	25-Jun-2016	10-Aug-2016
GLQ	GLQ	STATE STREE BANK	T 1,253,000	0	25-Jun-2016	10-Aug-2016
GLO	GLO	STATE STREE BANK	T 3,161,000	0	25-Jun-2016	10-Aug-2016
LIBERTY ME	DIA CORPORATION					
Security	531229409		Meeting	g Type	Annual	
Ticker Symbol	LSXMA		Meeting	g Date	23-Aug-2016	
ISIN	US5312294094		Agenda	ı	934458870 -	Management
Record Date	01-Jul-2016		Holding Date	g Recon	01-Jul-2016	
City / Count	ry /	United States	Vote Dote	eadline	22-Aug-2016	
SEDOL(s)			Quick (	Code		

Item	Propo	sal		Propo by	sed Vo	ote	For/A Mana	gainst gement	
1.	DIRE	CTOR		Manag	gemen	t			
	1	JOHN C. MALONE				For	Fo	r	
	2	ROBERT R. BENNETT				For	Fo	r	
	3	M. IAN G. GILCHRIST				For	Fo	r	
2.	A PROPOSAL TO RATIFY THE SELECTION OF KPMG LLP AS OUR INDEPENDENT AUDITORS FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016.			Mana	gemen	t For	Fo	r	
Accoun		Account Name	Internal Account	ustodiaı t	Ballo Share		Unavaila Shares	ble Vote Date	Date Confirmed
997AL4	49	GLO BNP PLEDGE	997AL	RUST	1,656	j.	113,800	09-Jul-2016	24-Aug-2016
997AL6	51	GLQ MS PLEDGE	997AL	RUST	9,168	3	0	09-Jul-2016	24-Aug-2016
LIBER	TY INT	ERACTIVE CORPORATI	ON						
Security	y	53071M880				Meeting	Type	Annual	
Ticker S	Symbol	LVNTA				Meeting	Date	23-Aug-2016	
ISIN		US53071M8800				Agenda		934458882 -	Management
Record	Date	01-Jul-2016				Holding Date	Recon	01-Jul-2016	
City /	Count	rv /	nited ates			Vote De Date	adline	22-Aug-2016	
SEDOL	L(s)					Quick C	Code		
Item	Propo	sal		Propo by	sed Vo	ote	For/A Mana	gainst gement	
1.	DIRE	CTOR		Mana	gemen	t			
	1	JOHN C. MALONE				For	Fo	r	
	2	M. IAN G. GILCHRIST				For	Fo	r	
	3	MARK C. VADON				For	Fo	r	
	4	ANDREA L. WONG				For	Fo	r	

A PROPOSAL TO RATIFY THE SELECTION OF KPMG LLP AS OUR

2. INDEPENDENT AUDITORS FOR THE Management For For FISCAL YEAR ENDING DECEMBER

A PROPOSAL TO ADOPT THE

LIBERTY INTERACTIVE 3. CORPORATION 2016 OMNIBUS INCENTIVE PLAN.

31, 2016.

Management For For

Account Number	Account Name	Internal Ballot Account Shares	Unavailable Vote Date Shares	Date Confirmed
997GLV	GLV	STATE STREET 997GL 24,200 TRUST CO		24-Aug-2016
997GLQ	GLQ	STATE STREET 997GL 26,100 TRUST CO	) 0 09-Jul-2016	24-Aug-2016
997GLO	GLO	STATE STREET 997GLO 65,800 TRUST CO	) 0 09-Jul-2016	24-Aug-2016
997AL45	GLV BNP PLEDGE	STATE STREET 997AL 45 10,872 TRUST CO	2 0 09-Jul-2016	24-Aug-2016
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL 47 3,403 TRUST CO	64,000 09-Jul-2016	24-Aug-2016
997AL49	GLO BNP PLEDGE	997AISTOATE 14,471 STREET BANK & TRUST	109,900 09-Jul-2016	24-Aug-2016

		Eugai Filling. Glo	ugn Globa	טועוט	enu a	IIICOIIIE	: Fulla - F	OIIII IN-FA	
			C	O					
			S	TATE					
				TREET	1				
997AL	60	GLV MS PLEDGE	997AL	ANK	24,00	00	0	09-Jul-2016	24-Aug-2016
				RUST					
			C	O					
VIASA									
Security	-	92552V100				Meetin		Annual	
Ticker	Symbo					Meetin		08-Sep-2016	
ISIN		US92552V1008				Agenda		934463643 -	Management
Record	Date	15-Jul-2016				Holding Date	g Recon	15-Jul-2016	
City /	Coun	f <b>rv</b> /	United States			Vote D Date	eadline	07-Sep-2016	
SEDOI	$\mathcal{L}(s)$					Quick (	Code		
Item	Propo	osal		Propo by	osed V	ote		ngainst ngement	
1.	DIRE	CTOR		Mana	gemen	t			
	1	RICHARD BALDRIDG	E			For	Fo	or	
	2	B. ALLEN LAY				For	Fo	or	
	3	DR. JEFFREY NASH				For	Fo	or	
	RATI	FICATION OF APPOINT	MENT OF	7					
2		EWATERHOUSECOOPE		M		4 E	E		
2.		IASAT'S INDEPENDENT STERED PUBLIC ACCO		Management For NTING			For For		
		FOR FISCAL YEAR 201							
3.		ISORY VOTE ON EXECU	JTIVE	Mana	gemen	t For	Fo	or	
3.	COM	PENSATION		TVICITA	gemen	. 1 01	10	<i>5</i> 1	
Accour Numbe		Account Name	Internal Accoun	ustodia t	n Ballo Share		Unavaila Shares	able Vote Date	Date Confirmed
			S'	TATE					
				TREET	,				
997AL	45	GLV BNP PLEDGE	997AL	ANK IS	149		34,500	26-Jul-2016	09-Sep-2016
			T	RUST					
			C	O					
				TATE	,				
005.5	4.5		R	TREET ANK			60.600	0 ( Y 1 0 0 1 C	00.0
997AL	41	GLQ BNP PLEDGE	99/AL4	<b>!</b> /	142		63,600	26-Jul-2016	09-Sep-2016
				RUST O					
			C	J					

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997AL	997AL49 GLO BNP PLEDGE		997AI	STATE STREET BANK  FRUST CO	135		145,600	26-Jul-2016	09-Sep-2016		
HILTO	N WORL	LDWIDE HOLDINGS	INC.								
Securit	y	43300A104				Meeting	Type	Special			
Ticker	Symbol	HLT				Meeting	Date	04-Oct-2016			
ISIN		US43300A1043				Agenda		934479216 -	Management		
Record	Date	26-Aug-2016				Holding Date	Recon	26-Aug-2016			
City /	Country	,	United States			Vote De Date	adline	03-Oct-2016			
SEDO	L(s)					Quick C	lode				
Item	Proposa	1		Propos by	sed V	ote		gainst gement			
TO APPROVE AND ADOPT AN  AMENDMENT TO THE COMPANY'S  CERTIFICATE OF INCORPORATION  TO EFFECT A REVERSE STOCK SPLIT  AND DECREASE AUTHORIZED  SHARES.											
2.		PROVE AN ADJOUR PECIAL MEETING.	NMENT O	F Manaş	gemen	t For	Fo	r			
Accour Numbe		Account Name	Interna Accou	Custodiar	Ballo Share		Unavaila Shares	ible Vote Date	Date Confirmed		
997GL	V	GLV	997GI	STATE STREET BANK  TRUST CO	20,00	00	0	09-Sep-2016	05-Oct-2016		
997GL	Q	GLQ	997GL	STATE STREET BANK STRUST CO	30,00	00	0	09-Sep-2016	05-Oct-2016		

997GISTATE 2,400 STREET BANK & TRUST 0

09-Sep-2016

997GLO

GLO

05-Oct-2016

		O .						
		C	С					
997AL49	GLO BNP PLEDGE		TATE TREET ANK	100	1.	50 000	09-Sep-2016	05-Oct-2016
991AL49	GLO BIVE FLEDGE		RUST	100	1.	39,900	09-3ер-2010	03-001-2010
		ST	TATE TREET					
997AL60	GLV MS PLEDGE	997AL6		19,800	0		09-Sep-2016	05-Oct-2016
		CO						
00741.61		ST	ΓΑΤΕ ΓREET ANK	24.200	0		00.5. 2016	05.0 + 2016
997AL61	GLQ MS PLEDGE	99/AL6 & TI	RUST	34,300	0		09-Sep-2016	05-Oct-2016
SINOPHARM G	ROUP CO. LTD.	CO	J					
Security	Y8008N107			]	Meeting T	ype	ExtraOrdina Meeting	ry General
Ticker Symbol				]	Meeting D	ate	18-Oct-2016	Ď
ISIN	CNE100000FN7			1	Agenda		707423264 -	- Management
Record Date	15-Sep-2016				Holding Ro	econ	15-Sep-2016	6
City / Country	SHANGH AI / C	hina			Vote Dead Date	lline	04-Oct-2016	5
SEDOL(s)	B3ZVDV0 - B4M8B73 B5NVZ21 - BP3RXT2	-		(	Quick Cod	le		
			Duana	a d		Eog/A	asinst	
Item Proposa	1		Propos by	Vot	e	For/Ag Manag	gement	
AMENI	E NOTE THAT THIS IS DMENT TO MEETING I D ADDITION OF- RESO	D 675300						
CMMT 2. ALL PREVIO	VOTES RECEIVED ON DUS MEETING WILL B	THE E	Non-V	oting				
NEED 7	GARDED-AND YOU WI FO REINSTRUCT ON T NG NOTICE. THANK Y	HIS						
AND PI	THAT THE COMPANY ROXY FORM ARE AVA	ILABLE	Non-V	oting				
	CKING ON THE-URL L ww.hkexnews.hk/listedco		/s/sehk/	2016/0				

930/ltn20160930476.pdf,-

http://www.hkexnews.hk/listedco/listconews/sehk/2016/0

930/ltn20160930421.pdf,-

http://www.hkexnews.hk/listedco/listconews/sehk/2016/0

901/ltn201609011181.pdf-AND-

http://www.hkexnews.hk/listedco/listconews/sehk/2016/0

901/ltn201609011318.pdf

PLEASE NOTE IN THE HONG KONG

MARKET THAT A VOTE OF

CMMT "ABSTAIN" WILL BE TREATED-THE Non-Voting

SAME AS A "TAKE NO ACTION"

VOTE

TO CONSIDER AND, IF THOUGHT

FIT, TO APPROVE: "THAT (I) THE

ADOPTION OF THE SCHEME (AS

DEFINED IN THE CIRCULAR DATED

1 SEPTEMBER 2016 DESPATCHED TO

THE SHAREHOLDERS OF THE

COMPANY) BY THE COMPANY AND

THE DELEGATION OF

**AUTHORIZATION TO ANY** 

DIRECTOR OF THE COMPANY OR

AUTHORIZED REPRESENTATIVE OF

THE BOARD TO EXECUTE ANY

**DOCUMENTS AND INSTRUMENTS** 

AS MAY BE NECESSARY OR

INCIDENTAL TO THE ADOPTION OF

THE SCHEME AND TO DO ALL SUCH

Management For

ACTS AND THINGS AS THEY

CONSIDER NECESSARY OR

EXPEDIENT OR DESIRABLE IN

CONNECTION WITH THE

IMPLEMENTATION OF THE SCHEME;

AND (II) THE BOARD BE AND IS

HEREBY AUTHORIZED TO

IMPLEMENT THE SCHEME AS WELL

AS TO APPROVE GRANTS OF

RESTRICTED SHARES UNDER THE

SCHEME FROM TIME TO TIME

(INCLUDING BUT NOT LIMITED TO

THE INITIAL GRANT OF THE

SCHEME)"

2 TO CONSIDER AND, IF THOUGHT

FIT, TO APPROVE THE

APPOINTMENT OF MR. MA PING AS

A NON- EXECUTIVE DIRECTOR OF

THE COMPANY, TO AUTHORISE THE

BOARD OF DIRECTORS OF THE

COMPANY TO DETERMINE HIS

Management For For

For

REMUNERATION, AND TO AUTHORISE ANY EXECUTIVE DIRECTOR OF THE COMPANY TO EXECUTE A SERVICE CONTRACT OR SUCH OTHER DOCUMENTS OR SUPPLEMENTAL AGREEMENTS OR DEEDS ON BEHALF OF THE **COMPANY** 

Account Number	Account Name	Internal Custodiar Account	Ballot Shares	Unavaila Shares	able Vote Date	Date Confirmed
GLV	GLV	STATE STREET BANK	142,400	0	01-Oct-2016	12-Oct-2016
GLQ	GLQ	STATE STREET BANK	236,800	0	01-Oct-2016	12-Oct-2016
GLO	GLO	STATE STREET BANK	583,600	0	01-Oct-2016	12-Oct-2016
BYD COMPA	NY LTD, SHENZHEN					
Security	Y1023R104		Meeting	g Type	ExtraOrdinar	•

Security	Y1023R104	Meeting Type	Meeting Meeting
Ticker Symbol		Meeting Date	01-Nov-2016
ISIN	CNE100000296	Agenda	707516110 - Management
Record Date	30-Sep-2016	Holding Recon Date	30-Sep-2016
City / Country	SHENZH EN / China	Vote Deadline Date	04-Oct-2016
SEDOL(s)	6536651 - B01XKF2 - B0WVS95 - BD8GJR0	Quick Code	

Non-Voting

Item	Proposal	Proposed Vote	For/Against
пеш	Floposai	by	Management

CMMT PLEASE NOTE THAT THIS IS AN **AMENDMENT TO MEETING ID 681715** DUE TO ADDITION OF- RESOLUTION 3. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL BE DISREGARDED-IF VOTE DEADLINE EXTENSIONS ARE GRANTED. THEREFORE PLEASE REINSTRUCT ON THIS- MEETING NOTICE ON THE NEW JOB. IF HOWEVER VOTE DEADLINE EXTENSIONS ARE

NOT-GRANTED IN THE MARKET, THIS MEETING WILL BE INACTIVATED AND YOUR VOTE-INTENTIONS ON THE ORIGINAL MEETING WILL BE APPLICABLE. PLEASE ENSURE VOTING-IS SUBMITTED PRIOR TO CUTOFF ON THE ORIGINAL MEETING, AND AS SOON AS POSSIBLE-ON THIS NEW AMENDED

PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:-

http://www.hkexnews.hk/listedco/listconews/SEHK/2016/

CMMT 0913/LTN20160913500.pdf,-

http://www.hkexnews.hk/listedco/listconews/SEHK/2016/

1019/LTN20161019505.pdf-AND-

http://www.hkexnews.hk/listedco/listconews/SEHK/2016/

0913/LTN20160913545.pdf,-

MEETING. THANK YOU.

http://www.hkexnews.hk/listedco/listconews/SEHK/2016/

1019/LTN20161019509.pdf

TO CONSIDER AND APPROVE THE

INTERIM PROFIT DISTRIBUTION
PLAN OF THE COMPANY FOR THE
SIX MONTHS ENDED 30 JUNE 2016
TO CONSIDER AND APPROVE THE

PROPOSED CHANGE OF THE

2 COMPANY'S BUSINESS SCOPE AND AMENDMENT TO THE ARTICLES OF ASSOCIATION OF THE COMPANY:

Management For For ASSOCIATION OF THE COMPANY:

TO CONSIDER AND APPROVE THE PROPOSED FURTHER CHANGE OF

THE COMPANY'S BUSINESS SCOPE
AND AMENDMENT TO THE
ARTICLES OF ASSOCIATION OF THE

COMPANY: ARTICLE 11

ARTICLE 11

Account Number	Account Name	Internal Ballot Custodian Shares	Unavailable Shares Vote Date	Date Confirmed
GLQ	GLQ	STATE STREET 150,500 BANK	0 20-Oct-2016	27-Oct-2016
GLO	GLO	STATE STREET 380,000 BANK	0 20-Oct-2016	27-Oct-2016

For

#### LIBERTY INTERACTIVE CORPORATION

53071M880 Security Meeting Type Special

Ticker Symbol Meeting Date LVNTA 01-Nov-2016

**ISIN** US53071M8800 Agenda 934488152 - Management

Holding Recon Record Date 28-Sep-2016

Date

28-Sep-2016

United Vote Deadline City / Country States

Date

31-Oct-2016

SEDOL(s) Quick Code

Proposed Vote For/Against Item Proposal Management

A PROPOSAL TO APPROVE THE REDEMPTION BY LIBERTY

INTERACTIVE CORPORATION OF A PORTION OF THE OUTSTANDING SHARES OF LIBERTY VENTURES COMMON STOCK FOR ALL OF THE

**OUTSTANDING SHARES OF LIBERTY** Management For 1. For EXPEDIA HOLDINGS, INC., WHICH

WOULD HOLD LIBERTY

INTERACTIVE CORPORATION'S

OWNERSHIP AND VOTING

INTERESTS IN .. (DUE TO SPACE

LIMITS, SEE PROXY STATEMENT

FOR FULL PROPOSAL).

A PROPOSAL TO AUTHORIZE THE ADJOURNMENT OF THE SPECIAL

MEETING BY LIBERTY

INTERACTIVE CORPORATION TO

PERMIT FURTHER SOLICITATION OF

PROXIES, IF NECESSARY OR 2. APPROPRIATE, IF SUFFICIENT

VOTES ARE NOT REPRESENTED AT

THE SPECIAL MEETING TO APPROVE

THE OTHER PROPOSAL TO BE

PRESENTED AT THE SPECIAL

MEETING.

Account Number	Account Name	Internal Eustodian S	Ballot Shares	Unavaila Shares	ible Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997GL BANK 997GL 1 TRUST CO	1,572	0	05-Oct-2016	02-Nov-2016

Management For

For

997GLQ		GLQ	S' 997GL T	TATE TREET ANK P RUST O	4,200	0	05-Oct-2016	02-Nov-2016
997AL47	1	GLQ BNP PLEDGE	S' 997AI <mark>&amp;</mark> T	TATE TREET ANK T RUST O	15,003	44,000	05-Oct-2016	02-Nov-2016
997AL49	)	GLO BNP PLEDGE	S' 997AI <mark>&amp;</mark> T	TATE TREET ANK P RUST O	3,871	109,900	0 05-Oct-2016	02-Nov-2016
997AL60	)	GLV MS PLEDGE	S' S' 997AL & T	TATE TREET	24,000	0	05-Oct-2016	02-Nov-2016
THE WIL	LLIAMS	S COMPANIES, INC.		O				
Security		969457100			M	leeting Type	Annual	
Ticker Sy	ymbol	WMB			M	leeting Date	23-Nov-201	16
ISIN		US9694571004			A	genda	934492579	- Management
Record D	ate	07-Oct-2016				olding Recon	07-Oct-201	6
City / C	Country	1	United States			ote Deadline Pate	22-Nov-20	16
SEDOL(s	s)				Q	uick Code		
Item F	Proposa	1		Propo by	sed Vote		Against agement	
Ι Δ	ELECTI ARMST	ION OF DIRECTOR: A RONG	ALAN S.	Mana	gement F	or F	For	
IK		ON OF DIRECTOR: S GSTROM	TEPHEN	Mana	gement F	or F	For	
1('	ELECTI I. CHAZ	ION OF DIRECTOR: S ZEN	TEPHEN	Mana	gement F	or F	For	
11)		ON OF DIRECTOR: EEN B. COOPER		Mana	gement F	or F	For	
1 14	ELECTI RAGAU	ION OF DIRECTOR: F JSS	ETER A.	Mana	gement F	or F	For	

1F.	ELECTI SHEFFI	ON OF DIRECTOR ELD	: SCO	OTT D.	Manag	gement	For	Fo	r		
1G.	ELECTI D. SMIT	ON OF DIRECTOR	: MU	RRAY	Manag	gement	For	Fo	r		
1H.	ELECTI H. SPEN	ON OF DIRECTOR	: WII	LLIAM	Manag	gement	For	Fo	r		
1I.	ELECTION OF DIRECTOR: JANICE D. STONEY		Manag	gement	t For	Fo	r				
2.		CATION OF ERNST AUDITORS FOR 2		OUNG	Manag	gement	t For	Fo	r		
3.	APPROVAL, BY NONBINDING ADVISORY VOTE, OF THE COMPANY'S EXECUTIVE COMPENSATION.		Manag	Management For		Fo	For				
Account Number	Δ	Account Name		Interna Accour	l Justodian it	Ballo Share	t :s	Unavaila Shares	ble V	ote Date	Date Confirmed
997AL4	<b>1</b> 7	GLQ BNP PLEDG		997AL 8	STATE STREET	100		63,100	20	)-Oct-2016	20-Oct-2016
997AL4	19	GLO BNP PLEDG	E	997AL 7	STATE STREET SANK FRUST CO	100		159,700	20	0-Oct-2016	20-Oct-2016
997AL6	60	GLV MS PLEDGE	L	997AL 1	STATE STREET SANK  O CRUST CO	39,10	0	0	20	)-Oct-2016	20-Oct-2016
AMSUI	RG CORF	P.									
Security	/	03232P405					Meeting	Type		Special	
Ticker S	Symbol	AMSG					Meeting	Date		28-Nov-2016	
ISIN		US03232P4054					Agenda			934494826 - 1	Management
Record	Date	07-Oct-2016					Holding Date	Recon		07-Oct-2016	
City /	Country		/	ited ites			Vote De Date	adline		25-Nov-2016	
SEDOL	(s)						Quick C	ode			

Item	Proposal	Proposed Vote	For/Against Management	
1.	TO CONSIDER AND VOTE ON A PROPOSAL TO APPROVE THE AGREEMENT AND PLAN OF MERGER, DATED AS OF JUNE 15, 2016 (THE "MERGER AGREEMENT BY AND AMONG ENVISION HEALTHCARE HOLDINGS, INC., AMSURG CORP. AND NEW AMETHYST CORP., AND THE TRANSACTIONS CONTEMPLATED BY THE MERGER AGREEMENT.	Management For	For	
2.	TO CONSIDER AND VOTE ON A NON-BINDING, ADVISORY PROPOSAL TO APPROVE THE COMPENSATION THAT MAY BECOME PAYABLE TO AMSURG'S NAMED EXECUTIVE OFFICERS IN CONNECTION WITH THE CONSUMMATION OF THE MERGE	I	For	
3.	TO CONSIDER A VOTE ON A PROPOSAL TO APPROVE ANY MOTION TO ADJOURN THE AMSUSPECIAL MEETING IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES.	Managament Hor	For	
Accour Numbe	Account Name	rnal Custodian Ballot ount Shares	Unavailable Shares Vote Date	Date Confirmed
997GL	O GLO 997	STATE STREET GLO 6,400 TRUST CO	0 26-Oct-2016	29-Nov-2016
997AL	45 GLV BNP PLEDGE 997.	STATE STREET AL 45 2,200 TRUST CO	6,200 26-Oct-2016	29-Nov-2016
997AL	47 GLQ BNP PLEDGE 997.	STATE STREET ALAT 2,700 TRUST CO	13,600 26-Oct-2016	29-Nov-2016

**STATE STREET** 

GLO BNP PLEDGE 100 997AL49 34,500 26-Oct-2016 29-Nov-2016

> **TRUST** CO

CHR. HANSEN HOLDING A/S

Security K1830B107 Meeting Type **Annual General Meeting** 

Ticker Symbol Meeting Date 29-Nov-2016

**ISIN** DK0060227585 Agenda 707583793 - Management

Holding Recon Record Date 22-Nov-2016 22-Nov-2016

Date

Vote Deadline Country HORSHO LM 18-Nov-2016 City / / Denmark

Date

B3LL574 - B3SMD32 -Quick Code SEDOL(s) B573M11 - B63NJ00 -

BHZLC88

Proposed Vote For/Against Item **Proposal** Management

IN THE MAJORITY OF MEETINGS THE VOTES ARE CAST WITH THE REGISTRAR WHO WILL-FOLLOW CLIENT INSTRUCTIONS. IN A SMALL PERCENTAGE OF MEETINGS THERE IS NO-REGISTRAR AND CLIENTS VOTES MAY BE CAST BY THE CHAIRMAN OF THE BOARD OR A-BOARD MEMBER AS PROXY. CLIENTS CAN ONLY EXPECT THEM

TO ACCEPT

**CMMT** Non-Voting PRO-MANAGEMENT-VOTES. THE

ONLY WAY TO GUARANTEE THAT

ABSTAIN AND/OR AGAINST VOTES

ARE-REPRESENTED AT THE

MEETING IS TO SEND YOUR OWN

REPRESENTATIVE OR ATTEND

THE-MEETING IN PERSON. THE SUB

**CUSTODIAN BANKS OFFER** 

REPRESENTATION SERVICES FOR-AN ADDED FEE IF REQUESTED.

THANK YOU

CMMT PLEASE BE ADVISED THAT SPLIT

AND PARTIAL VOTING IS NOT **AUTHORISED FOR A-BENEFICIAL** 

OWNER IN THE DANISH MARKET.

PLEASE CONTACT YOUR GLOBAL

Non-Voting

	CUSTODIAN-FOR FURTHER INFORMATION.		
СММТ	IMPORTANT MARKET PROCESSING REQUIREMENT: A BENEFICIAL OWNER SIGNED POWER OF-ATTORNEY (POA) IS REQUIRED IN ORDER TO LODGE AND EXECUTE YOUR VOTING- INSTRUCTIONS IN THIS MARKET. ABSENCE OF A POA, MAY CAUSE YOUR INSTRUCTIONS TO-BE REJECTED. IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT YOUR CLIENT SERVICE-REPRESENTATIVE	Non-Voting	
CMMT	PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR 'ABSTAIN'-ONLY FOR RESOLUTIONS 6.A.A, 6.B.A TO 6.B.F AND 7.A. THANK YOU	Non-Voting	
1	REPORT ON THE COMPANY'S ACTIVITIES	Non-Voting	
2	APPROVAL OF THE 2015/16 ANNUAL REPORT	Management For	For
3	RESOLUTION ON THE APPROPRIATION OF PROFIT OR COVERING OF LOSS: DKK 5.23 PER SHARE	Management For	For
4	DECISION ON REMUNERATION OF MEMBERS OF THE BOARD OF DIRECTORS	Management For	For
5.A	PROPOSALS FROM THE BOARD OF DIRECTOR: AMENDMENT OF ARTICLES OF ASSOCIATION TO REFLECT COMPUTERSHARE A/S AS NEW COMPANY REGISTRAR	Management For	For
5.B	PROPOSALS FROM THE BOARD OF DIRECTOR: AMENDMENT OF ARTICLES OF ASSOCIATION TO REFLECT LEGAL NAME CHANGE OF NASDAQ OMX COPENHAGEN A/S	Management For	For
6.A.A	RE-ELECTION OF CHAIRMAN OF THE BOARD OF DIRECTOR: OLE ANDERSEN	Management For	For
6.B.A	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: FREDERIC STEVENIN	Management For	For

6.B.B	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: MARK WILSON	Management For	For
6.B.C	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: DOMINIQUE REINICHE	Management For	For
6.B.D	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: TIINA MATTILA-SANDHOLM	Management For	For
6.B.E	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: KRISTIAN VILLUMSEN	Management For	For
6.B.F	ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: LUIS CANTARELL ROCAMORA	Management For	For
7.A	RE-ELECTION OF PRICEWATERHOUSECOOPERS STATSAUTORISERET REVISIONSPARTNERSELSKAB	Management For	For
8	AUTHORIZATION OF THE CHAIRMAN OF THE ANNUAL GENERAL MEETING	Management For	For
CMMT	07 NOV 2016: PLEASE NOTE THAT THIS IS A REVISION DUE TO RECEIPT OF DIVIDEND-AMOUNT. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE AGAIN-UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU.	Non-Voting	

Account Number	Account Name	Custodian	Ballot Shares	Unavaila Shares	able Vote Date	Date Confirmed
GLV	GLV	STATE STREET BANK	19,244	0	05-Nov-2016	22-Nov-2016
GLQ	GLQ	STATE STREET BANK	31,409	0	05-Nov-2016	22-Nov-2016
GLO	GLO	STATE STREET BANK	78,002	0	05-Nov-2016	22-Nov-2016
MICROSOFT (	CORPORATION					
Security	594918104		Meeting	g Type	Annual	
Ticker Symbol	MSFT		Meeting	g Date	30-Nov-2016	

ISIN		US5949181045			Agenda		934491224 - Management
Record	Date	30-Sep-2016			Holding Ro Date	econ	30-Sep-2016
City /	Country	,	/ United States		Vote Dead Date	line	29-Nov-2016
SEDOI	L(s)				Quick Cod	le	
Item	Proposa	1		Proposed V	ote	For/Aga Manage	
1A.	ELECT H. GAT	ION OF DIRECTO ES III	R: WILLIAM	Managemen	t For	For	
1B.	ELECT LIST-S	ION OF DIRECTO FOLL	R: TERI L.	Managemen	t For	For	
1C.	ELECT MORFI	ION OF DIRECTO T	R: G. MASON	Managemen	t For	For	
1D.	ELECT NADEL	ION OF DIRECTO LLA	R: SATYA	Managemen	t For	For	
1E.	ELECT H. NOS	ION OF DIRECTO KI	R: CHARLES	Managemen	t For	For	
1F.	ELECT PANKE	ION OF DIRECTO	R: HELMUT	Managemen	t For	For	
1G.	ELECT E. PETI	ION OF DIRECTO ERSON	R: SANDRA	Managemen	t For	For	
1H.	ELECT W. SCH	ION OF DIRECTO IARF	R: CHARLES	Managemen	t For	For	
1I.	ELECT STANT	ION OF DIRECTO ON	R: JOHN W.	Managemen	t For	For	
1J.	ELECT: THOMI	ION OF DIRECTO PSON	R: JOHN W.	Managemen	t For	For	
1K.		ION OF DIRECTO ASREE WARRIOR	R:	Managemen	t For	For	
2.	NAMEI	ORY VOTE TO AP DEXECUTIVE OF ENSATION		Managemen	t For	For	
3.	TOUCH	CATION OF DELC IE LLP AS OUR IN OR FOR FISCAL Y	DEPENDENT	Managemen	t For	For	
4.	OUR A	VAL OF AMENDA MENDED AND RE LES OF INCORPOI	STATED	Managemen	t For	For	
5.		VAL OF FRENCH R THE 2001 STOCK		Managemen	t For	For	
6.		CHOLDER PROPOS ESTING CERTAIN		Shareholder	Against	For	

#### ACCESS BYLAW AMENDMENTS

Account Number		Account Name	Internal Cu Account	ıstodiar	Ballo Share		Unavaila Shares	ible Vote Date	Date Confirmed
997AL4:	.5	GLV BNP PLEDGE	ST 997AL	RUST	100		39,600	20-Oct-2016	01-Dec-2016
997AL4′	7	GLQ BNP PLEDGE	ST ST 997AI	CATE CREET ANK CRUST	100		48,500	20-Oct-2016	01-Dec-2016
997AL4	.9	GLO BNP PLEDGE	ST 997AL	RUST	100		121,300	20-Oct-2016	01-Dec-2016
997AL60	0	GLV MS PLEDGE	ST 997AL &	RUST	20,40	00	0	20-Oct-2016	01-Dec-2016
DIAMO	NDBAC	CK ENERGY, INC.							
Security	,	25278X109				Meeting	g Type	Special	
Ticker S	Symbol	FANG				Meeting	g Date	07-Dec-2016	
ISIN		US25278X1090				Agenda		934506392 -	Management
Record I	Date	11-Nov-2016				Holding Date	Recon	11-Nov-2016	
City /	Country	J /	Inited tates			Vote Do Date	eadline	06-Dec-2016	
SEDOL(	(s)					Quick (	Code		
Item	Proposa	ıl		Propos by	sed V	ote		gainst gement	
	AMENI ENERO RESTA INCOR	OSAL TO APPROVE AN DMENT TO DIAMOND GY, INC.'S AMENDED A TED CERTIFICATE OF PORATION TO INCRE. L NUMBER OF AUTHO	BACK AND ASE THE	Manag	gemen	t For	Fo	or	

# SHARES OF COMMON STOCK FROM 100,000,000 TO 200,000,000.

Account Number	Account Name	Internal Account Bal Account Sha	ot Unavai	lable Vote Date	Date Confirmed
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL <sub>&amp;</sub> 47 2,5 TRUST CO	00 11,300	19-Nov-2016	08-Dec-2016
997AL49	GLO BNP PLEDGE	STATE STREET BANK 997AL 49 6,1 TRUST CO	28,800	19-Nov-2016	08-Dec-2016
AGNC IN	NVESTMENT CORP				
Security	00123Q104		Meeting Type	Special	
Ticker Sy	mbol AGNC		Meeting Date	09-Dec-2016	
ISIN			Agenda	934498127 -	Management
Record D	ate 24-Oct-2016		Holding Recon Date	24-Oct-2016	
City /	Country	United States	Vote Deadline Date	08-Dec-2016	
SEDOL(s	s)		Quick Code		
Item I	Proposal	Proposed by	/ OTE	Against nagement	
1. I	APPROVAL OF THE AGNC INVESTMENT CORP. 2016 E AND INCENTIVE COMPENS PLAN.	\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	nt For 1	For	
Account Number	Account Name	Internal Custodian Sha		lable Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	STATE STREET BANK 997AL45 165 TRUST CO	,300 0	04-Nov-2016	12-Dec-2016
997AL47	GLQ BNP PLEDGE	997AISATATE 269 STREET	,600 0	04-Nov-2016	12-Dec-2016

& **TRUST** CO **STATE STREET** 997AL49 679,599 GLO BNP PLEDGE 997AL49 0 04-Nov-2016 12-Dec-2016 **TRUST** CO ARES CAPITAL CORPORATION Security 04010L103 Meeting Type Special Ticker Symbol Meeting Date **ARCC** 15-Dec-2016 **ISIN** 934494864 - Management US04010L1035 Agenda Holding Recon Record Date 17-Oct-2016 17-Oct-2016 Date Vote Deadline United City / Country 14-Dec-2016 States Date SEDOL(s) Quick Code Proposed Vote For/Against **Proposal** Item Management by TO APPROVE THE ISSUANCE OF THE SHARES OF ARES CAPITAL COMMON STOCK TO BE ISSUED PURSUANT TO THE AGREEMENT AND PLAN OF MERGER, AS SUCH AGREEMENT MAY BE AMENDED FROM TIME TO TIME, DATED AS OF MAY 23, 2016 (THE "MERGER AGREEMENT"), BY AND AMONG ARES CAPITAL, AMERICAN Management For 1. For CAPITAL, LTD., ORION ACQUISITION SUB, INC., IVY HILL ASSET MANAGEMENT, L.P. ("IHAM"), IVY HILL ASSET MANAGEMENT GP, LLC, IN ITS CAPACITY AS GENERAL PARTNER OF IHAM, AMERICAN CAPITAL ASSET MANAGEMENT, LLC, AND SOLELY FOR THE ...(DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL). TO APPROVE THE ISSUANCE OF THE SHARES OF ARES CAPITAL COMMON STOCK TO BE ISSUED 2. PURSUANT TO THE MERGER Management For For AGREEMENT IN ACCORDANCE

WITH NASDAQ LISTING RULE

REQUIREMENTS.

Management For

TO APPROVE THE ADJOURNMENT OF THE ARES CAPITAL SPECIAL MEETING, IF NECESSARY OR APPROPRIATE, TO SOLICIT

3. ADDITIONAL PROXIES IF THERE ARE NOT SUFFICIENT VOTES AT THE TIME OF THE ARES CAPITAL SPECIAL MEETING TO APPROVE

PROPOSAL 1 OR PROPOSAL 2.

For

Account Number	Account Name	Internal Custodia Account	Ballot Shares	Unavail Shares	able Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET BANK 997GL TRUST CO		0	26-Oct-2016	16-Dec-2016
997AL45	GLV BNP PLEDGE	STATE STREET BANK 997AL 45 TRUST CO	291,600	0	26-Oct-2016	16-Dec-2016
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL 47 TRUST CO	335,300	0	26-Oct-2016	16-Dec-2016
997AL49	GLO BNP PLEDGE	STATE STREET 997AL 49 TRUST CO	964,300	0	26-Oct-2016	16-Dec-2016
997AL53	GLO MS PLEDGE	STATE STREET BANK 997AL 23 TRUST CO	80,000	0	26-Oct-2016	16-Dec-2016
AKORN, INC.						
Security Tioker Symbol	009728106 AKRX		Meetin		Special 16-Dec-2016	
Ticker Symbol ISIN	US0097281069		Meetin Agenda	_		Management
			C			C

Record	Date 28-Oct-2016			Holding Re Date	econ	28-Oct-2016
City /	Country	/ United States		Vote Dead Date	line	15-Dec-2016
SEDOL	L(S)			Quick Cod	e	
Item	Proposal		Proposed V	ote	For/Aga Manage	
1.	PROPOSAL TO APPROV AKORN, INC. 2016 EMPI PURCHASE PLAN.		Managemen	t For	For	
2.	PROPOSAL TO APPROV AMENDMENT AND RES OF THE AKORN, INC. 20 OPTION PLAN.	STATEMENT	Managemen	t For	For	

Account Number	Account Name	Internal Ba Custodian Sha	allot Una hares Shar	vailable Vote Date	Date Confirmed
997GLV	GLV	STATE STREET BANK	0,500 0	18-Nov-2016	19-Dec-2016
997GLQ	GLQ	STATE STREET  997GL 7,2  TRUST CO	200 0	18-Nov-2016	19-Dec-2016
997GLO	GLO	STATE STREET  997GLO 34, TRUST CO	4,300 0	18-Nov-2016	19-Dec-2016
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL 5,8 TRUST CO	800 35,0	00 18-Nov-2016	19-Dec-2016
997AL49	GLO BNP PLEDGE	997AISABATE 900 STREET BANK & TRUST	00 71,8	00 18-Nov-2016	19-Dec-2016

CO

#### SINOPHARM GROUP CO. LTD.

ExtraOrdinary General Security Y8008N107 Meeting Type Meeting Ticker Symbol Meeting Date 30-Dec-2016 **ISIN** CNE100000FN7 707595990 - Management Agenda Holding Recon Record Date 29-Nov-2016 29-Nov-2016 Date Vote Deadline / China 16-Dec-2016 City / Country SHANGH AI Date

SEDOL(s)

B3ZVDV0 - B4M8B73 B5NVZ21 - BP3RXT2

Quick Code

Item Proposal Proposed by Vote For/Against Management

PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE

CMMT ........

http://www.hkexnews.hk/listedco/listconews/SEHK/2016/

1114/LTN20161114274.pdf-AND-

http://www.hkexnews.hk/listedco/listconews/SEHK/2016/

1114/LTN20161114240.pdf

PLEASE NOTE IN THE HONG KONG

CMMT MARKET THAT A VOTE OF

'ABSTAIN' WILL BE TREATED-THE
Non-Voting

SAME AS A 'TAKE NO ACTION' VOTE

TO CONSIDER AND, IF THOUGHT FIT, TO APPROVE (I) THE ISSUE OF

THE ACCOUNTS RECEIVABLE

ASSET-BACKED SECURITIES IN THE

PRC OF NO MORE THAN RMB8

BILLION IN SCALE (THE "ASSET-

BACKED SECURITIES"); AND (II) THE

AUTHORISATION TO THE BOARD OF

1 DIRECTORS OF THE COMPANY OR Management For For

THE PERSON(S) AUTHORISED BY IT DEAL WITH ALL SPECIFIC MATTERS PURSUANT TO THE PROPOSAL IN RESPECT OF THE ISSUE OF THE ASSET-BACKED SECURITIES AS SET OUT IN THE NOTICE OF EGM OF THE COMPANY DATED 14 NOVEMBER

2016

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Accour Numbe		Account Name	Interna Accour	ilistodian	Ballo Share	t es	Unavaila Shares	ble Vote Date	Date Confirmed
GLV		GLV	S	STATE STREET BANK	142,4	.00	0	15-Nov-2016	22-Dec-2016
GLQ		GLQ	S	STATE STREET BANK	236,8	000	0	15-Nov-2016	22-Dec-2016
GLO		GLO	S	STATE STREET BANK	583,6	500	0	15-Nov-2016	22-Dec-2016
D.R. H	ORTON,	INC.	_	21 II (II					
Securit		23331A109				Meeting	Type	Annual	
	Symbol	DHI				Meeting		19-Jan-2017	
ISIN	•	US23331A1097				Agenda		934514147 -	Management
Record	Date	28-Nov-2016				Holding Date	Recon	28-Nov-2016	
City /	Country	y /	United States			Vote De Date	adline	18-Jan-2017	
SEDOI	$\mathcal{L}(s)$					Quick C	Code		
Item	Proposa	al		Propos by	sed Vo	ote	For/A Mana	gainst gement	
1A.	ELECT R. HOF	TION OF DIRECTOR: I	OONALD	Manag	gement	t For	Fo	r	
1B.	ELECT K. ALI	TION OF DIRECTOR: I LEN	BARBARA	Manag	gement	t For	Fo	r	
1C.	ELECT ANDE	TION OF DIRECTOR: I RSON	BRAD S.	Manag	gement	t For	Fo	r	
1D.		TION OF DIRECTOR: I CHANAN	MICHAEL	Manag	gement	t For	Fo	r	
1E.	ELECT W. HE	TION OF DIRECTOR: I WATT	MICHAEL	Manag	gement	t For	Fo	r	
2.	RESOL	OVAL OF THE ADVISO LUTION ON EXECUTI ENSATION.		Manag	gement	t For	Fo	r	
3.	PRICE AS OU	Y THE APPOINTMEN WATERHOUSECOOP R INDEPENDENT RE C ACCOUNTING FIRI	ERS LLP GISTEREI	) Manag	gement	t For	Fo	r	
Accour Numbe		Account Name	Interna Accour	ustogian	Ballo Share		Unavaila Shares	ble Vote Date	Date Confirmed
997AL		GLV BNP PLEDGE	997AL		14,62		61,500	13-Dec-2016	20-Jan-2017

		0 0	J					
				TATE				
				TREET ANK				
			&					
			T) C	RUST O				
				ГАТЕ				
			S	TREET				
997AL	47	GLQ BNP PLEDGE	E 997AL	ANK 7	23,621	104,400	13-Dec-2016	20-Jan-2017
			T	RUST				
			C	O				
				TATE TREET				
00741	40	CLO DND DLEDCI			<b>5</b> 0.001	256 200	12 Dec 2016	20 Ion 2017
997AL	49	GLO BNP PLEDGE		ANK	59,001	230,300	13-Dec-2016	20-Jan-2017
			C	RUST O				
			S	ГАТЕ				
				TREET				
997AL	61	GLQ MS PLEDGE	997AL	ANK	10,800	0	13-Dec-2016	20-Jan-2017
				RUST				
COLU	D C A DITE	AL PDG DIG	C	O				
		AL BDC, INC.			M	atina Tama	A	
Security		38173M102 GBDC				eeting Type eeting Date	Annual 07-Feb-2017	
ISIN	Symbol	US38173M1027				genda		Management
					_	olding Recon		C
Record	Date	12-Dec-2016			Da	-	12-Dec-2016	
City /	Country	,	United			ote Deadline	06-Feb-2017	
•	·	,	States		Da		001002017	
SEDOL	ـ(s)			D	_	iick Code		
Item	Proposa	1		Propo by	sed Vote		gainst gement	
1.1		ION OF CLASS I DII B. GOLUB	RECTOR:	·	gement Fo			
1.2		ION OF CLASS I DII R. ROSENBERG	RECTOR:	Mana	gement Fo	r Fo	or	
2.	ERNST THE CO REGIST FIRM F	TIFY THE SELECTION & YOUNG LLP TO DEPOSITE TO THE FISCAL YES GET THE FISCAL YES GET THE SELECTION TO THE FISCAL YES OF T	SERVE AS NDENT COUNTING EAR	Mana	gement Fo	r Fo	or	

Account Number		Account Name	Internal Account	ustoatat	Ballo Share		Unavaila Shares	ble Vote Date	Date Confirmed
997GLQ	Q	GLQ	997GL	RUST	24,00	00	0	22-Dec-2016	08-Feb-2017
997AL4	15	GLV BNP PLEDGE	S7 997AL4	RUST	100		128,300	22-Dec-2016	08-Feb-2017
997AL4	17	GLQ BNP PLEDGE	S7 997AI <mark>8</mark>	RUST	150,8	309	0	22-Dec-2016	08-Feb-2017
997AL4	19	GLO BNP PLEDGE	S7 997AL4	RUST	157		454,300	22-Dec-2016	08-Feb-2017
APPLE	INC.								
Security	7	037833100				Meeting	g Type	Annual	
Ticker S	Symbol	AAPL				Meeting	g Date	28-Feb-2017	
ISIN		US0378331005				Agenda		934520556 -	Management
Record 1	Date	30-Dec-2016				Holding Date	Recon	30-Dec-2016	
City /	Country	<i>i</i>	nited tates			Vote Do Date	eadline	27-Feb-2017	
SEDOL	(s)					Quick (	Code		
Item	Proposa	al		Propos by	sed V	ote	For/A Mana	gainst gement	
1A.	ELECT BELL	TION OF DIRECTOR: JA	MES	Manag	gemen	t For	Fo	r	
1B.	ELECT	TION OF DIRECTOR: TI	м соок	Manag	gemen	t For	Fo	r	
1C.	ELECT	TION OF DIRECTOR: AI	GORE	Manag	gemen	t For	Fo	r	
1D.	ELECT	TION OF DIRECTOR: BO	)B IGER	Manag	gemen	t For	Fo	r	
1E.				Manag	gemen	t For	Fo	r	

ELECTION OF DIRECTOR: ANDREA JUNG		
ELECTION OF DIRECTOR: ART LEVINSON	Management For	For
ELECTION OF DIRECTOR: RON SUGAR	Management For	For
ELECTION OF DIRECTOR: SUE WAGNER	Management For	For
RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS APPLE'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017	Management For	For
ADVISORY VOTE TO APPROVE EXECUTIVE COMPENSATION	Management For	For
ADVISORY VOTE ON THE FREQUENCY OF SHAREHOLDER VOTES ON EXECUTIVE COMPENSATION	Management 3 Years	Against
A SHAREHOLDER PROPOSAL ENTITLED "CHARITABLE GIVING - RECIPIENTS, INTENTS AND BENEFITS"	Shareholder Against	For
A SHAREHOLDER PROPOSAL REGARDING DIVERSITY AMONG OUR SENIOR MANAGEMENT AND BOARD OF DIRECTORS	Shareholder Against	For
A SHAREHOLDER PROPOSAL ENTITLED "SHAREHOLDER PROXY ACCESS AMENDMENTS"	Shareholder Against	For
A SHAREHOLDER PROPOSAL ENTITLED "EXECUTIVE COMPENSATION REFORM"	Shareholder Against	For
A SHAREHOLDER PROPOSAL ENTITLED "EXECUTIVES TO RETAIN SIGNIFICANT STOCK"	Shareholder Against	For
	JUNG ELECTION OF DIRECTOR: ART LEVINSON ELECTION OF DIRECTOR: RON SUGAR ELECTION OF DIRECTOR: SUE WAGNER RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS APPLE'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017 ADVISORY VOTE TO APPROVE EXECUTIVE COMPENSATION ADVISORY VOTE ON THE FREQUENCY OF SHAREHOLDER VOTES ON EXECUTIVE COMPENSATION A SHAREHOLDER PROPOSAL ENTITLED "CHARITABLE GIVING- RECIPIENTS, INTENTS AND BENEFITS" A SHAREHOLDER PROPOSAL REGARDING DIVERSITY AMONG OUR SENIOR MANAGEMENT AND BOARD OF DIRECTORS A SHAREHOLDER PROPOSAL ENTITLED "SHAREHOLDER PROYSAL ENTITLED "SHAREHOLDER PROYSAL ENTITLED "SHAREHOLDER PROYSAL ENTITLED "SHAREHOLDER PROYSAL ENTITLED "EXECUTIVE COMPENSATION REFORM" A SHAREHOLDER PROPOSAL ENTITLED "EXECUTIVE COMPENSATION REFORM" A SHAREHOLDER PROPOSAL ENTITLED "EXECUTIVES TO RETAIN	JUNG  ELECTION OF DIRECTOR: ART LEVINSON  ELECTION OF DIRECTOR: RON SUGAR  ELECTION OF DIRECTOR: SUE WAGNER  RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS APPLE'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017  ADVISORY VOTE TO APPROVE EXECUTIVE COMPENSATION  ADVISORY VOTE ON THE FREQUENCY OF SHAREHOLDER VOTES ON EXECUTIVE COMPENSATION  A SHAREHOLDER PROPOSAL ENTITLED "CHARITABLE GIVING- RECIPIENTS, INTENTS AND BENEFITS"  A SHAREHOLDER PROPOSAL ENTITLED "SHAREHOLDER PROPOSAL ENTITLED "SHAREHOLDER PROPOSAL ENTITLED "SHAREHOLDER PROPOSAL ENTITLED "SHAREHOLDER PROY A SHAREHOLDER PROPOSAL ENTITLED "SHAREHOLDER PROY A SHAREHOLDER PROPOSAL ENTITLED "SHAREHOLDER PROY ACCESS AMENDMENTS"  A SHAREHOLDER PROPOSAL ENTITLED "EXECUTIVE COMPENSATION REFORM"  A SHAREHOLDER PROPOSAL ENTITLED "EXECUTIVE Shareholder Against COMPENSATION REFORM"  A SHAREHOLDER PROPOSAL ENTITLED "EXECUTIVE Shareholder Against COMPENSATION REFORM"  A SHAREHOLDER PROPOSAL ENTITLED "EXECUTIVES TO RETAIN Shareholder Against

Account Number	Account Name	Internal Custodia Account	n Ballot Shares	Unavaila Shares	able Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997GL TRUST CO		0	07-Jan-2017	01-Mar-2017

997GLQ	GLQ	STATE STREET 997GL 997GL TRUST CO	31,200	0	07-Jan-2017	01-Mar-2017
997GLO	GLO	STATE STREET 997GL TRUST CO	39,600	0	07-Jan-2017	01-Mar-2017
997AL45	GLV BNP PLEDGE	STATE STREET BANK 997AL 45 TRUST CO	100	27,500	07-Jan-2017	01-Mar-2017
997AL47	GLQ BNP PLEDGE	STATE STREET BANK 997AL 47 TRUST CO	100	32,400	07-Jan-2017	01-Mar-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL 49 TRUST CO	100	81,900	07-Jan-2017	01-Mar-2017
TRANSDIGM	GROUP INCORPORAT					
Security	893641100		Meetin	ng Type	Annual	
Ticker Symbol	TDG		Meetii	ng Date	01-Mar-2017	7
ISIN	US8936411003		Agend	la	934523451 -	Management
Record Date	03-Jan-2017		Holdin Date	ng Recon	03-Jan-2017	
City / Countr	~v /	United States	Vote I Date	Deadline	28-Feb-2017	,
SEDOL(s)			Quick	Code		
Item Propos	sal	Propo by	osed Vote		Against agement	
1. DIREC	CTOR	Mana	gement			
1	WILLIAM DRIES		For	Fo	or	
2	MERVIN DUNN		For	Fo	or	
3	MICHAEL GRAFF		For	Fo	or	

	4	SEAN HENNESSY	For	For	
	5	W. NICHOLAS HOWLEY	For	For	
	6	RAYMOND LAUBENTHAL	For	For	
	7	DOUGLAS PEACOCK	For	For	
	8	ROBERT SMALL	For	For	
	9	JOHN STAER	For	For	
2.	VOTI THE	PPROVE (IN AN ADVISORY E) COMPENSATION PAID TO COMPANY'S NAMED CUTIVE OFFICERS	Management For	For	
3.	CON REGA TO T	DETERMINE HOW OFTEN TO DUCT THE ADVISORY VOTE ARDING COMPENSATION PAID HE COMPANY'S NAMED CUTIVE OFFICERS	Management 3 Years		
4.	ERNS COM ACC	ATIFY THE SELECTION OF ST & YOUNG LLP AS THE PANY'S INDEPENDENT OUNTANTS FOR THE FISCAL R ENDING SEPTEMBER 30, 2017	Management For	For	

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavail Shares	able Vote Date	Date Confirmed
997AL47	GLQ BNP PLEDGE	STATE STREET 997AI 47 TRUST CO	20,810	0	21-Jan-2017	02-Mar-2017
997AL49	GLO BNP PLEDGE	STATE STREET BANK 997AL 49 TRUST CO	33,850	0	21-Jan-2017	02-Mar-2017
HOLOGIC, IN	C.					
Security	436440101		Meeti	ng Type	Annual	
Ticker Symbol	HOLX		Meeti	ng Date	08-Mar-2017	
ISIN	US4364401012		Agen	da	934522423 -	Management
Record Date	09-Jan-2017		Holdi Date	ng Recon	09-Jan-2017	
City / Count	rv /	Jnited States	Vote Date	Deadline	07-Mar-2017	
SEDOL(s)			Quicl	Code		

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Item	Propo	sal	Proposed by Vote	For/Against Management
1.	DIRECTOR		Management	
	1	CHRISTOPHER J. COUGHLIN	For	For
	2	SALLY W. CRAWFORD	For	For
	3	SCOTT T. GARRETT	For	For
	4	LAWRENCE M. LEVY	For	For
	5	STEPHEN P. MACMILLAN	For	For
	6	CHRISTIANA STAMOULIS	For	For
	7	ELAINE S. ULLIAN	For	For
	8	AMY M. WENDELL	For	For
2.	RESC	N-BINDING ADVISORY DLUTION TO APPROVE CUTIVE COMPENSATION.	Management For	For
3.	TO RECOMMEND, BY NON-BINDING VOTE, THE FREQUENCY OF EXECUTIVE COMPENSATION VOTES.		Management 3 Years	Against
4.	AMENDMENT TO HOLOGIC'S FIFTH AMENDED AND RESTATED BYLAWS.		Management For	For
5.	RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL 2017.		Management For	For

Account Number	Account Name	Internal Custodiar Account	Ballot Shares	Unavaila Shares	ible Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET BANK 997GL TRUST CO	23,600	0	25-Jan-2017	09-Mar-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL 47 TRUST CO	100	28,500	25-Jan-2017	09-Mar-2017
997AL49	GLO BNP PLEDGE	997AIS <b>19</b> ATE STREET BANK	100	131,200	25-Jan-2017	09-Mar-2017

& TRUST CO

GW P	HΑ	RMA	CEL	<b>JTIC</b>	ALS	PLC
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GW TH/MGM/NELOTIE/ILDTLE								
Security		36197T103			Meeting Type		Annual	
Ticker S	Symbol	GWPH			Meeting D	ate	14-Mar-2017	
ISIN		US36197T1034			Agenda		934530660 - Management	
Record	Date	07-Feb-2017			Holding Ro Date	econ	07-Feb-2017	
City /	Country		/ United States		Vote Dead Date	line	06-Mar-2017	
SEDOL	L(s)				Quick Cod			
Item	Proposa	1		Proposed by	ote	For/Aga Manage		
01	THE DI REPOR ACCOU 30 SEPT THAT T	CEIVE, CONSIDER RECTORS' AND A TS AND STATEM INTS FOR THE YESTEED THE YESTEED THE DIRECTORS INTEND PAYMENTEND	UDITORS' ENT OF EAR ENDED D NOTE DO NOT	Managemen	t For	For		
O2		PROVE THE DIRECTED REPORT		Managemen	t For	For		
O3	TO RE- DIRECT	ELECT GEOFFRE FOR	Y GUY AS A	Managemen	t For	For		
O4	TO RE-	ELECT THOMAS I	LYNCH AS A	Managemen	t For	For		
O5	TO RE- DIRECT	ELECT CABOT BI ГОR	ROWN AS A	Managemen	t For	For		
O6	TO APP	POINT DELOITTE OR	LLP AS	Managemen	t For	For		
O7	DETER	THORISE THE DIF MINE THE AUDIT NERATION		Managemen	t For	For		
S8	THE CO	PROVE THE ADOF OMPANY'S LONG- FIVE PLAN		Managemen	t For	For		
<b>S</b> 9	ALLOT	THORISE THE DIF EQUITY SECURI ON 551 OF THE 200	TIES, UNDER	Managemen	t For	For		
S10	ALLOT	THORISE THE DIF EQUITY SECURI ON 570 OF THE 200	TIES, UNDER	Managemen	t For	For		

# SECTION 561(1) OF THE 2006 ACT DID NOT APPLY TO SUCH ALLOTMENT

Account Number		Account Name	Internal Account	ustodian	Ballo Share	t es	Unavai Shares	lable Vot	e Date	Date Confirmed
997GL(	Q	GLQ	S7 S7 997GL &	TATE TREET ANK RUST	1,600		0	15-1	Feb-2017	08-Mar-2017
997GL0	)	GLO	997GL 8	RUST	3,100	)	0	15-1	Feb-2017	08-Mar-2017
997AL4	17	GLQ BNP PLEDGE	997AL4	RUST	4,300	)	0	15-]	Feb-2017	08-Mar-2017
997AL4	19	GLO BNP PLEDGE	997AL4	RUST	8,300	)	0	15-1	Feb-2017	08-Mar-2017
SAMSU	JNG ELE	ECTRONICS CO LTD, S	SUWON							
Security Ticker S		Y74718100				Meeting Meeting	• •		nnual Genei 4-Mar-2017	ral Meeting
ISIN		KR7005930003				Agenda		70	07790499 - 1	Management
Record	Date	31-Dec-2016				Holding Date	Recon	3	1-Dec-2016	
City /	Country	SEOUL / F	Korea, Republic Of			Vote De Date	adline	14	4-Mar-2017	
SEDOL	u(s)	6771720 - B19VC15 - B74V052				Quick C	ode			
Item	Proposa	1		Propos by	sed Vo	ote		Agains ageme		
1	APPRO STATE	VAL OF FINANCIAL MENTS		Manag	gemen	t For	F	For		
2				Manag	gemen	t For	F	For		

# APPROVAL OF REMUNERATION FOR DIRECTOR

Account Number	Account Name	Interna Accou	Ciistodian	Ballot Shares	Unavaila Shares	able Vote Date	Date Confirmed
GLV	GLV	;	STATE STREET BANK	756	0	25-Feb-2017	16-Mar-2017
GLQ	GLQ	;	STATE STREET BANK	1,197	0	25-Feb-2017	16-Mar-2017
GLO	GLO	;	STATE STREET BANK	3,046	0	25-Feb-2017	16-Mar-2017
LINE CORPOR	RATION						
Security	53567X101			Me	eting Type	Annual	
Ticker Symbol	LN			Me	eting Date	30-Mar-2017	
ISIN	US53567X1019			Ag	enda	934536268 - 1	Management
Record Date	29-Dec-2016			Ho Da	lding Recon te	29-Dec-2016	
City / Countr	ry	/ Japan		Vo Da	te Deadline te	23-Mar-2017	
SEDOL(s)				Qu	ick Code		

Item	Proposal	Proposed by Vote	For/Against Management
1.	PARTIAL AMENDMENTS TO THE ARTICLES OF INCORPORATION	Management For	Against
2.	DIRECTORS	Management	
	1 TAKESHI IDEZAWA	For	For
	2 JUN MASUDA	For	For
	3 JOONGHO SHIN	For	For
	4 IN JOON HWANG	For	For
	5 HAE-JIN LEE	For	For
	6 TADASHI KUNIHIRO	For	For
	7 KOJI KOTAKA	For	For
	8 REHITO HATOYAMA	For	For
	ELECTION OF ONE (1) SUBSTITUTE		
3.	CORPORATE AUDITOR: NAOKI	Management For	Against
	WATANABE		
4.		Management For	Against

DETERMINATION OF AMOUNTS AND DETAILS OF STOCK OPTIONS (WARRANTS) TO BE PAID AS REMUNERATION, ETC.TO DIRECTORS

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavail Shares	able Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET BANK 997GLQ TRUST CO	10,300	0	04-Mar-2017	27-Mar-2017
997GLO	GLO	STATE STREET 997GLO TRUST CO	39,100	0	04-Mar-2017	27-Mar-2017
997AL47	GLQ BNP PLEDGE	STATE STREET BANK 997AL TRUST CO	10,800	9,700	04-Mar-2017	27-Mar-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL 49 TRUST CO	9,800	28,300	04-Mar-2017	27-Mar-2017
BROADCOM	LIMITED					
Security	Y09827109		Meetin	ng Type	Annual	
Ticker Symbol	AVGO		Meetin	ng Date	05-Apr-2017	
ISIN	SG9999014823		Agend	a	934531977 -	Management
Record Date	08-Feb-2017		Holdin Date	g Recon	08-Feb-2017	
City / Count	rry / N	<b>I</b> alaysia	Vote Date	Deadline	03-Apr-2017	
SEDOL(s)			Quick	Code		
Item Propo	sal	Propo by	sed Vote		Against agement	
1A.		•	gement For	F		

	ELECTION OF DIRECTOR: MR. HOCK E. TAN		
1B.	ELECTION OF DIRECTOR: MR. JAMES V. DILLER	Management For	For
1C.	ELECTION OF DIRECTOR: MR. LEWIS C. EGGEBRECHT	Management For	For
1D.	ELECTION OF DIRECTOR: MR. KENNETH Y. HAO	Management For	For
1E.	ELECTION OF DIRECTOR: MR. EDDY W. HARTENSTEIN	Management For	For
1F.	ELECTION OF DIRECTOR: MR. CHECK KIAN LOW	Management For	For
1G.	ELECTION OF DIRECTOR: MR. DONALD MACLEOD	Management For	For
1H.	ELECTION OF DIRECTOR: MR. PETER J. MARKS	Management For	For
1I.	ELECTION OF DIRECTOR: DR. HENRY SAMUELI	Management For	For
2.	TO APPROVE THE RE-APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS BROADCOM'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM AND INDEPENDENT SINGAPORE AUDITOR FOR THE FISCAL YEAR ENDING OCTOBER 29, 2017 AND TO AUTHORIZE THE AUDIT COMMITTEE TO FIX ITS REMUNERATION, AS SET FORTH IN BROADCOM'S NOTICE OF, AND PROXY STATEMENT RELATING TO, ITS 2017 ANNUAL GENERAL MEETING.	Management For	For
3.	TO APPROVE THE GENERAL AUTHORIZATION FOR THE DIRECTORS OF BROADCOM TO ALLOT AND ISSUE SHARES IN OUR CAPITAL, AS SET FORTH IN BROADCOM'S NOTICE OF, AND PROXY STATEMENT RELATING TO, ITS 2017 ANNUAL GENERAL MEETING.	Management For	For
4.	TO APPROVE THE COMPENSATION OF BROADCOM'S NAMED EXECUTIVE OFFICERS, AS DISCLOSED IN "COMPENSATION DISCUSSION AND ANALYSIS" AND IN THE COMPENSATION TABLES	Management For	For

AND ACCOMPANYING NARRATIVE DISCLOSURE UNDER "EXECUTIVE COMPENSATION" IN BROADCOM'S PROXY STATEMENT RELATING TO ITS 2017 ANNUAL GENERAL MEETING.

TO RECOMMEND THAT A
NON-BINDING, ADVISORY VOTE TO
APPROVE THE COMPENSATION OF
THE BROADCOM'S NAMED
EXECUTIVE OFFICERS BE PUT TO

5. SHAREHOLDERS FOR THEIR
CONSIDERATION EVERY: ONE; TWO;
OR THREE YEARS, AS SET FORTH IN
BROADCOM'S NOTICE OF, AND
PROXY STATEMENT RELATING TO,
ITS 2017 ANNUAL GENERAL

MEETING.

Security

526057104

Management 3 Years Against

Meeting Type

Annual

Account Number	Account Name	Internal Custodiar Account	Ballot Shares	Unavaila Shares	able Vote Date	Date Confirmed
997GLO	GLO	STATE STREET 997GLO TRUST CO	5,500	0	22-Feb-2017	05-Apr-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL 45 TRUST CO	14,705	6,400	22-Feb-2017	05-Apr-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 TRUST CO	33,570	17,400	22-Feb-2017	05-Apr-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL 49 TRUST CO	30,570	43,700	22-Feb-2017	05-Apr-2017
LENNAR CO	RPORATION					

Ticker S	Symbol	LEN			Meeting I	Date	18-Apr-2017	
ISIN		US5260571048			Agenda		934533678 - N	Management
Record	Date	21-Feb-2017			Holding For Date	Recon	21-Feb-2017	
City /	Country	J /	nited tates		Vote Dead Date	dline	17-Apr-2017	
SEDOL	L(s)			Quick Code		de		
Item	Proposa	al		Proposed by	ote	For/Aga Manager		
1.	DIREC	TOR		Managemen	t			
	1	IRVING BOLOTIN			For	For		
	2	STEVEN L. GERARD			For	For		
	3	THERON I. "TIG" GILLI	IAM		For	For		
	4	SHERRILL W. HUDSON	1		For	For		
	5	SIDNEY LAPIDUS			For	For		
	6	TERI P. MCCLURE			For	For		
	7	STUART MILLER			For	For		
	8 .	ARMANDO OLIVERA			For	For		
	9	DONNA SHALALA			For	For		
	10 .	JEFFREY SONNENFELI	D		For	For		
2.	APPOI TOUCH INDEP ACCOU	ICATION OF THE NTMENT OF DELOITTE HE LLP AS LENNAR'S ENDENT REGISTERED UNTING FIRM FOR THE ENDING NOVEMBER 3	PUBLIC E FISCAL	Managemen	t For	For		
3.	BASIS,	OVAL, ON AN ADVISOR , OF THE COMPENSATI AR'S NAMED EXECUTI ERS.	ION OF	Managemen	t For	For		
4.	BASIS, STOCK COMP	OVAL, ON AN ADVISOR , OF THE FREQUENCY KHOLDER VOTE ON TH ENSATION OF LENNAR D EXECUTIVE OFFICE	OF THE IE R'S	Managemen	t 3 Years	Agai	nst	
5.	PROPO COMM	OVAL OF A STOCKHOL OSAL REGARDING OUF ION STOCK VOTING CTURE.		Shareholder	Against	For		
Accoun Number		Account Name	Internal Account	astodian Ballo Share	t U	Unavailable Shares	e ote Date	Date Confirmed

997GLV	GLV	STATE STREET 997GL W TRUST CO	5,500	0	08-Mar-2017	19-Apr-2017
997GLQ	GLQ	STATE STREET 997GLQ TRUST CO	8,700	0	08-Mar-2017	19-Apr-2017
997GLO	GLO	STATE STREET BANK 997GL TRUST CO	23,500	0	08-Mar-2017	19-Apr-2017
997AL45	GLV BNP PLEDGE	STATE STREET BANK 997AL 45 TRUST CO	8,700	30,900	08-Mar-2017	19-Apr-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 TRUST CO	11,700	60,500	08-Mar-2017	19-Apr-2017
997AL49	GLO BNP PLEDGE	STATE STREET BANK 997AL 49 TRUST CO	33,800	131,500	08-Mar-2017	19-Apr-2017
CITIGROUP IN	C.					
Security	172967424		Meetin	g Type	Annual	
Ticker Symbol	С		Meetin	_	25-Apr-2017	
ISIN	US1729674242		Agenda		934541904 -	Management
Record Date	27-Feb-2017		Holding Date	g Recon	27-Feb-2017	
City / Country	, l	United States	Vote D Date	eadline	24-Apr-2017	
SEDOL(s)			Quick (	Code		
Item Proposa	1		Vote			

		Proposed by	For/Against Management
1A.	ELECTION OF DIRECTOR: MICHAEL L. CORBAT	Management For	For
1B.	ELECTION OF DIRECTOR: ELLEN M. COSTELLO	Management For	For
1C.	ELECTION OF DIRECTOR: DUNCAN P. HENNES	Management For	For
1D.	ELECTION OF DIRECTOR: PETER B. HENRY	Management For	For
1E.	ELECTION OF DIRECTOR: FRANZ B. HUMER	Management For	For
1F.	ELECTION OF DIRECTOR: RENEE J. JAMES	Management For	For
1G.	ELECTION OF DIRECTOR: EUGENE M. MCQUADE	Management For	For
1H.	ELECTION OF DIRECTOR: MICHAEL E. O'NEILL	Management For	For
1I.	ELECTION OF DIRECTOR: GARY M. REINER	Management For	For
1J.	ELECTION OF DIRECTOR: ANTHONY M. SANTOMERO	Management For	For
1K.	ELECTION OF DIRECTOR: DIANA L. TAYLOR	Management For	For
1L.	ELECTION OF DIRECTOR: WILLIAM S. THOMPSON, JR.	Management For	For
1M.	ELECTION OF DIRECTOR: JAMES S. TURLEY	Management For	For
1N.	ELECTION OF DIRECTOR: DEBORAH C. WRIGHT	Management For	For
10.	ELECTION OF DIRECTOR: ERNESTO ZEDILLO PONCE DE LEON	Management For	For
2.	PROPOSAL TO RATIFY THE SELECTION OF KPMG LLP AS CITI'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017.	Management For	For
3.	ADVISORY VOTE TO APPROVE CITI'S 2016 EXECUTIVE COMPENSATION.	Management For	For
4.	ADVISORY VOTE TO APPROVE THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION.	Management 3 Years	Against
5.		Shareholder Against	For

	STOCKHOLDER PROPOSAL REQUESTING A REPORT ON THE COMPANY'S POLICIES AND GOALS TO REDUCE THE GENDER PAY GAP.			
6.	STOCKHOLDER PROPOSAL REQUESTING THAT THE BOARD APPOINT A STOCKHOLDER VALUE COMMITTEE TO ADDRESS WHETHER THE DIVESTITURE OF ALL NON-CORE BANKING BUSINESS SEGMENTS WOULD ENHANCE SHAREHOLDER VALUE.	Shareholder	Against	For
7.	STOCKHOLDER PROPOSAL REQUESTING A REPORT ON LOBBYING AND GRASSROOTS LOBBYING CONTRIBUTIONS.	Shareholder	Against	For
8.	STOCKHOLDER PROPOSAL REQUESTING AN AMENDMENT TO THE GENERAL CLAWBACK POLICY TO PROVIDE THAT A SUBSTANTIAL PORTION OF ANNUAL TOTAL COMPENSATION OF EXECUTIVE OFFICERS SHALL BE DEFERRED AND FORFEITED, IN PART OR WHOLE, AT THE DISCRETION OF THE BOARD, TO HELP SATISFY ANY MONETARY PENALTY ASSOCIATED WITH A VIOLATION OF LAW.	Shareholder	Against	For
9.	STOCKHOLDER PROPOSAL REQUESTING THAT THE BOARD ADOPT A POLICY PROHIBITING THE VESTING OF EQUITY-BASED AWARDS FOR SENIOR EXECUTIVES DUE TO A VOLUNTARY RESIGNATION TO ENTER GOVERNMENT SERVICE.	Shareholder	Against	For

Account Number	Account Name	Internal Custodia Account	Ballot Shares	Unavaila Shares	able Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET BANK 997GL TRUST CO	31,200	0	17-Mar-2017	26-Apr-2017
997GLO	GLO	997GI <b>SO</b> TATE STREET BANK	10,000	0	17-Mar-2017	26-Apr-2017

				: RUST O					
997AL	45	GLV BNP PLEDGE	S' S' 997AI T	TATE TREET ANK 5 RUST O	20,130	0	57,800	17-Mar-2017	26-Apr-2017
997AL	47	GLQ BNP PLEDGE	S' 997AI& T	TATE TREET ANK T RUST O	119		100,400	17-Mar-2017	26-Apr-2017
997AL	49	GLO BNP PLEDGE	S' 997AI& T	TATE TREET ANK P RUST O	527		238,900	17-Mar-2017	26-Apr-2017
997AL	53	GLO MS PLEDGE	S' 997AI& T	TATE TREET ANK 3 RUST O	70,100	0	0	17-Mar-2017	26-Apr-2017
BANK	OF AME	RICA CORPORATION		O					
Securit		060505104				Meeting	y Type	Annual	
	Symbol	BAC				Meeting		26-Apr-2017	
ISIN		US0605051046				Agenda		934543453 -	Management
Record	Date	02-Mar-2017				Holding Date	Recon	02-Mar-2017	,
City /	Country	' I	Jnited States			Vote De Date	eadline	25-Apr-2017	
SEDOI	$\mathcal{L}(s)$					Quick C	Code		
Item	Proposa	1		Propo by	sed Vo	te	For/A Mana	gainst gement	
1A.	ELECT	ION OF DIRECTOR: SI EN	HARON	Mana	gement	For	Fo	r	
1B.	ELECT: BIES	ION OF DIRECTOR: S	USAN S.	Mana	gement	For	Fo	r	
1C.		ION OF DIRECTOR: JA VDER, JR.	ACK O.	Mana	gement	For	Fo	r	
1D.				Mana	gement	For	Fo	r	

	ELECTION OF DIRECTOR: FRANK P. BRAMBLE, SR.		
1E.	ELECTION OF DIRECTOR: PIERRE J.P. DE WECK	Management For	For
1F.	ELECTION OF DIRECTOR: ARNOLD W. DONALD	Management For	For
1G.	ELECTION OF DIRECTOR: LINDA P. HUDSON	Management For	For
1H.	ELECTION OF DIRECTOR: MONICA C. LOZANO	Management For	For
1I.	ELECTION OF DIRECTOR: THOMAS J. MAY	Management For	For
1J.	ELECTION OF DIRECTOR: BRIAN T. MOYNIHAN	Management For	For
1K.	ELECTION OF DIRECTOR: LIONEL L. NOWELL, III	Management For	For
1L.	ELECTION OF DIRECTOR: MICHAEL D. WHITE	Management For	For
1M.	ELECTION OF DIRECTOR: THOMAS D. WOODS	Management For	For
1N.	ELECTION OF DIRECTOR: R. DAVID YOST	Management For	For
2.	APPROVING OUR EXECUTIVE COMPENSATION (AN ADVISORY, NON-BINDING "SAY ON PAY" RESOLUTION)	Management For	For
3.	A VOTE ON THE FREQUENCY OF FUTURE ADVISORY "SAY ON PAY" RESOLUTIONS (AN ADVISORY, NON-BINDING "SAY ON FREQUENCY" RESOLUTION)	Management 3 Years	Against
4.	RATIFYING THE APPOINTMENT OF OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017	Management For	For
5.	STOCKHOLDER PROPOSAL - CLAWBACK AMENDMENT	Shareholder Against	For
6.	STOCKHOLDER PROPOSAL - DIVESTITURE & DIVISION STUDY SESSIONS	Shareholder Against	For
7.	STOCKHOLDER PROPOSAL - INDEPENDENT BOARD CHAIRMAN	Shareholder Against	For
8.	STOCKHOLDER PROPOSAL - REPORT CONCERNING GENDER PAY EQUITY	Shareholder Against	For

Account Number	Account Name	Littodian	Ballot Shares	Unavaila Shares	able Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997GL W TRUST CO	24,500	0	16-Mar-2017	27-Apr-2017
997GLQ	GLQ	STATE STREET 997GLQ TRUST CO	38,400	0	16-Mar-2017	27-Apr-2017
997GLO	GLO	STATE STREET 997GLO TRUST CO	131,800	0	16-Mar-2017	27-Apr-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL 45 TRUST CO	191	148,400	16-Mar-2017	27-Apr-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 TRUST CO	105	261,400	16-Mar-2017	27-Apr-2017
997AL49	GLO BNP PLEDGE	STATE STREET BANK 997AL 49 TRUST CO	110	580,000	16-Mar-2017	27-Apr-2017
EOG RESOUR	RCES, INC.					
Security	26875P101		M	eeting Type	Annual	
Ticker Symbol	EOG		M	eeting Date	27-Apr-2017	
ISIN	US26875P1012			genda	934538476 -	Management
Record Date	27-Feb-2017		Ho Da	olding Recon	27-Feb-2017	
City / Count	ry /				26-Apr-2017	

United Vote Deadline States Date Quick Code SEDOL(s) Proposed Vote For/Against Item **Proposal** Management ELECTION OF DIRECTOR: JANET F. 1A. Management For For **CLARK ELECTION OF DIRECTOR: CHARLES** Management For 1B. For R. CRISP ELECTION OF DIRECTOR: ROBERT P. 1C. Management For For **DANIELS** ELECTION OF DIRECTOR: JAMES C. Management For 1D. For DAY ELECTION OF DIRECTOR: DONALD 1E. Management For For F. TEXTOR ELECTION OF DIRECTOR: WILLIAM 1F. Management For For R. THOMAS ELECTION OF DIRECTOR: FRANK G. 1G. Management For For **WISNER** TO RATIFY THE APPOINTMENT BY THE AUDIT COMMITTEE OF THE BOARD OF DIRECTORS OF DELOITTE & TOUCHE LLP, 2. For Management For INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM, AS AUDITORS FOR THE COMPANY FOR THE YEAR ENDING DECEMBER 31, 2017. TO APPROVE AN AMENDMENT OF THE COMPANY'S RESTATED CERTIFICATE OF INCORPORATION 3. TO INCREASE THE NUMBER OF Management For For **AUTHORIZED SHARES OF COMMON** STOCK FROM 640 MILLION TO 1.28 BILLION. TO APPROVE, BY NON-BINDING VOTE, THE COMPENSATION OF THE Management For 4. For COMPANY'S NAMED EXECUTIVE OFFICERS. TO RECOMMEND, BY NON-BINDING VOTE, THE FREQUENCY OF HOLDING ADVISORY VOTES ON THE 5. Management 3 Years Against

COMPENSATION OF THE

OFFICERS.

COMPANY'S NAMED EXECUTIVE

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Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavaila Shares	able Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997GL TRUST CO	5,700	0	18-Mar-2017	28-Apr-2017
997GLQ	GLQ	STATE STREET 997GLQ TRUST CO	7,100	0	18-Mar-2017	28-Apr-2017
997GLO	GLO	STATE STREET BANK 997GL TRUST CO	17,800	) 0	18-Mar-2017	28-Apr-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL 45 TRUST CO	600	23,500	18-Mar-2017	28-Apr-2017
997AL47	GLQ BNP PLEDGE	STATE STREET BANK 997AL 47 TRUST CO	1,900	29,900	18-Mar-2017	28-Apr-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL 49 TRUST CO	500	79,500	18-Mar-2017	28-Apr-2017
PFIZER INC.						
Security	717081103			Meeting Type	Annual	
Ticker Symbol	PFE			Meeting Date	27-Apr-2017	
ISIN	US7170811035			Agenda	934540798 -	Management
Record Date	28-Feb-2017			Holding Recon Date	28-Feb-2017	
City / Count	rv /	nited tates		Vote Deadline Date	26-Apr-2017	

SEDOL(s) Quick Code

Item	Proposal	Proposed by Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: DENNIS A. AUSIELLO	Management For	For
1B.	ELECTION OF DIRECTOR: RONALD E. BLAYLOCK	Management For	For
1C.	ELECTION OF DIRECTOR: W. DON CORNWELL	Management For	For
1D.	ELECTION OF DIRECTOR: JOSEPH J. ECHEVARRIA	Management For	For
1E.	ELECTION OF DIRECTOR: FRANCES D. FERGUSSON	Management For	For
1F.	ELECTION OF DIRECTOR: HELEN H. HOBBS	Management For	For
1G.	ELECTION OF DIRECTOR: JAMES M. KILTS	Management For	For
1H.	ELECTION OF DIRECTOR: SHANTANU NARAYEN	Management For	For
1I.	ELECTION OF DIRECTOR: SUZANNE NORA JOHNSON	Management For	For
1J.	ELECTION OF DIRECTOR: IAN C. READ	Management For	For
1K.	ELECTION OF DIRECTOR: STEPHEN W. SANGER	Management For	For
1L.	ELECTION OF DIRECTOR: JAMES C. SMITH	Management For	For
2.	RATIFY THE SELECTION OF KPMG LLP AS INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017	Management For	For
3.	ADVISORY APPROVAL OF EXECUTIVE COMPENSATION	Management For	For
4.	ADVISORY VOTE ON THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION	Management 3 Years	Against
5.	SHAREHOLDER PROPOSAL REGARDING THE HOLY LAND PRINCIPLES	Shareholder Against	For
6.	SHAREHOLDER PROPOSAL REGARDING SPECIAL SHAREOWNER MEETINGS	Shareholder Against	For
7.		Shareholder Against	For

#### SHAREHOLDER PROPOSAL REGARDING INDEPENDENT CHAIR POLICY

Account Number	Account Name	Internal Custodiai Account	Ballot Share		Unavaila Shares	ble Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997GL TRUST CO	17,80	00	0	17-Mar-2017	28-Apr-2017
997AL45	GLV BNP PLEDGE	STATE STREET BANK 997AL 5 TRUST CO	100		43,500	17-Mar-2017	28-Apr-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 TRUST CO	100		81,500	17-Mar-2017	28-Apr-2017
997AL49	GLO BNP PLEDGE	STATE STREET BANK 997AL 49 TRUST CO	100		157,100	17-Mar-2017	28-Apr-2017
997AL60	GLV MS PLEDGE	STATE STREET BANK 997AL TRUST CO	12,00	0	0	17-Mar-2017	28-Apr-2017
AKORN, INC.							
Security Tisland Samula 1	009728106			Meeting	• •	Annual	
Ticker Symbol ISIN	AKRX US0097281069			Meeting Agenda		27-Apr-2017 934560308 -	Management
Record Date	13-Mar-2017			Holding Date		13-Mar-2017	wanagement
City / Count	ry /	United States		Vote De Date	adline	26-Apr-2017	
SEDOL(s)				Quick C	Code		

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Item	Propo	osal	Proposed by Vote	For/Against Management
1.	DIRE	CTOR	Management	
	1	JOHN KAPOOR, PHD	For	For
	2	KENNETH ABRAMOWITZ	For	For
	3	ADRIENNE GRAVES, PHD	For	For
	4	RONALD JOHNSON	For	For
	5	STEVEN MEYER	For	For
	6	TERRY ALLISON RAPPUHN	For	For
	7	BRIAN TAMBI	For	For
	8	ALAN WEINSTEIN	For	For
2.	APPO THE REGI FIRM	POSAL TO RATIFY THE DINTMENT OF BDO USA, LLP AS COMPANY'S INDEPENDENT STERED PUBLIC ACCOUNTING I FOR THE YEAR ENDING EMBER 31, 2017.	Management For	For
3.	OMN	POSAL TO APPROVE THE 2017 IBUS INCENTIVE PENSATION PLAN.	Management For	For
4.	A NOTHE I	POSAL TO APPROVE, THROUGH IN- BINDING ADVISORY VOTE, FREQUENCY OF FUTURE -BINDING ADVISORY VOTES ON COMPANY'S EXECUTIVE PENSATION PROGRAMS.	Management 3 Years	Against
5.	A NO THE COM DESC	POSAL TO APPROVE, THROUGH ON- BINDING ADVISORY VOTE, COMPANY'S EXECUTIVE PENSATION PROGRAM AS CRIBED IN THE COMPANY'S 2017 KY STATEMENT.	Management For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavail Shares	able Vote Date	Date Confirmed
		STATE STREET				
997GLQ	GLQ	997GLQ TRUST	39,000	0	28-Mar-2017	28-Apr-2017
		CO				
997GLO	GLO	997G <b>ISO</b> TATE STREET BANK	96,700	0	28-Mar-2017	28-Apr-2017

			,	& TRUST CO STATE				
997AL	47	GLQ BNP PLEDG	E 997AI	STREET BANK & TRUST CO	38,600	0	28-Mar-2017	28-Apr-2017
997AL	49	GLO BNP PLEDG	E 997AI	STATE STREET BANK  TRUST CO	54,500	0	28-Mar-2017	28-Apr-2017
997AL:	53	GLO MS PLEDGE	E 997AI	STATE STREET	20,700	0	28-Mar-2017	28-Apr-2017
BRIST	OL-MYE	RS SQUIBB COMP.	ANY					
Security	y	110122108			Mee	eting Type	Annual	
Ticker	Symbol	BMY			Mee	eting Date	02-May-201	7
ISIN		US1101221083			Age	enda	934547538 -	Management
Record	Date	14-Mar-2017			Hole Date	ding Recon	14-Mar-2017	7
City /	Country		/ United States		Vote Date	e Deadline e	01-May-201	7
SEDOI	رs)				Qui	ck Code		
Item	Proposa	1		Propos by	sed Vote		gainst gement	
1A.	ELECT: ARDUI	ION OF DIRECTOR NI	k: P. J.	Manaş	gement For	Fo	or	
1B.	ELECT: BERTO	ION OF DIRECTOR LINI	2: R. J.	Manag	gement For	Fo	or	
1C.		ION OF DIRECTOR IO, M.D.	k: G.	Manag	gement For	Fo	or	
1D.	ELECT: EMME	ION OF DIRECTOR NS	2: M. W.	Manag	gement For	Fo	or	
1E.		ION OF DIRECTOR HER, M.D.	k: L. H.	Manag	gement For	Fo	or	
1F.	ELECT	ION OF DIRECTOR TEIN	2: M.	Manag	gement For	Fo	or	

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1G.	ELECTION OF DIRECTOR: A. J. LACY	Management For	For
1H.	ELECTION OF DIRECTOR: D. C. PALIWAL	Management For	For
1I.	ELECTION OF DIRECTOR: T. R. SAMUELS	Management For	For
1J.	ELECTION OF DIRECTOR: G. L. STORCH	Management For	For
1K.	ELECTION OF DIRECTOR: V. L. SATO, PH.D.	Management For	For
2.	ADVISORY VOTE TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management For	For
3.	ADVISORY VOTE ON THE FREQUENCY OF THE ADVISORY VOTE TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management 3 Years	Against
4.	RE-APPROVAL OF THE MATERIALS TERMS OF THE PERFORMANCE-BASED AWARDS UNDER THE COMPANY'S 2012 STOCK AWARD AND INCENTIVE PLAN (AS AMENDED).	Management For	For
5.	APPROVAL OF AN AMENDMENT TO THE COMPANY'S 2012 STOCK AWARD AND INCENTIVE PLAN.	Management For	For
6.	RATIFICATION OF THE APPOINTMENT OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.	Management For	For
7.	SHAREHOLDER PROPOSAL TO LOWER THE SHARE OWNERSHIP THRESHOLD TO CALL SPECIAL SHAREHOLDER MEETINGS.	Shareholder Against	For

Account Number	Account Name	Internal Custodian Ballot Account Shares	Unavailable Shares	Date Confirmed
997GLV	GLV	STATE STREET 997GL 9,200 TRUST CO	0 24-Mar-2017	03-May-2017
997GLQ	GLQ	997GISQTATE 24,200 STREET	0 24-Mar-2017	03-May-2017

		BANK & TRUST CO				
997GLO	GLO	STATE STREET 997GL TRUST CO	49,700	0	24-Mar-2017	03-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET BANK 997AL 45 TRUST CO	187	23,100	24-Mar-2017	03-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL 47 TRUST CO	46,616	40,000	24-Mar-2017	03-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET BANK 997AL 49 TRUST CO	39,400	0	24-Mar-2017	03-May-2017
997AL53	GLO MS PLEDGE	STATE STREET BANK 997AL 33 TRUST CO	29,000	0	24-Mar-2017	03-May-2017
997AL60	GLV MS PLEDGE	STATE STREET BANK 997AL 60 TRUST CO	900	0	24-Mar-2017	03-May-2017
MTGE INVEST	MENT CORP.					
Security	55378A105		Me	eeting Type	Annual	
Ticker Symbol	MTGE		Me	eeting Date	02-May-2017	7
ISIN	US55378A1051		Ag	genda	934555472 -	Management
Record Date	08-Mar-2017		Ho Da	olding Recon	08-Mar-2017	,

City /	Country /	United States		Vote Deadl Date	ine	01-May-2017
SEDOL	(s)			Quick Code	e	
Item	Proposal		Proposed by	ote	For/Ag Manage	
1A.	ELECTION OF DIRECTOR: O	GARY D.	Managemen	t For	For	
1B.	ELECTION OF DIRECTOR: S W. ABRAHAMS	STEVEN	Managemen	t For	For	
1C.	ELECTION OF DIRECTOR: I CORONADO	IULIA L.	Managemen	t For	For	
1D.	ELECTION OF DIRECTOR: I M. COUCH	ROBERT	Managemen	t For	For	
1E.	ELECTION OF DIRECTOR: I E.DOBBS	RANDY	Managemen	For	For	
2.	ADVISORY VOTE TO APPR COMPENSATION OF OUR N EXECUTIVE OFFICERS.		Managemen	t For	For	
3.	ADVISORY VOTE TO SELE FREQUENCY OF FUTURE A VOTES ON THE COMPENSA OUR NAMED EXECUTIVE O	ADVISORY ATION OF	Managemen	t 3 Years	Aga	inst
4.	RATIFICATION OF APPOIN ERNST & YOUNG LLP AS C INDEPENDENT PUBLIC ACCOUNTANT FOR THE YE ENDING DECEMBER 31, 20	OUR EAR	Managemen	t For	For	

Account Number	Account Name	( 'iistodian	allot hares	Unavaila Shares	ble Vote Date	Date Confirmed
997GLV	GLV	STATE STREET  997GL A TRUST CO	5,100	0	24-Mar-2017	03-May-2017
997GLQ	GLQ	STATE STREET 997GLQ 99 TRUST CO	9,700	0	24-Mar-2017	03-May-2017
997GLO	GLO	997GI <b>SO</b> TATE 69 STREET BANK	9,061	0	24-Mar-2017	03-May-2017

		_agar rgr or	ougn chood	2	0110 0				, ,	
			& Tl C	RUST						
997AL	45	GLV BNP PLEDGE		ΓΑΤΕ ΓREET ANK	11,40	00	27,000	24-Mar-	2017	03-May-2017
			TI Ce	RUST						
997AL4	47	GLQ BNP PLEDGE	S7 S7 997AL 4	ΓΑΤΕ ΓREET	3,700	)	57,700	24-Mar-	2017	03-May-2017
			C	O						
	E ONE, IN									
Security		12685J105				Meeting		Annua		_
Ticker	Symbol	CABO				Meeting			ay-2017	
ISIN		US12685J1051				Agenda		93457	1084 -	Management
Record	Date	10-Mar-2017				Holding Date	Recon	10-Ma	ar-2017	
City /	Country	1	United States			Vote De Date	adline	01-Ma	ay-2017	7
SEDOI	L(s)					Quick C	Code			
Item	Proposa	1		Propo by	sed V	ote		Against Agement		
1A.	ELECT	ION OF DIRECTOR:	ALAN G.	·	gemen	t For	Fo	_		
1B.		ION OF DIRECTOR:	WALLACE	Mana	gemen	t For	Fo	or		
		ΓIFY THE APPOINTN	MENT OF							
2.	PRICEV AS THE PUBLIC COMPA	VATERHOUSECOOF E INDEPENDENT RE C ACCOUNTING FIR ANY FOR THE FISCA G DECEMBER 31, 20	ERS LLP GISTERED M OF THE L YEAR	Mana	gemen	t For	Fo	or		
3.	OF OUI	PROVE THE COMPE R NAMED EXECUTI ERS FOR 2016 ON AN ORY BASIS	VE	Mana	gemen	t For	Fo	or		
4.	FUTUR EXECU	ECT THE FREQUEN E ADVISORY VOTE TIVE COMPENSATI ORY BASIS	S ON	Mana	gemen	t 3 Years	A	gainst		
5.				Mana	gemen	t For	Fo	or		

TO APPROVE THE AMENDED AND RESTATED CABLE ONE, INC. 2015 OMNIBUS INCENTIVE COMPENSATION PLAN

Account Number	Account Name	Internal Custodia Account	an Ballot Shares	Unavaila Shares	able Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET 997GL TRUST CO	Γ 1,700	0	04-Apr-2017	03-May-2017
997GLO	GLO	STATE STREET BANK 997GL TRUST CO	Γ 4,508	0	04-Apr-2017	03-May-2017
997AL60	GLV MS PLEDGE	STATE STREET BANK 997AL TRUST CO	Γ 1,100	0	04-Apr-2017	03-May-2017
PULTEGROU	JP, INC.					
Security	745867101		Meetin	ng Type	Annual	
Ticker Symbo	l PHM		Meetin	ng Date	03-May-201	7
ISIN	US7458671010		Agend	la	934549758 -	Management
Record Date	10-Mar-2017		Holdir Date	ng Recon	10-Mar-2017	7
City / Coun	trv /	Inited tates	Vote I Date	Deadline	02-May-201	7
SEDOL(s)			Quick	Code		
Item Propo	osal	Prop by	osed Vote		against agement	
ΙΔ	CTION OF DIRECTOR: BE ERSON	RIAN P. Mana	agement For	Fo	or	
1B. ELEG BLA	CTION OF DIRECTOR: BE	RYCE Mana	agement For	Fo	or	
111	CTION OF DIRECTOR: RI REILING	CHARD Mana	agement For	Fo	or	
11)	CTION OF DIRECTOR: TH	HOMAS J. Mana	agement For	Fo	or	

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1E.	ELECTION OF DIRECTOR: JOSHUA GOTBAUM	Management For	For
1F.	ELECTION OF DIRECTOR: CHERYL W. GRISE	Management For	For
1G.	ELECTION OF DIRECTOR: ANDRE J. HAWAUX	Management For	For
1H.	ELECTION OF DIRECTOR: RYAN R. MARSHALL	Management For	For
1I.	ELECTION OF DIRECTOR: PATRICK J. O'LEARY	Management For	For
1J.	ELECTION OF DIRECTOR: JOHN R. PESHKIN	Management For	For
1K.	ELECTION OF DIRECTOR: SCOTT F. POWERS	Management For	For
1L.	ELECTION OF DIRECTOR: WILLIAM J. PULTE	Management For	For
2.	THE RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.	Management For	For
3.	AN ADVISORY VOTE TO APPROVE EXECUTIVE COMPENSATION.	Management For	For
4.	AN ADVISORY VOTE TO APPROVE THE FREQUENCY OF THE ADVISORY VOTE REGARDING EXECUTIVE COMPENSATION.	Management 3 Years	Against

Account Number	Account Name	Internal Ballot Custodian Shares	Unavailable Shares Vote Date	Date Confirmed
997GLV	GLV	STATE STREET  997GL 16,700  TRUST CO	0 29-Mar-2017	04-May-2017
997GLQ	GLQ	STATE STREET  997GL 31,500  TRUST CO	0 29-Mar-2017	04-May-2017
997GLO	GLO	997GISTATE 80,000 STREET BANK	0 29-Mar-2017	04-May-2017

				&					
				TRUST					
				CO					
				STATE STREET					
00741	45	CLV DND DLEDGE	007.4		100		50.200	20 Mar 2017	04 M 2017
997AL	45	GLV BNP PLEDGE	99/A	BANK L45	100		50,200	29-Mar-2017	04-May-2017
				TRUST CO					
				STATE					
				STREET					
997AL	47	GLQ BNP PLEDGE	997A	BANK L47 &	100		120,300	29-Mar-2017	04-May-2017
				TRUST					
				CO					
				STATE STREET					
997AL	49	GLO BNP PLEDGE	997A	BANK L49	100		253 500	29-Mar-2017	04-May-2017
<i>,,,,,</i>	.,	CEO DI II TEED CE	,,,,,,	TRUST	100		200,000	2) IIII 2017	0111aj 2017
				CO					
				STATE					
				STREET BANK					
997AL	50	GLV MS PLEDGE	997A	BANK L60	15,00	00	0	29-Mar-2017	04-May-2017
				TRUST CO					
MEDE	QUITIE	S RLTY TR INC							
Security	y	58409L306				Meeting	g Type	Annual	
Ticker	Symbol	MRT				Meeting	g Date	03-May-2017	7
ISIN		US58409L3069				Agenda	ı	934553238 -	Management
Record	Date	22-Feb-2017				Holding Date	g Recon	22-Feb-2017	
City /	Countr	·v	Inited tates			Vote De Date	eadline	02-May-2017	7
SEDOL	L(s)					Quick C	Code		
Item	Propos	al		Propos by	sed V	ote		gainst gement	
1.	DIREC	CTOR		Manag	gemen	t			
	1	RANDALL L. CHURCH	EY			For	Fo	r	
	2	JOHN N. FOY				For	Fo	r	
	3	STEVEN I. GERINGER				For	Fo	r	
	4	STEPHEN L. GUILLAR	D			For	Fo	r	
	5	WILLIAM C. HARLAN				For	Fo	r	

	6	ELLIOTT MANDELBAUM	For	For
	7	JOHN W. MCROBERTS	For	For
	8	STUART C. MCWHORTER	For	For
	9	JAMES B. PIERI	For	For
2.	KPM INDE ACC	ATIFY THE APPOINTMENT OF G LLP AS THE COMPANY'S EPENDENT REGISTERED PUBLIC OUNTING FIRM FOR THE FISCAL R ENDING DECEMBER 31, 2017.	•	For
3.	AME	PPROVE THE COMPANY'S NDED AND RESTATED 2014 ITY INCENTIVE PLAN.	Management For	For

Account Number	Account Name	Internal Ballot Account Shares	Unavaila Shares	able Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997GL 51,200 TRUST CO	0	23-Mar-2017	04-May-2017
997GLO	GLO	STATE STREET  997GLO 150,000  TRUST CO	0	23-Mar-2017	04-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL 100 TRUST CO	69,900	23-Mar-2017	04-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET  997AL 49 200,000  TRUST CO	0	23-Mar-2017	04-May-2017
997AL53	GLO MS PLEDGE	STATE STREET 997AL 33 138,933 TRUST CO	0	23-Mar-2017	04-May-2017

DIALOG SEMICONDUCTOR PLC, LONDON

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Security	G5821P111		Meeting Type	Annual General Meeting
Ticker Symbol			Meeting Date	04-May-2017
ISIN	GB0059822006		Agenda	707978675 - Management
Record Date			Holding Recon Date	02-May-2017
City / Country	LONDON /	United Kingdom	Vote Deadline Date	18-Apr-2017
SEDOL(s)	5982200 - 5982590 - B28ZZL6 - B2QFBR BHZLDS5		Quick Code	

Item	Proposal	Proposed by Vote	For/Against Management
1	ACCEPT FINANCIAL STATEMENTS AND STATUTORY REPORTS	Management For	For
2	APPROVE REMUNERATION REPORT	Management For	For
3	REAPPOINT DELOITTE LLP AS AUDITORS	Management For	For
4	AUTHORISE BOARD TO FIX REMUNERATION OF AUDITORS	Management For	For
5	RE-ELECT EAMONN O'HARE AS DIRECTOR	Management For	For
6	RE-ELECT CHRISTOPHER BURKE AS DIRECTOR	Management For	For
7	RE-ELECT AIDAN HUGHES AS DIRECTOR	Management For	For
8	ELECT MARY CHAN AS DIRECTOR	Management For	For
9	ELECT NICHOLAS JEFFERY AS DIRECTOR	Management For	For
10	AUTHORISE ISSUE OF EQUITY WITH PRE-EMPTIVE RIGHTS	Management For	For
11	AUTHORISE ISSUE OF EQUITY WITH PRE-EMPTIVE RIGHTS IN CONNECTION WITH A RIGHTS ISSUE	Management For	For
12	AUTHORISE ISSUE OF EQUITY WITHOUT PRE- EMPTIVE RIGHTS	Management For	For
13	AUTHORISE ISSUE OF EQUITY WITHOUT PRE- EMPTIVE RIGHTS IN CONNECTION WITH AN ACQUISITION OR OTHER CAPITAL INVESTMENT	Management For	For
14	AUTHORISE THE COMPANY TO ENTER INTO A CONTINGENT	Management For	For

	FORWARD SHARE PURCHASE CONTRACT WITH BARCLAYS BANK PLC		
15	AUTHORISE THE COMPANY TO ENTER INTO A CONTINGENT FORWARD SHARE PURCHASE CONTRACT WITH GOLDMAN SACHS INTERNATIONAL	Management For	For
16	AUTHORISE THE COMPANY TO ENTER INTO A CONTINGENT FORWARD SHARE PURCHASE CONTRACT WITH HSBC BANK PLC	Management For	For
17	AUTHORISE THE COMPANY TO ENTER INTO A CONTINGENT FORWARD SHARE PURCHASE CONTRACT WITH MERRILL LYNCH INTERNATIONAL	Management For	For
18	AUTHORISE THE COMPANY TO CALL GENERAL MEETING WITH TWO WEEKS' NOTICE	Management For	For

Account Number	Account Name	Internal Accoun	Eustodian	Ballot Shares		Unavaila Shares	ble Vote Date	Date Confirmed
GLQ	GLQ	S	TATE TREET SANK	62,318		0	14-Apr-2017	21-Apr-2017
GLO	GLO	S	TATE TREET SANK	154,934	1	0	14-Apr-2017	21-Apr-2017
STARWOOD	PROPERTY TRUST INC							
Security	85571B105			N	<b>leeting</b>	Type	Annual	
Ticker Symbol	STWD			N	<b>leeting</b>	Date	04-May-2017	
ISIN	US85571B1052			A	genda		934571844 - 1	Management
Record Date	17-Mar-2017				Iolding Oate	Recon	17-Mar-2017	
City / Coun	rv /	Inited tates			ote Dea	adline	03-May-2017	
SEDOL(s)				Ç	Quick C	ode		
Item Propo	sal		Propos by	sed Vote	e	For/A Manag	gainst gement	
1. DIRE	CTOR		Manag	ement				
1	RICHARD D. BRONSON	N		F	or	Fo	r	
2	JEFFREY G. DISHNER			F	or	Fo	r	

	3 CAMILLE J. DOUGLAS	For	For
	4 SOLOMON J. KUMIN	For	For
	5 BARRY S. STERNLICHT	For	For
	6 STRAUSS ZELNICK	For	For
2.	TO APPROVE, ON AN ADVISORY BASIS, THE COMPANY'S EXECUTIVE COMPENSATION AS DISCLOSED IN THE ACCOMPANYING PROXY STATEMENT.	Management For	For
3.	TO APPROVE, ON AN ADVISORY BASIS, THE FREQUENCY OF THE ADVISORY VOTE ON THE COMPANY'S EXECUTIVE COMPENSATION.	Management 3 Years	Against
4.	TO APPROVE THE STARWOOD PROPERTY TRUST, INC. 2017 MANAGER EQUITY PLAN, WHICH SUCCEEDS AND REPLACES THE STARWOOD PROPERTY TRUST, INC. MANAGER EQUITY PLAN, AS AMENDED, AS DISCLOSED IN THE ACCOMPANYING PROXY STATEMENT.	Management For	For
5.	TO APPROVE THE STARWOOD PROPERTY TRUST, INC. 2017 EQUITY PLAN, WHICH SUCCEEDS AND REPLACES THE STARWOOD PROPERTY TRUST, INC. EQUITY PLAN, AS AMENDED, AND THE STARWOOD PROPERTY TRUST, INC. NON- EXECUTIVE DIRECTOR STOCK PLAN, AS AMENDED, AS DISCLOSED IN THE ACCOMPANYING PROXY STATEMENT.	Management For	For
6.	TO RATIFY THE AUDIT COMMITTEE'S APPOINTMENT OF DELOITTE & TOUCHE LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE CALENDAR YEAR ENDING DECEMBER 31, 2017.	Management For	For

Account Number	Account Name	Internal Custodian Ballot Account Shares	Unavailable Shares Vote Date	Date Confirmed
997GLV	GLV	997GISTATE 15,000	0 04-Apr-2017	05-May-2017
		STREET		
		BANK		

		& TRUST CO				
997GLQ	GLQ	STATE STREET 997GL TRUST CO	79,500	0	04-Apr-2017	05-May-2017
997GLO	GLO	STATE STREET 997GL TRUST CO	90,586	0	04-Apr-2017	05-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET BANK 997AL 45 TRUST CO	100	100,600	04-Apr-2017	05-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET BANK 997AL 47 TRUST CO	100	205,800	04-Apr-2017	05-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL 49 TRUST CO	100	499,900	04-Apr-2017	05-May-2017
997AL53	GLO MS PLEDGE	STATE STREET STREET 997AL 33 TRUST CO	70,000	0	04-Apr-2017	05-May-2017
997AL60	GLV MS PLEDGE	STATE STREET STREET 997AL 60 TRUST CO	45,000	0	04-Apr-2017	05-May-2017
997AL61	GLQ MS PLEDGE	997AISTIATE STREET BANK	15,000	0	04-Apr-2017	05-May-2017

& TRUST CO

ROSTON	<b>SCIENTIFIC</b>	CORPORA	MOIT
DOSION	SCILITIE	COMON	111011

Security	у	101137107			Meeting Ty	pe	Annual
Ticker S	Symbol	BSX			Meeting Da	te	09-May-2017
ISIN		US1011371077			Agenda		934558543 - Management
Record	Date	15-Mar-2017			Holding Red Date	con	15-Mar-2017
City /	Country		/ United States		Vote Deadli Date	ne	08-May-2017
SEDOL	L(s)				Quick Code		
Item	Proposa	1		Proposed by	ate.	For/Aga Manage	
1A.	ELECT	ION OF DIRECTO DRS	R: NELDA J.	Managemen	t For	For	
1B.		ION OF DIRECTO KENDORFF	R: CHARLES	Managemen	t For	For	
1C.	ELECT: FUJIMO	ION OF DIRECTO DRI	R: YOSHIAKI	Managemen	t For	For	
1D.	ELECT: JAMES	ION OF DIRECTO	R: DONNA A.	Managemen	t For	For	
1E.	ELECT: J. LUD	ION OF DIRECTO WIG	R: EDWARD	Managemen	t For	For	
1F.		ION OF DIRECTO MILLAN	R: STEPHEN	Managemen	t For	For	
1G.	ELECT F. MAH	ION OF DIRECTO IONEY	R: MICHAEL	Managemen	t For	For	
1H.	ELECT: ROUX	ION OF DIRECTO	R: DAVID J.	Managemen	t For	For	
1I.	ELECT: SUNUN	ION OF DIRECTO IU	R: JOHN E.	Managemen	t For	For	
1J.	ELECT: ZANE	ION OF DIRECTO	R: ELLEN M.	Managemen	t For	For	
2.	ADVISO EXECU	PROVE, ON A NON ORY BASIS, NAM TIVE OFFICER ENSATION.		Managemen	t For	For	
3.	ADVISO OF FUT OFFICE	PROVE, ON A NON ORY BASIS, THE I TURE NAMED EXI ER COMPENSATIO ORY VOTES.	FREQUENCY ECUTIVE	Managemen	t 3 Years	Aga	inst

TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR

4. INDEPENDENT REGISTERED PUBLIC Management For ACCOUNTING FIRM FOR THE 2017 FISCAL YEAR.

Account Number	4	Account Name	Internal Accoun	ustogiar	Ballo Share	t es	Unavaila Shares	able Vote Date	Date Confirmed
997GLQ	)	GLQ	S' S' 997GL & T	TATE TREET	52,70		0	29-Mar-2017	10-May-2017
997GLC	)	GLO	S' 997GL <mark>8</mark> T	TATE TREET ANK CRUST	99,90	00	0	29-Mar-2017	10-May-2017
997AL4	7	GLQ BNP PLEDGE	997AI <mark>&amp;</mark> T	TATE TREET ANK TRUST	100		74,700	29-Mar-2017	10-May-2017
997AL5	73	GLO MS PLEDGE	S' 997AI <mark>&amp;</mark> T	TATE TREET ANK CO	30,00	00	0	29-Mar-2017	10-May-2017
GILEAI	D SCIEN	ICES, INC.							
Security	,	375558103				Meeting	g Type	Annual	
Ticker S	Symbol	GILD				Meeting	g Date	10-May-2017	,
ISIN		US3755581036				Agenda		934558810 -	Management
Record l	Date	16-Mar-2017				Holding Date	Recon	16-Mar-2017	
City /	Country	1	Inited tates			Vote De Date	eadline	09-May-2017	,
SEDOL	(s)					Quick C	Code		
Item	Proposa	1		Propos by	sed Vo	ote		gainst gement	
1A.		ION OF DIRECTOR: JC N, PH.D.	OHN F.	Manag	gemen	t For	Fo	or	

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1B.	ELECTION OF DIRECTOR: KELLY A. KRAMER	Management For	For
1C.	ELECTION OF DIRECTOR: KEVIN E. LOFTON	Management For	For
1D.	ELECTION OF DIRECTOR: JOHN C. MARTIN, PH.D.	Management For	For
1E.	ELECTION OF DIRECTOR: JOHN F. MILLIGAN, PH.D.	Management For	For
1F.	ELECTION OF DIRECTOR: NICHOLAS G. MOORE	Management For	For
1G.	ELECTION OF DIRECTOR: RICHARD J. WHITLEY, M.D	Management For	For
1H.	ELECTION OF DIRECTOR: GAYLE E. WILSON	Management For	For
1I.	ELECTION OF DIRECTOR: PER WOLD-OLSEN	Management For	For
2.	RATIFICATION OF THE SELECTION OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.	Management For	For
3.	RESTATEMENT OF THE GILEAD SCIENCES, INC. 2004 EQUITY INCENTIVE PLAN.	Management For	For
4.	ADVISORY VOTE TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management For	For
5.	ADVISORY VOTE AS TO THE FREQUENCY OF FUTURE ADVISORY SHAREHOLDER VOTES ON EXECUTIVE COMPENSATION.	Management 3 Years	Against
6.	STOCKHOLDER PROPOSAL REQUESTING THAT THE BOARD TAKE STEPS TO PERMIT STOCKHOLDER ACTION BY WRITTEN CONSENT.	Shareholder Against	For
7.	STOCKHOLDER PROPOSAL REQUESTING THAT THE BOARD ADOPT A POLICY THAT THE CHAIRMAN OF THE BOARD OF DIRECTORS BE AN INDEPENDENT DIRECTOR.	Shareholder Against	For

Account Number	Account Name	Internal Custodian Ballot Account Shares	Unavailable Shares Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AIS45ATE 3,600 STREET	6,200 29-Mar-2017	11-May-2017

			&	RUST						
997AL	.47	GLQ BNP PLEDGE	S' E 997AI <mark>&amp;</mark>	RUST	1,300	)	19,900	29-Mar	·-2017	11-May-2017
997AL	49	GLO BNP PLEDGE	S' E 997AI	TATE TREET ANK P RUST O	4,000	)	35,200	29-Mar	·-2017	11-May-2017
997AL	.61	GLQ MS PLEDGE	S' 997AL	RUST	3,700	)	0	29-Mar	·-2017	11-May-2017
SERVI	ICE CORI	PORATION INTERN								
Securit	ty	817565104				Meeting	g Type	Annu	ıal	
Ticker	Symbol	SCI				Meeting	g Date	10-M	[ay-201]	7
ISIN		US8175651046				Agenda		9345	64356 -	Management
Record	l Date	13-Mar-2017				Holding Date	Recon	13-M	[ar-2017	7
City /	Country	,	United States			Vote De Date	eadline	09-M	[ay-201]	7
SEDO	L(s)					Quick C	Code			
Item	Proposa	1		Propo by	sed V	ote		gainst gement		
1.	DIREC'	ΓOR		Mana	gemen	t				
	1 7	ΓHOMAS L. RYAN				For	Fo	or		
	2 (	CLIFTON H. MORRI	S, JR.			For	Fo	r		
	3	W. BLAIR WALTRIF	•			For	Fo	or		
2.	PRICEN AS THI REGIST	PROVE THE SELECT WATERHOUSECOO E COMPANY'S INDE FERED PUBLIC ACC FOR FISCAL 2017.	PERS LLP EPENDENT	Mana	gemen	t For	Fo	or		
3.		PROVE, BY ADVISC DEXECUTIVE OFFI		Manag	gemen	t For	Fo	or		

COMPENSATION.
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4.	TO APPROVE HOLDING AN ADVISORY VOTE ON NAMED EXECUTIVE OFFICER COMPENSATION EVERY ONE, TWO OR THREE YEARS, AS INDICATED.	Management 3 Years	Against
5.	TO APPROVE THE AMENDED AND RESTATED 2016 EQUITY INCENTIVE PLAN.	Management For	For
6.	TO APPROVE THE SHAREHOLDER PROPOSAL TO REQUIRE INDEPENDENT BOARD CHAIRMAN.	Shareholder Against	For
7.	TO APPROVE THE SHAREHOLDER PROPOSAL TO ADOPT SIMPLE MAJORITY VOTE.	Shareholder Against	For

Account Number	Account Name	Internal Custodiar Account	Ballot Shares	Unavail Shares	able Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	STATE STREET BANK 997AL 45 TRUST CO	900	28,200	31-Mar-2017	11-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AI AANK TRUST CO	5,400	35,500	31-Mar-2017	11-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET BANK 997AI 49 TRUST CO	1,300	70,900	31-Mar-2017	11-May-2017

#### ALEXION PHARMACEUTICALS, INC.

Security	015351109		Meeting Type	Annual
Ticker Symbol	ALXN		Meeting Date	10-May-2017
ISIN	US0153511094		Agenda	934568710 - Management
Record Date	14-Mar-2017		Holding Recon Date	14-Mar-2017
City / Country		/ United States	Vote Deadline Date	09-May-2017
SEDOL(s)			Quick Code	

Item	Proposal	Proposed by Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: FELIX J. BAKER	Management For	For
1B.	ELECTION OF DIRECTOR: DAVID R. BRENNAN	Management For	For
1C.	ELECTION OF DIRECTOR: M. MICHELE BURNS	Management For	For
1D.	ELECTION OF DIRECTOR: CHRISTOPHER J. COUGHLIN	Management For	For
1E.	ELECTION OF DIRECTOR: LUDWIG N. HANTSON	Management For	For
1F.	ELECTION OF DIRECTOR: JOHN T. MOLLEN	Management For	For
1G.	ELECTION OF DIRECTOR: R. DOUGLAS NORBY	Management For	For
1H.	ELECTION OF DIRECTOR: ALVIN S. PARVEN	Management For	For
1I.	ELECTION OF DIRECTOR: ANDREAS RUMMELT	Management For	For
1J.	ELECTION OF DIRECTOR: ANN M. VENEMAN	Management For	For
2.	TO APPROVE ALEXION'S 2017 INCENTIVE PLAN.	Management For	For
3.	RATIFICATION OF APPOINTMENT BY THE BOARD OF DIRECTORS OF PRICEWATERHOUSECOOPERS LLP AS ALEXION'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.	Management For	For
4.	APPROVAL OF A NON-BINDING ADVISORY VOTE OF THE 2016 COMPENSATION PAID TO ALEXION'S NAMED EXECUTIVE OFFICERS.	Management For	For
5.	TO RECOMMEND, BY NON-BINDING VOTE, THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION.	Management 3 Years	Against
6.	TO REQUEST THE BOARD IMPLEMENT CONFIDENTIAL SHAREHOLDER VOTING ON EXECUTIVE PAY MATTERS.	Shareholder Against	For

Accour Numbe		Account Name	Interna Accour	Custodian		ot es	Unavaila Shares	able Vote Date	Date Confirmed
997GL	.Q	GLQ	S S 997GL T	STATE STREET	19,50		0	01-Apr-2017	11-May-2017
997GL	.O	GLO	S 997GL T	STATE STREET SANK STRUST CO	14,10	00	0	01-Apr-2017	11-May-2017
997AL	.49	GLO BNP PLEDGE	S 997AL T	STATE STREET BANK 29 STRUST CO	100		34,900	01-Apr-2017	11-May-2017
JPMOI	RGAN CI	HASE & CO.							
Securit	.y	46625H100				Meeting	g Type	Annual	
Ticker	Symbol	JPM				Meeting	g Date	16-May-20	17
ISIN		US46625H1005				Agenda	ι	934561665	- Management
Record	l Date	17-Mar-2017				Holding Date	g Recon	17-Mar-201	7
City /	Country	y /	United States			Vote Dote Date	eadline	15-May-20	17
SEDO	L(s)					Quick (	Code		
Item	Proposa	al		Propos by	sed V	ote		against agement	
1A.	ELECT BAMM	TION OF DIRECTOR: IANN	LINDA B.	Manag	gemen	t For	Fo	or	
1B.	ELECT BELL	TION OF DIRECTOR:	JAMES A.	Manag	gemen	t For	Fo	or	
1C.		TION OF DIRECTOR: DALL C. BOWLES		Manag	gemen	t For	Fo	or	
1D.	ELECT B. BUR	TION OF DIRECTOR: RKE	STEPHEN	Manag	gemen	t For	Fo	or	
1E.	ELECT COMB	TION OF DIRECTOR: S	TODD A.	Manag	gemen	t For	Fo	or	
1F.	ELECT CROW	TION OF DIRECTOR: N	JAMES S.	Manag	gemen	t For	Fo	or	
1G.				Manag	gemen	t For	Fo	or	

	ELECTION OF DIRECTOR: JAI DIMON	MES					
1H.	ELECTION OF DIRECTOR: TIN P. FLYNN	MOTHY	Management For	For			
1I.	ELECTION OF DIRECTOR: LA JACKSON, JR.	BAN P.	Management For	For	For		
1J.	ELECTION OF DIRECTOR: MI A. NEAL	CHAEL	Management For	For			
1K.	ELECTION OF DIRECTOR: LE RAYMOND	ER.	Management For	For			
1L.	ELECTION OF DIRECTOR: WI C. WELDON	LLIAM	Management For	For			
2.	ADVISORY RESOLUTION TO APPROVE EXECUTIVE COMPENSATION		Management For	For			
3.	RATIFICATION OF INDEPEND REGISTERED PUBLIC ACCOU FIRM		Management For	For			
4.	ADVISORY VOTE ON FREQUENCY OF ADVISORY RESOLUTION TO APPROVE EXECUTIVE COMPENSATION		Management 3 Years	Against			
5.	INDEPENDENT BOARD CHAIRMAN		Shareholder Against	For			
6.	VESTING FOR GOVERNMENT SERVICE	Γ	Shareholder Against	For			
7.	CLAWBACK AMENDMENT		Shareholder Against	For			
8.	GENDER PAY EQUITY		Shareholder Against	For			
9.	HOW VOTES ARE COUNTED		Shareholder Against	For			
10.	SPECIAL SHAREOWNER MEE	ETINGS	Shareholder Against	For			
Accoun	Account Name	Internal Account	Custodian	Unavailable Shares Vote Date	Date Confirmed		
997AL-	45 GLV BNP PLEDGE	S7 997AL4 TI	TTATE TTREET BANK 45 100 TRUST CO	8,000 07-Apr-2017	17-May-2017		
997AL-	47 GLQ BNP PLEDGE	S7 997AI & TI	TATE TREET BANK 47 100 TRUST	13,100 07-Apr-2017	17-May-2017		

CO

STATE STREET

997AL4	19	GLO BNP PLEDO	GE 997AL	ζ	100		33,000	07-Apr-2017	17-May-2017
				RUST CO					
ALIGN	TECHNO	OLOGY, INC.							
Security	I	016255101				Meeting	Type	Annual	
Ticker S	Symbol	ALGN				Meeting	Date	17-May-2017	7
ISIN		US0162551016				Agenda		934559874 -	Management
Record	Date	22-Mar-2017				Holding Date	Recon	22-Mar-2017	
City /	Country		/ United States			Vote Dea Date	adline	16-May-2017	7
SEDOL	(s)					Quick Co	ode		
Item	Proposal	I		Propo by	osed Vo	ote		gainst gement	
1A.	ELECTI HOGAN	ON OF DIRECTO	R: JOSEPH M	. Mana	gement	For	Fc	or	
1B.	ELECTI LACOB	ON OF DIRECTO	R: JOSEPH	Mana	gement	For	Fo	or	
1C.		ON OF DIRECTO OND LARKIN, JR.	R: C.	Mana	gement	For	Fo	or	
1D.	ELECTI MORRO	ON OF DIRECTO	R: GEORGE J	. Mana	gement	For	Fo	or	
1E.	ELECTI M. PRE	ON OF DIRECTO	R: THOMAS	Mana	gement	For	Fo	or	
1F.	ELECTI L. SAIA	ON OF DIRECTO	R: ANDREA	Mana	gement	For	Fo	or	
1G.	ELECTI SANTO	ON OF DIRECTO RA	R: GREG J.	Mana	gement	For	Fo	or	
1H.	ELECTI SIEGEL	ON OF DIRECTO	R: SUSAN E.	Mana	gement	For	Fo	or	
1I.	ELECTI S. THAI	ON OF DIRECTOL LER	R: WARREN	Mana	gement	For	Fo	or	
2.	APPOIN PRICEW AS ALIG INDEPE ACCOU	SAL TO RATIFY TO STEATHOUSECO SON TECHNOLOGENDENT REGISTE SON THE SON THE S	OPERS LLP Y, INC.'S ERED PUBLIC E FISCAL		gement	: For	Fo	or	
3.		ORY VOTE TO AP DEXECUTIVE OF		Mana	gement	For	Fo	or	

#### COMPENSATION.

City / Country

ADVISORY VOTE ON THE

4. FREQUENCY OF HOLDING AN ADVISORY VOTE ON EXECUTIVE COMPENSATION.

Management 3 Years

Against

Account Number	Account Name	Internal Custodiar Account	Ballot Shares	Unavaila Shares	able Vote Date	Date Confirmed
997GLO	GLO	STATE STREET BANK 997GL TRUST CO	21,600	0	08-Apr-2017	18-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL 47 TRUST CO	22,200	0	08-Apr-2017	18-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET BANK 997AL49 TRUST CO	100	49,900	08-Apr-2017	18-May-2017
997AL53	GLO MS PLEDGE	STATE STREET 997AL 3 TRUST CO	10,000	0	08-Apr-2017	18-May-2017
997AL61	GLQ MS PLEDGE	STATE STREET BANK 997AL61 TRUST CO	10,000	0	08-Apr-2017	18-May-2017
ELLIE MAE, I	INC.					
Security	28849P100		Meetin	g Type	Annual	
Ticker Symbol	ELLI		Meetin	g Date	17-May-2017	
ISIN	US28849P1003		Agenda		934563746 -	Management
Record Date	22-Mar-2017		Holdin Date	g Recon	22-Mar-2017	

/ United

16-May-2017

Vote Deadline

States Date Quick Code SEDOL(s) Proposed Vote For/Against Item Proposal by Management **DIRECTOR** 1. Management 1 KAREN BLASING For For 2 JONATHAN CORR For For 3 ROBERT J. LEVIN For For 4 JEB S. SPENCER For For TO RATIFY THE SELECTION, BY THE AUDIT COMMITTEE OF THE BOARD OF DIRECTORS, OF GRANT THORNTON LLP AS THE 2. Management For For INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM OF THE COMPANY FOR ITS FISCAL YEAR ENDING DECEMBER 31, 2017. TO APPROVE, ON AN ADVISORY BASIS, THE NAMED EXECUTIVE OFFICER COMPENSATION FOR THE Management For 3. For FISCAL YEAR ENDED DECEMBER 31, 2016 AS DISCLOSED IN THE PROXY **STATEMENT** TO CONSIDER A STOCKHOLDER PROPOSAL IF PROPERLY PRESENTED AT THE MEETING, 4. Shareholder Against For RELATED TO THE DECLASSIFICATION OF THE BOARD OF DIRECTORS.

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavail Shares	able Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET BANK 997GLQ TRUST CO	19,100	0	07-Apr-2017	18-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL 49 TRUST CO	47,900	0	07-Apr-2017	18-May-2017

SOLAR CAPITAL LTD

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Security	83413U100		Meeting T	ype	Annual
Ticker Symbol	SLRC		Meeting I	Date	17-May-2017
ISIN	US83413U1007		Agenda		934568518 - Management
Record Date	22-Mar-2017		Holding R Date	Recon	22-Mar-2017
City / Country	/ United / States		Vote Dead Date	dline	16-May-2017
SEDOL(s)			Quick Co	de	
Item Proposa	.1	Proposed by	ote	For/Ag Manage	
1. DIREC	TOR	Managemen	nt		
1	MR. BRUCE SPOHLER		For	For	
2	MR. STEVEN HOCHBERG		For	For	
AUTHO SHARE A PRIC COMPA ASSET OR MC	PROVE A PROPOSAL TO DRIZE THE COMPANY TO SELICS OF ITS COMMON STOCK AT BE OR PRICES BELOW THE ANY'S THEN CURRENT NET VALUE PER SHARE IN ONE ORE OFFERINGS, IN EACH SUBJECT TO THE APPROVAL				

For

OF ITS BOARD OF DIRECTORS AND

2. COMPLIANCE WITH THE Management For CONDITIONS SET FORTH IN THE PROXY STATEMENT PERTAINING THERETO (INCLUDING, WITHOUT LIMITATION, THAT THE NUMBER OF SHARES ISSUED DOES NOT EXCEED 25% OF THE COMPANY'S THEN OUTSTANDING COMMON STOCK IMMEDIATELY PRIOR TO EACH SUCH OFFERING).

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavail Shares	able Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997GL W TRUST CO		0	01-Apr-2017	18-May-2017
997AL45	GLV BNP PLEDGE	997AISÆATE STREET BANK &	100	47,000	01-Apr-2017	18-May-2017

		_uga: :g: e.ea	g c	u. =u.	J				
				TRUST					
				CO					
				STATE STREET					
997AL	47	GLQ BNP PLEDGE	997AI		100		75,000	01-Apr-2017	18-May-2017
))// <b>IL</b>	т,	OLQ DIVI TELEVOL		₹′ ſRUST	100		73,000	01-71p1-2017	10-Way-2017
				CO					
			S	STATE					
				TREET					
997AL	49	GLO BNP PLEDGE	997AI	349NK 249	37,63	31	0	01-Apr-2017	18-May-2017
				TRUST					
			C	CO					
		DICAL REIT INC.					_		
Securit	-	37954A204				Meeting		Annual	_
Ticker	Symbol					Meeting		18-May-201	
ISIN		US37954A2042				Agenda		934609340 -	Management
Record	Date	31-Mar-2017				Holding Date	Recon	31-Mar-2017	1
City /	Coun	trv /	nited			Vote De	adline	17-May-201	7
		Si	tates			Date			
SEDOI	∠(s)			D	,	Quick C		• ,	
Item	Propo	osal		Propos by	sea V	ote		gainst gement	
1.	DIRE	CTOR		Manag	gemen	t			
	1	DAVID A. YOUNG				For	Fo	or	
	2	JEFFREY BUSCH				For	Fo	or	
	3	HENRY COLE				For	Fo	or	
	4	MATTHEW L. CYPHER				For	Fo	or	
	5	KURT R. HARRINGTON	1			For	Fo	or	
	6	ZHANG JINGGUO				For	Fo	or	
	7	RONALD MARSTON				For	Fo		
	8	DR. ROSCOE MOORE				For	Fo		
	9	ZHANG HUIQI				For	Fo	or	
		ATIFY THE APPOINTME ONEBAILEY, LLP AS TH							
2		ONEBAILET, LLP AS TH PANY'S INDEPENDENT	ئا	M		4 East	F		
2.		STERED PUBLIC ACCOU FOR THE YEAR ENDING		Manag	gemen	ı FOİ	Fo	DΓ	

DECEMBER 31, 2017.

Account Number		Account Name	Internal Custodia Account	Ballot Shares	Uı Sh	navailab hares	le Vote Date	Date Confirmed
997GLV	7	GLV	STATE STREE 997GL TRUST CO	Γ 50,000	0	2	28-Apr-2017	19-May-2017
997AL43	5	GLV BNP PLEDGE	STATE STREE BANK 997AL 45 TRUST CO	Γ 27,000	0	2	28-Apr-2017	19-May-2017
997AL47	7	GLQ BNP PLEDGE	STATE STREE BANK 997AL 47 TRUST CO	Γ 121,00	0 0	2	28-Apr-2017	19-May-2017
997AL49	9	GLO BNP PLEDGE	STATE STREE BANK 997AL 49 TRUST CO	Г 310,00	0 0	2	28-Apr-2017	19-May-2017
ARES C	APITAI	L CORPORATION						
Security		04010L103		1	Meeting Ty	ype	Annual	
Ticker S	ymbol	ARCC		1	Meeting Da	ate	22-May-2017	
ISIN		US04010L1035		I	Agenda		934557426 - N	Management
Record I	Date	09-Mar-2017			Holding Re Date	econ	09-Mar-2017	
City /	Country	<i>I</i>	nited tates		Vote Deadl Date	line	19-May-2017	
SEDOL(	(s)			(	Quick Code	le		
Item	Proposa	ıl	Prop by	osed Vot	e	For/Aga Manage		
1A.	AROU	ION OF DIRECTOR: MI GHETI (CLASS I DIREC TERM OF 3 YEARS EX ))	TOR Man	agement I	For	For		
1B.	TORRE	ION OF DIRECTOR: AN E BATES (CLASS I DIRE TERM OF 3 YEARS EX D)	ECTOR Man	agement I	For	For		

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ELECTION OF DIRECTOR: STEVE

MCKEEVER (CLASS I DIRECTOR FOR A TERM OF 3 YEARS EXPIRING IN 2020)

TO RATIFY THE SELECTION OF KPMG LLP AS THE COMPANY'S

2. INDEPENDENT REGISTERED PUBLIC Management For ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2017.

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavaila Shares	ble Vote Date	Date Confirmed
997GLV	GLV	STATE STREET BANK 997GL TRUST CO	50,000	0	25-Mar-2017	23-May-2017
997GLQ	GLQ	STATE STREET 997GL P97GL TRUST CO	20,000	0	25-Mar-2017	23-May-2017
997GLO	GLO	STATE STREET STREET 997GLO TRUST CO	8,309	0	25-Mar-2017	23-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL 45 TRUST CO	168,300	0	25-Mar-2017	23-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 TRUST CO	301,400	0	25-Mar-2017	23-May-2017
997AL49	GLO BNP PLEDGE	997AISTOATE STREET BANK & TRUST	100	826,991	25-Mar-2017	23-May-2017

997AL6	60	GLV MS PLEDGE	S S S 997AI T C	TRUST CO	15,000	ı	0	25-Mar-2017	23-May-2017
997AL6	51	GLQ MS PLEDGE	S 997AL 7	STATE STREET SANK 61 STRUST CO	110,00	0	0	25-Mar-2017	23-May-2017
ARES (	CAPITAI	L CORPORATION							
Security	ý	04010L103			]	Meeting '	Туре	Special	
Ticker S	Symbol	ARCC			]	Meeting 1	Date	22-May-201	7
ISIN		US04010L1035				Agenda		934560598 -	Management
Record	Date	09-Mar-2017				Holding l Date	Recon	09-Mar-2017	,
City /	Country	,	/ United States			Vote Dea Date	adline	19-May-201 <sup>2</sup>	7
SEDOL	L(s)				(	Quick Co	ode		
Item	Proposa	1		Propos by	sed Vot	e		gainst gement	
1.	WITH TO THE PERSON OF DIRECT OF THE PERSON O	THORIZE THE CONTHE APPROVAL OF ECTORS, TO SELL RWISE ISSUE SHARE ON STOCK AT A POWER OF THE CONTENT OF ELIMITS OF ELIMITS (INCLUTO SPACE LIMITS OF ESAL).	FITS BOARI OR RES OF ITS RICE ENT NET RE SUBJECT OF FORTH IN OF FOR THE DING, S, SEE	Manag	gement l	For	Fo	ρΓ	
Accoun Number		Account Name	Interna Accour	'iistodiar	Ballot Shares		Unavaila Shares	ible Vote Date	Date Confirmed
997GLV	V	GLV	997GIS S		50,000		0	28-Mar-2017	23-May-2017

BANK &

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		TRUST CO				
997GLQ	GLQ	STATE STREET BANK 997GLQ TRUST	20,000	0	28-Mar-2017	23-May-2017
997GLO	GLO	CO STATE STREET BANK 997GL TRUST	8,309	0	28-Mar-2017	23-May-2017
997AL45	GLV BNP PLEDGE	CO STATE STREET BANK 997AL 45 TRUST CO	168,300	0	28-Mar-2017	23-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL 47 TRUST CO	301,400	0	28-Mar-2017	23-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL49 TRUST CO	100	826,991	28-Mar-2017	23-May-2017
997AL60	GLV MS PLEDGE	STATE STREET 997AL 00 TRUST CO	15,000	0	28-Mar-2017	23-May-2017
997AL61	GLQ MS PLEDGE	STATE STREET BANK 997AL & I TRUST CO	110,000	0	28-Mar-2017	23-May-2017
MERCK & CO.,	INC.					
Security	58933Y105		Meeting	g Type	Annual	
Ticker Symbol	MRK		Meeting	g Date	23-May-2017	

ISIN		US58933Y1055			Agenda		934581439 - Management
Record	Date	27-Mar-2017			Holding Reco	on	27-Mar-2017
City /	Country		/ United States		Vote Deadlir Date	ne	22-May-2017
SEDOL	L(s)				Quick Code		
Item	Proposa	1		Proposed V	OTA	For/Aga Manage	
1A.	ELECT: BRUN	ION OF DIRECTOF	R: LESLIE A.	Managemen	t For	For	
1B.	ELECT R. CEC	ION OF DIRECTOF H	R: THOMAS	Managemen	t For	For	
1C.	ELECT CRAIG	ION OF DIRECTOR	R: PAMELA J.	Managemen	t For	For	
1D.	ELECT C. FRA	ION OF DIRECTOF ZIER	R: KENNETH	Managemen	t For	For	
1E.	ELECT H. GLO	ION OF DIRECTOF CER	R: THOMAS	Managemen	t For	For	
1F.		ION OF DIRECTOF ELLE B. LAZARUS	<b>R</b> :	Managemen	t For	For	
1G.		ION OF DIRECTOF VORTHY	R: JOHN H.	Managemen	t For	For	
1H.	ELECT: REPRE	ION OF DIRECTOF SAS	R: CARLOS E.	Managemen	t For	For	
1I.	ELECT: ROTHN	ION OF DIRECTOF IAN	R: PAUL B.	Managemen	t For	For	
1J.	ELECT F. RUSS	ION OF DIRECTOF SO	R: PATRICIA	Managemen	t For	For	
1K.	ELECT: THOMI	ION OF DIRECTOF PSON	R: CRAIG B.	Managemen	t For	For	
1L.	ELECT P. WEE	ION OF DIRECTOF KS	R: WENDELL	Managemen	t For	For	
1M.	ELECT: WENDI	ION OF DIRECTOF ELL	R: PETER C.	Managemen	t For	For	
2.	APPRO	INDING ADVISOR VE THE COMPENS AMED EXECUTIV	SATION OF	Managemen	t For	For	
3.	THE FR TO API	INDING ADVISOR REQUENCY OF FU PROVE THE COMP R NAMED EXECU ERS.	TURE VOTES ENSATION	Managemen	it 3 Years	Agai	inst
4.	RATIFI	CATION OF THE		Managemen	t For	For	

APPOINTMENT OF THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017. SHAREHOLDER PROPOSAL 5. REQUESTING AN INDEPENDENT Shareholder Against For BOARD CHAIRMAN. SHAREHOLDER PROPOSAL REQUESTING IMPLEMENTATION OF 6. Shareholder Against For A SET OF EMPLOYEE PRACTICES IN ISRAEL/PALESTINE. SHAREHOLDER PROPOSAL REQUESTING A REPORT ON 7. Shareholder Against For CONDUCTING BUSINESS IN CONFLICT- AFFECTED AREAS. SHAREHOLDER PROPOSAL REQUESTING A REPORT ON BOARD 8. Shareholder Against For OVERSIGHT OF PRODUCT SAFETY AND QUALITY.

Account Number	Account Name	Internal Custodian Ballo Account Share	ot Unavail es Shares	able Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997GLV 12,90 TRUST CO	00 0	11-Apr-2017	24-May-2017
997GLQ	GLQ	STATE STREET 997GLQ 13,90 TRUST CO	00 0	11-Apr-2017	24-May-2017
997GLO	GLO	STATE STREET  997GLO  TRUST CO	00 0	11-Apr-2017	24-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET BANK 997AL45 100 TRUST CO	43,300	11-Apr-2017	24-May-2017
997AL47	GLQ BNP PLEDGE	997AISATATE 38,20 STREET	00 41,800	11-Apr-2017	24-May-2017

		_aga: :g: 0.0	ag. Giosc	a. 2a.	0110 0				
			B &	SANK z					
				RUST O					
			S'	TATE TREET					
997AL	49	GLO BNP PLEDGE	997AI&	ANK 2	100		174,400	11-Apr-2017	24-May-2017
				RUST O					
				TATE TREET					
997AL	60	GLV MS PLEDGE	99/AL		10,00	00	0	11-Apr-2017	24-May-2017
				RUST O					
LIBER	TY INTE	RACTIVE CORPORA	TION						
Securit	y	53071M856				Meeting	g Type	Annual	
Ticker	Symbol	LVNTA				Meeting	g Date	24-May-201	.7
ISIN		US53071M8560				Agenda	Į.	934605859	- Management
Record	Date	03-Apr-2017				Holding Date	g Recon	03-Apr-201	7
City /	Country	/	United States			Vote Do Date	eadline	23-May-201	7
SEDOI	$\mathcal{L}(\mathbf{s})$					Quick (	Code		
Item	Proposa	ıl		Propo by	sed V	ote	For/A Mana	gainst gement	
1.	DIREC'	TOR		Manag	gemen	t			
	1 1	EVAN D. MALONE				For	Fo	r	
	2 1	DAVID E. RAPLEY				For	Fo	r	
	3 1	LARRY E. ROMRELL				For	Fo	r	
		POSAL TO RATIFY T							
2		TION OF KPMG LLP		Mana		4 Ean	Ea		
2.		ENDENT AUDITORS L YEAR ENDING DEC		Manag	gemen	t ror	Fo	ľ	
		AY-ON-PAY PROPOSA	AL, TO						
3.	APPROVE, ON AN ADVISORY BASIS		RY BASIS,	Manag	gemen	t For	Fo	r	
٥.	THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.			mini	50111011	. 1 01	10	•	
4.		AY-ON-FREQUENCY		Manag	gemen	t 3 Years	Fo	r	
	ADVIS	SAL, TO APPROVE, ( ORY BASIS, THE FRE IICH STOCKHOLDER	EQUENCY						

# PROVIDED AN ADVISORY VOTE ON THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.

Account Number	Account Name	Luctodian	Ballot Shares	Unavaila Shares	ble Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997GL ANK TRUST CO	14,200	0	26-Apr-2017	25-May-2017
997GLQ	GLQ	STATE STREET 997GL BANK 997GL 20 TRUST CO	20,000	0	26-Apr-2017	25-May-2017
997GLO	GLO	STATE STREET 997GLO 5, TRUST CO	5,937	0	26-Apr-2017	25-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET BANK 997AL 45 10 TRUST CO	100	50,900	26-Apr-2017	25-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL 47 10 TRUST CO	101	136,300	26-Apr-2017	25-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET BANK 997AL 49 TRUST CO	384,525	0	26-Apr-2017	25-May-2017
997AL60	GLV MS PLEDGE	997AISODATE 3: STREET BANK & TRUST	35,343	0	26-Apr-2017	25-May-2017

		Edgar Filing: Cl	ough Glob	oal Divid	lend &	Income	Fund - F	orm N-PX	
997AL0	61	GLQ MS PLEDGE	997AI	CO STATE STREET BANK 61 TRUST CO	Γ 2,520	)	0	26-Apr-2017	25-May-2017
ENVIS	ION HE	ALTHCARE CORPOR	ATION						
Security	y	29414D100				Meeting	Type	Annual	
Ticker	Symbol	EVHC				Meeting	Date	25-May-20	17
ISIN		US29414D1000				Agenda		934582304	- Management
Record	Date	29-Mar-2017				Holding Date	Recon	29-Mar-20	17
City /	Countr	y /	United States			Vote De Date	adline	24-May-20	17
SEDOI	L(s)					Quick C	ode		
Item	Propos	al		Propo by	osed Vo	ote		Against agement	
1.	DIREC	CTOR		Mana	agemen	t			
	1	CAROL J. BURT				For	Fo	or	
	2	CHRISTOPHER A. HO	DLDEN			For	Fo	or	
	3	CYNTHIA S. MILLER				For	Fo	or	
	4	RONALD A. WILLIA	MS			For	Fo	or	
2.	ADVIS HEAL	OVAL, ON A NON-BIN SORY BASIS, OF ENV THCARE CORPORAT UTIVE COMPENSATI	ISION ION'S	Mana	agemen	t For	Fo	or	
3.	ADVIS FREQUENCE VOTE HEAL	OVAL, ON A NON-BINGORY BASIS, OF THE UENCY OF FUTURE A S APPROVING ENVIS THCARE CORPORAT UTIVE COMPENSATI	ADVISOR' ION ION'S	Y Mana	agemen	t 3 Years	A	gainst	
4.	APPO TOUC HEAL INDEF ACCO	FICATION OF THE INTMENT OF DELOITHE LLP AS ENVISION THE ARE CORPORATE PENDENT REGISTERS UNTING FIRM FOR THE BECEMBER 31, 20	I ION'S ED PUBLI HE YEAR	C	agemen	t For	Fo	or	

Internal Custodian Ballot Account Shares

Account

Number

Account Name

Unavailable Shares Vote Date

Date

Confirmed

997GLQ		GLQ	S 997GL T	TATE TREET ANK RUST	9,900	)	0	14-Apr-2017	26-May-2017
997GLO		GLO	S 997GL T	TATE TREET ANK C RUST	23,90	00	0	14-Apr-2017	26-May-2017
997AL47	1	GLQ BNP PLEDG	S E 997AI T	TATE TREET ANK (7 CRUST O	100		9,500	14-Apr-2017	26-May-2017
997AL49	)	GLO BNP PLEDG	S E 997AL T	TATE TREET ANK E RUST	100		18,500	14-Apr-2017	26-May-2017
PENNYM	MAC M	ORTGAGE INVEST							
Security		70931T103				Meeting	д Туре	Annual	
Ticker Sy	ymbol	PMT				Meeting	g Date	25-May-201	17
ISIN		US70931T1034				Agenda		934583685	- Management
Record D	ate	31-Mar-2017				Holding Date	g Recon	31-Mar-201	7
City / C	Country		/ United States			Vote Do Date	eadline	24-May-201	17
SEDOL(s	s)					Quick C	Code		
Item I	Proposa	1		Propo by	sed Vo	ote		Against agement	
1. I	DIRECT	ΓOR		Mana	gemen	t			
		PRESTON DUFAUC				For	Fo		
		NANCY MCALLIST				For	Fo		
		STACEY D. STEWA				For	Fo	or	
2. I	DELOIT INDEPE ACCOU	FIFY THE APPOINT FTE & TOUCHE LL ENDENT REGISTER JNTING FIRM FOR ENDING DECEMBE	P AS OUR RED PUBLIC THE FISCAI		gemen	t For	Fo	or	

		Lagar r illing. Olot	agri Giobe	ai Dividi	cha a n		i dila i v		
3.	VOTE,	PROVE, BY NON-BIND OUR EXECUTIVE ENSATION.	DING	Mana	gement l	For	Fo	r	
4.	VOTE,	COMMEND, BY NON-I THE FREQUENCY OF JTIVE COMPENSATIO	OUR	Mana	gement :	3 Years	Ag	gainst	
Accour Numbe		Account Name	Internal Accoun	ustodiai t	Ballot Shares		Unavaila Shares	ible Vote Date	Date Confirmed
997AL	45	GLV BNP PLEDGE	S 997AI T	TATE TREET ANK TRUST	10,314		79,400	15-Apr-2017	26-May-2017
997AL	47	GLQ BNP PLEDGE	S 997AL T	TATE TREET ANK TRUST	7,088		199,900	15-Apr-2017	26-May-2017
997AL	49	GLO BNP PLEDGE	S 997AI T	TATE TREET ANK TRUST	114		373,900	15-Apr-2017	26-May-2017
CRISP	R THERA	APEUTICS AG							
Securit	У	H17182108			]	Meeting	Type	Annual	
Ticker	Symbol	CRSP			]	Meeting	Date	31-May-201	7
ISIN		CH0334081137				Agenda		934597812 -	Management
Record	Date	03-Apr-2017				Holding Date	Recon	03-Apr-2017	,
City /	Country	/ S	Switzerland	d		Vote De Date	adline	30-May-201	7
SEDOI	L(s)				(	Quick C	lode		
Item	Proposa	ıl		Propo by	osed Voi	te	For/A Mana	gainst gement	
1.	REPOR FINAN	PPROVAL OF THE AND T, THE CONSOLIDAT CIAL STATEMENTS A TTORY FINANCIAL	ED	Mana	gement l	For	Fo	r	

STATEMENTS OF THE COMPANY FOR THE YEAR ENDED DECEMBER

31, 2016.

	,		
2.	THE APPROVAL OF THE APPROPRIATION OF FINANCIAL RESULTS.	Management For	For
3.	THE DISCHARGE OF THE MEMBERS OF THE BOARD OF DIRECTORS AND EXECUTIVE COMMITTEE.	Management For	For
4A.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: N. ANTHONY COLES, M.D., AS MEMBER AND CHAIRMAN.	Management For	For
4B.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: RODGER NOVAK, M.D.	Management For	For
4C.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: BRADLEY BOLZON, PH.D.	Management For	For
4D.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: ALI BEHBAHANI, M.D.	Management For	For
4E.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: KURT VON EMSTER.	Management For	For
4F.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: SIMEON J. GEORGE, M.D.	Management For	For
4G.	RE-ELECTION OF MEMBERS TO THE BOARD OF DIRECTOR: THOMAS WOIWODE, PH.D.	Management For	For
4H.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: PABLO CAGNONI, M.D.	Management For	For
5A.	RE-ELECTION OF MEMBER OF THE COMPENSATION COMMITTEE: THOMAS WOIWODE, PH.D.	Management For	For
5B.	RE-ELECTION OF MEMBER OF THE COMPENSATION COMMITTEE: PABLO CAGNONI, M.D.	Management For	For
5C.	RE-ELECTION OF MEMBER OF THE COMPENSATION COMMITTEE: N. ANTHONY COLES, M.D.	Management For	For
5D.	RE-ELECTION OF MEMBER OF THE COMPENSATION COMMITTEE: SIMEON J. GEORGE, M.D.	Management For	For
6A.		Management For	For

	BINDING VOTE ON TOTAL NON-PERFORMANCE- RELATI COMPENSATION FOR MEMBE THE BOARD OF DIRECTORS FOR THE 2017 ANNUAL GENERAL MEETING TO THE 2018 ANNUAL GENERAL MEETING OF SHAREHOLDERS.	RS OF ROM			
6B.	BINDING VOTE ON EQUITY FOR MEMBERS OF THE BOARD OF DIRECTORS FROM THE 2017 ANNUAL GENERAL MEETING THE 2018 ANNUAL GENERAL MEETING OF SHAREHOLDERS	ТО	Management For	For	
6C.	BINDING VOTE ON TOTAL NON-PERFORMANCE- RELATI COMPENSATION FOR MEMBE THE EXECUTIVE COMMITTEE JULY 1, 2017 TO JUNE 30, 2018.	RS OF FROM	Management For	For	
6D.	BINDING VOTE ON TOTAL VARIABLE COMPENSATION F MEMBERS OF THE EXECUTIV COMMITTEE FOR THE CURRE YEAR ENDING DECEMBER 31,	E NT	Management For	For	
6E.	BINDING VOTE ON EQUITY FOR MEMBERS OF THE EXECUTIVE COMMITTEE FROM THE 2017 ANNUAL GENERAL MEETING THE 2018 ANNUAL GENERAL MEETING OF SHAREHOLDERS	E TO	Management For	For	
7.	THE APPROVAL OF AN INCRE THE CONDITIONAL SHARE CA FOR EMPLOYEE BENEFIT PLA	APITAL	Management For	For	
8.	THE APPROVAL OF THE AMEN AND RESTATED CRISPR THERAPEUTICS AG 2016 STOC OPTION AND INCENTIVE PLAN	CK	Management For	For	
9.	THE RE-ELECTION OF THE INDEPENDENT VOTING RIGHT REPRESENTATIVE.	ΓS	Management For	For	
10.	THE RE-ELECTION OF THE AUDITORS.		Management For	For	
11.	THE APPROVAL OF THE CHANTHE REGISTERED OFFICE.	NGE OF	Management For	For	
Account Number	Account Name	Internal Account	ustodian Ballot Shares	Unavailable Shares	Date Confirmed

997GL0	Q	GLQ	S' 997GL & T	TATE TREET ANK P RUST O	57,10	0	0	22-Apr-2017	01-Jun-2017
997GL0	О	GLO	S' 997GL T	TATE TREET ANK C RUST O	145,90	00	0	22-Apr-2017	01-Jun-2017
PARSL	LEY ENE	RGY, INC.							
Security	y	701877102				Meeting	Type	Annual	
Ticker S	Symbol	PE				Meeting	Date	02-Jun-2017	
ISIN		US7018771029				Agenda		934591238 -	Management
Record	Date	06-Apr-2017				Holding Date	Recon	06-Apr-2017	,
City /	Country	7	/ United States			Vote De Date	adline	01-Jun-2017	
SEDOL	$\mathcal{L}(s)$					Quick C	Code		
Item	Proposa	1		Propo by	sed Vo	ote		gainst gement	
1.	DIREC	TOR		Mana	gement				
	1 1	BRYAN SHEFFIE	LD			For	Fo	or	
	2	A. R. ALAMEDDI	NE			For	Fo	or	
2.	KPMG INDEPI ACCOU	TIFY THE APPOID LLP AS THE CON ENDENT REGIST JNTING FIRM FO ENDING DECEMI	IPANY'S ERED PUBLIC R THE FISCAL		gement	For	Fo	or	
3.	ADVIS NAME COMPI	PROVE, ON A NO ORY BASIS, THE DEXECUTIVE OF ENSATION FOR T ENDED DECEMB	COMPANY'S FFICER THE FISCAL	Manaş	gement	For	Fo	or	
4.	COMPA RESTA A MAJ	OPT AN AMEND! ANY'S AMENDED TED BYLAWS TO ORITY VOTING S NTESTED DIRECT IONS.	O AND O IMPLEMENT TANDARD IN	1	gement	For	Fo	D <b>r</b>	

Account Name International Unavailable Date Date

Number		Account	Share	es	Shares		Confirmed
997GLQ	GLQ	STA STR BAN 997GLQ TRU CO	REET NK 22,90	00	0	19-Apr-2017	05-Jun-2017
997GLO	GLO	STA STR 997GLO TRU CO	REET NK 59,70	00	0	19-Apr-2017	05-Jun-2017
997AL47	GLQ BNP PLEDGE	STA STR STR BAN 997AL 47 TRU CO	REET NK 400		20,100	19-Apr-2017	05-Jun-2017
997AL49	GLO BNP PLEDGE	STA STR 997AL49 TRU CO	REET NK 590		51,200	19-Apr-2017	05-Jun-2017
COGNIZANT T	ECHNOLOGY SOLUTI	ONS CORP.					
Security	192446102			Meeting	Type	Annual	
Ticker Symbol	CTSH			Meeting	Date	06-Jun-2017	
ISIN	US1924461023			Agenda		934600265 -	Management
Record Date	10-Apr-2017			Holding Date	Recon	10-Apr-2017	
City / Country	<i>1</i>	Inited tates		Vote Dea Date	adline	05-Jun-2017	
SEDOL(s)				Quick Co	ode		
Item Proposa	ıl		Proposed Vo	ote		gainst gement	
1A. ELECT ABDAI	ION OF DIRECTOR: ZE LLA	EIN N	Managemen	t For	Fo	οr	
1B. ELECT ATKIN	ION OF DIRECTOR: BI S	ETSY S. N	Managemen	t For	Fo	or	
1( '	ION OF DIRECTOR: M KIRON- EVANS	AUREEN N	Managemen	t For	Fo	or	
11)	ION OF DIRECTOR: HAN CHADWICK	N	Managemen	t For	Fo	or	

1E.	ELECTION OF DIRECTOR: JOHN M. DINEEN	Management For	For
1F.	ELECTION OF DIRECTOR: FRANCISCO D'SOUZA	Management For	For
1G.	ELECTION OF DIRECTOR: JOHN N. FOX, JR.	Management For	For
1H.	ELECTION OF DIRECTOR: JOHN E. KLEIN	Management For	For
1I.	ELECTION OF DIRECTOR: LEO S. MACKAY, JR.	Management For	For
1J.	ELECTION OF DIRECTOR: MICHAEL PATSALOS-FOX	Management For	For
1K.	ELECTION OF DIRECTOR: ROBERT E. WEISSMAN	Management For	For
2.	APPROVAL, ON AN ADVISORY (NON-BINDING) BASIS, OF THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS.	Management For	For
3.	APPROVAL, ON AN ADVISORY (NON-BINDING) BASIS, OF THE FREQUENCY OF FUTURE ADVISORY VOTES ON THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS.	Management 3 Years	Against
4.	APPROVAL OF THE COMPANY'S 2017 INCENTIVE AWARD PLAN.	Management For	For
5.	RATIFICATION OF THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2017.	Management For	For
6.	STOCKHOLDER PROPOSAL REQUESTING THAT THE BOARD OF DIRECTORS TAKE THE STEPS NECESSARY TO ELIMINATE THE SUPERMAJORITY VOTING PROVISIONS OF THE COMPANY'S CERTIFICATE OF INCORPORATION AND BY-LAWS.	Management For	For
7.	STOCKHOLDER PROPOSAL REQUESTING THAT THE BOARD OF DIRECTORS TAKE THE STEPS NECESSARY TO PERMIT	Shareholder Against	For

# STOCKHOLDER ACTION BY WRITTEN CONSENT.

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavail Shares	able Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET 997GLQ TRUST CO	23,500	0	21-Apr-2017	07-Jun-2017
997GLO	GLO	STATE STREET 997GL 997GL TRUST CO	39,400	0	21-Apr-2017	07-Jun-2017
997AL45	GLV BNP PLEDGE	STATE STREET BANK 997AL 45 TRUST CO	13,900	0	21-Apr-2017	07-Jun-2017
997AL49	GLO BNP PLEDGE	STATE STREET BANK 997AL 49 TRUST CO	100	76,600	21-Apr-2017	07-Jun-2017
997AL60	GLV MS PLEDGE	STATE STREET 997AL 60 TRUST CO	5,000	0	21-Apr-2017	07-Jun-2017
997AL61	GLQ MS PLEDGE	STATE STREET BANK 997AL & TRUST CO	23,000	0	21-Apr-2017	07-Jun-2017
LADDER CAP	PITAL CORP					
Security	505743104		Meetin	g Type	Annual	
Ticker Symbol			Meetin	_	06-Jun-2017	
ISIN	US5057431042		Agenda	ı		Management
Record Date	10-Apr-2017				10-Apr-2017	

Holding Recon

Date

City / Country / United Vote Deadline O5-Jun-2017

SEDOL(s) Quick Code

Item	Proposal	Proposed by Vote	For/Against Management
1.	DIRECTOR	Management	
	1 BRIAN HARRIS	For	For
	2 MARK ALEXANDER	For	For
2.	TO RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017.	Management For	For

Account Number	Account Name	Internal Custodian Ballot Account Shares	Unavailable Shares Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET  997GLQ 107,000  TRUST CO	0 25-Apr-2017	07-Jun-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL 5 102 TRUST CO	55,416 25-Apr-2017	07-Jun-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL 193 TRUST CO	131,186 25-Apr-2017	07-Jun-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL 49 230,242 TRUST CO	0 25-Apr-2017	07-Jun-2017
997AL61	GLQ MS PLEDGE	997AISGIATE 30,000 STREET BANK	0 25-Apr-2017	07-Jun-2017

& TRUST CO

<b>BIOMARIN</b>	DLIADMA	CELITICAL	INC
BIOMAKIN	PHARMA	CEUTICAL	HNC.

OFFICERS AS DISCLOSED IN THE

PROXY STATEMENT.

DIOMI	1(11) 1 1.	HIGH ICECTICAL INC.					
Security	•	09061G101			Meeting T	ype	Annual
Ticker S	Symbol	BMRN			Meeting D	ate	06-Jun-2017
ISIN		US09061G1013			Agenda		934601611 - Management
Record 1	Date	10-Apr-2017			Holding Re Date	econ	10-Apr-2017
City /	Countr	y / Un Sta	ited ites		Vote Dead Date	line	05-Jun-2017
SEDOL	(s)				Quick Cod	e	
Item	Propos	al		Proposed by	ote	For/Aga Manager	
1.	DIREC	CTOR		Managemen	t		
	1	JEAN-JACQUES BIENAII	ME		For	For	
	2	WILLARD DERE			For	For	
	3	MICHAEL GREY			For	For	
	4	ELAINE J. HERON			For	For	
	5	V. BRYAN LAWLIS			For	For	
	6	ALAN J. LEWIS			For	For	
	7	RICHARD A. MEIER			For	For	
	8	DAVID PYOTT			For	For	
	9	DENNIS J. SLAMON			For	For	
2.	KPMG REGIS FIRM	TIFY THE SELECTION C LLP AS THE INDEPEND TERED PUBLIC ACCOUNT FOR BIOMARIN FOR THI L YEAR ENDING DECEM 7.	ENT NTING E	Managemen	t For	For	
3.	BASIS STOCK ADVIS COMP COMP OFFIC	PROVE, ON AN ADVISO , THE FREQUENCY OF T KHOLDERS' APPROVAL, SORY BASIS, OF THE ENSATION OF THE ANY'S NAMED EXECUT ERS AS DISCLOSED IN T Y STATEMENT.	THE ON AN IVE	Managemen	t 3 Years	Agai	nst
4.	BASIS COMP	PROVE, ON AN ADVISO , THE COMPENSATION ( ANY'S NAMED EXECUT	OF THE IVE	Managemen	t For	For	

5.		PROVE THE 2017 EQUI	TY Ma	anagement	For F	or	
6.	BIOM. RESTAINCOM (I) INCOME OF AU COMM SHAR AND (	PROVE AMENDMENTS ARIN'S AMENDED AND ATED CERTIFICATE OF RPORATION, AS AMEN CREASE THE TOTAL NU OTHORIZED SHARES OF MON STOCK FROM 250, ES TO 500,000,000 SHAI II) MAKE CERTAIN MID NISTRATIVE CHANGES	DED, TO JMBER F 000,000 RES, NOR	anagement	For F	or	
Accoun Number		Account Name	Internal Custo Account	odian Ballot Shares	Unavail Shares	able Vote Date	Date Confirmed
997GL0	Q	GLQ	STAT STRE 997GLQ TRUS CO	EET K 10,700	0	26-Apr-2017	07-Jun-2017
997GL0	О	GLO	STAT STRE BANK 997GL TRUS CO	EET K 15,500	0	26-Apr-2017	07-Jun-2017
997AL4	47	GLQ BNP PLEDGE	STAT STRE 997AL TRUS CO	EET K 100	7,400	26-Apr-2017	07-Jun-2017
997AL4	49	GLO BNP PLEDGE	STAT STRE BANK 997AL 49 TRUS CO	EET K 100	11,800	26-Apr-2017	07-Jun-2017
997AL0	61	GLQ MS PLEDGE	STAT STRE BANK 997AL&I TRUS CO	EET K 4,100	0	26-Apr-2017	07-Jun-2017
SALES	SFORCE	.COM, INC.					
Security	у	79466L302			Meeting Type	Annual	

			Olough Global	Dividend &			
Ticker S	Symbol	CRM			Meeting D	ate	06-Jun-2017
ISIN		US79466L3024			Agenda		934606178 - Management
Record	Date	12-Apr-2017			Holding R Date	econ	12-Apr-2017
City /	Country	,	/ United States		Vote Dead Date	lline	05-Jun-2017
SEDOL	L(s)				Quick Cod	le	
Item	Proposa	1		Proposed by	ote	For/Aga Manage	
1A.	ELECT BENIO	ION OF DIRECTO FF	R: MARC	Managemen	t For	For	
1B.	ELECT BLOCK	ION OF DIRECTO	R: KEITH	Managemen	t For	For	
1C.	ELECT.	ION OF DIRECTO AY	R: CRAIG	Managemen	t For	For	
1D.	ELECT HASSE	ION OF DIRECTO NFELD	R: ALAN	Managemen	t For	For	
1E.	ELECT KROES	ION OF DIRECTO	R: NEELIE	Managemen	t For	For	
1F.	ELECT POWEI	ION OF DIRECTO LL	R: COLIN	Managemen	t For	For	
1G.	ELECT ROBER	ION OF DIRECTO TSON	R: SANFORD	Managemen	t For	For	
1H.	ELECT ROOS	ION OF DIRECTO	R: JOHN V.	Managemen	t For	For	
1I.		ION OF DIRECTO INGTON	R: ROBIN	Managemen	t For	For	
1J.	ELECT WEBB	ION OF DIRECTO	R: MAYNARD	Managemen	t For	For	
1K.	ELECT WOJCI	ION OF DIRECTO CKI	R: SUSAN	Managemen	t For	For	
2.	EQUIT INCLUI NUMBI	VAL TO AMEND ( Y INCENTIVE PLA DING TO INCREA ER OF SHARES AU RANT BY 37 MILL	AN, SE THE JTHORIZED	Managemen	t For	For	
3.	EMPLO TO INC SHARE EMPLO	VAL TO AMEND O OYEE STOCK PURC CREASE THE NUM OS AUTHORIZED F OYEE PURCHASE ON SHARES.	CHASE PLAN BER OF FOR	Managemen	t For	For	
4.		CATION OF THE NTMENT OF ERNS	ST & YOUNG	Managemen	t For	For	

LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING JANUARY 31, 2018. TO APPROVE, ON AN ADVISORY BASIS, THE FISCAL 2017 5. Management For For COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS. TO RECOMMEND, BY NON-BINDING VOTE, THE FREQUENCY OF 6. Management 3 Years Against EXECUTIVE COMPENSATION VOTES. A STOCKHOLDER PROPOSAL REQUESTING, ON AN ADVISORY BASIS, ACTION TO ALLOW 7. Shareholder Against For STOCKHOLDERS TO REQUEST SPECIAL MEETINGS OF

STOCKHOLDERS.

Account Number	Account Name	( 'nictodian	Ballot Shares	Unavail Shares	able Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET BANK 997GL TRUST CO	15,100	0	27-Apr-2017	07-Jun-2017
997GLO	GLO	STATE STREET P97GL TRUST CO	28,400	0	27-Apr-2017	07-Jun-2017
997AL53	GLO MS PLEDGE	STATE STREET BANK 997AL 33 TRUST CO	10,000	0	27-Apr-2017	07-Jun-2017
BIOGEN INC.						
Security	09062X103		Meetin	g Type	Annual	
Ticker Symbol	BIIB		Meetin	g Date	07-Jun-2017	
ISIN	US09062X1037		Agend	a	934600568 -	Management
Record Date	10-Apr-2017		Holdin Date	g Recon	10-Apr-2017	

City /	( 'ountry /	Jnited States		Vote Dead	line	06-Jun-2017
SEDOL	$\mathcal{L}(\mathbf{s})$			Quick Cod	e	
Item	Proposal		Proposed by	ote	For/Aga Manage	
1A.	ELECTION OF DIRECTOR: ALEXANDER J. DENNER		Managemen	t For	For	
1B.	ELECTION OF DIRECTOR: CAD. DORSA	AROLINE	Managemen	t For	For	
1C.	ELECTION OF DIRECTOR: NA LEAMING	ANCY L.	Managemen	t For	For	
1D.	ELECTION OF DIRECTOR: RI C. MULLIGAN	ICHARD	Managemen	t For	For	
1E.	ELECTION OF DIRECTOR: ROW, PANGIA	OBERT	Managemen	t For	For	
1F.	ELECTION OF DIRECTOR: ST PAPADOPOULOS	ΓELIOS	Managemen	t For	For	
1G.	ELECTION OF DIRECTOR: BIPOSNER	RIAN S.	Managemen	t For	For	
1H.	ELECTION OF DIRECTOR: EF	RIC K.	Managemen	t For	For	
1I.	ELECTION OF DIRECTOR: LY SCHENK	YNN	Managemen	t For	For	
1J.	ELECTION OF DIRECTOR: ST A. SHERWIN	ГЕРНЕМ	Managemen	t For	For	
1K.	ELECTION OF DIRECTOR: M VOUNATSOS	ICHEL	Managemen	t For	For	
2.	TO RATIFY THE SELECTION PRICEWATERHOUSECOOPE AS BIOGEN INC.'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017	RS LLP DENT UNTING R	Managemen	t For	For	
3.	SAY ON PAY - TO APPROVE ADVISORY VOTE ON EXECU COMPENSATION.		Managemen	t For	For	
4.	SAY WHEN ON PAY - TO API AN ADVISORY VOTE ON TH FREQUENCY OF THE ADVIS- VOTE ON EXECUTIVE COMPENSATION.	Е	Managemen	t 3 Years	Aga	inst
5.	TO APPROVE THE BIOGEN IS OMNIBUS EQUITY PLAN.	NC. 2017	Managemen	t For	For	

Account Number	Account Name	Internal Custodia Account	Ballot Shares	Unavaila Shares	able Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	STATE STREET BANK 997AL 45 TRUST CO	240	1,500	27-Apr-2017	08-Jun-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 TRUST CO	190	11,600	27-Apr-2017	08-Jun-2017
997AL49	GLO BNP PLEDGE	STATE STREET BANK 997AL 49 TRUST CO	570	17,200	27-Apr-2017	08-Jun-2017
997AL61	GLQ MS PLEDGE	STATE STREET BANK 997AL&I TRUST CO	2,000	0	27-Apr-2017	08-Jun-2017
ALPHABET	INC					
Security	02079K305		Meeti	ng Type	Annual	
Ticker Symbo	ol GOOGL		Meeti	ng Date	07-Jun-2017	
ISIN	US02079K3059		Agend		934604946 -	Management
Record Date	19-Apr-2017		Holdi Date	ng Recon	19-Apr-2017	
City / Cour	itry /	nited tates	Vote I Date	Deadline	06-Jun-2017	
SEDOL(s)			Quick	Code		
Item Prop	osal	Propo by	osed Vote		against gement	
1. DIR	ECTOR	Mana	gement			
1	LARRY PAGE		For	Fo	or	
2	SERGEY BRIN		For	Fo	or	
3	ERIC E. SCHMIDT		For	Fo	or	
4	L. JOHN DOERR		For	Fo	or	
5	ROGER W. FERGUSON	, JR.	For	Fo	or	
6	DIANE B. GREENE		For	Fo	or	

	7 JOHN L. HENNESSY	For	For
	8 ANN MATHER	For	For
	9 ALAN R. MULALLY	For	For
	10 PAUL S. OTELLINI	For	For
	11 K. RAM SHRIRAM	For	For
	12 SHIRLEY M. TILGHMAN	For	For
2.	THE RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS ALPHABET'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management For	For
3.	THE APPROVAL OF AN AMENDMENT TO ALPHABET'S 2012 STOCK PLAN TO INCREASE THE SHARE RESERVE BY 15,000,000 SHARES OF CLASS C CAPITAL STOCK.	Management For	For
4.	THE APPROVAL OF THE 2016 COMPENSATION AWARDED TO NAMED EXECUTIVE OFFICERS.	Management For	For
5.	THE FREQUENCY OF FUTURE STOCKHOLDER ADVISORY VOTES REGARDING COMPENSATION AWARDED TO NAMED EXECUTIVE OFFICERS.	Management 3 Years	For
6.	A STOCKHOLDER PROPOSAL REGARDING EQUAL SHAREHOLDER VOTING, IF PROPERLY PRESENTED AT THE MEETING.	Shareholder Against	For
7.	A STOCKHOLDER PROPOSAL REGARDING A LOBBYING REPORT, IF PROPERLY PRESENTED AT THE MEETING.	Shareholder Against	For
8.	A STOCKHOLDER PROPOSAL REGARDING A POLITICAL CONTRIBUTIONS REPORT, IF PROPERLY PRESENTED AT THE MEETING.	Shareholder Against	For
9.	A STOCKHOLDER PROPOSAL REGARDING A REPORT ON GENDER PAY, IF PROPERLY PRESENTED AT THE MEETING.	Shareholder Against	For
10.	A STOCKHOLDER PROPOSAL REGARDING A CHARITABLE	Shareholder Against	For

CONTRIBUTIONS REPORT, IF PROPERLY PRESENTED AT THE MEETING.

A STOCKHOLDER PROPOSAL

REGARDING THE IMPLEMENTATION

11. OF "HOLY LAND PRINCIPLES," IF Shareholder Against For PROPERLY PRESENTED AT THE

MEETING.

A STOCKHOLDER PROPOSAL

12. REGARDING A REPORT ON "FAKE NEWS," IF PROPERLY PRESENTED

Shareholder Against Fe

For

AT THE MEETING.

Account Number	Account Name	Internal Custodiai Account	Ballot Shares	Unavaila Shares	able Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET 997GLQ TRUST CO	2,000	0	29-Apr-2017	08-Jun-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AI 47 TRUST CO	883	0	29-Apr-2017	08-Jun-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AI 49 TRUST CO	167	8,500	29-Apr-2017	08-Jun-2017
997AL61	GLQ MS PLEDGE	STATE STREET 997AL 61 TRUST CO	500	0	29-Apr-2017	08-Jun-2017
CREDIT ACC	EPTANCE CORPORATIO	N				

#### CREDIT ACCEPTANCE CORPORATION

Security	225310101	Meeting Type	Annual
Ticker Symbol	CACC	Meeting Date	07-Jun-2017
ISIN	US2253101016	Agenda	934606142 - Management
Record Date	13-Apr-2017	Holding Recon Date	13-Apr-2017

City /	Count	rry /	United States		Vote Dead Date	line	06-Jun-2017
SEDOL	$\iota(s)$				Quick Cod	e	
Item	Propo	sal		Proposed by	Vote	For/Ag Manag	
1.	DIRE	CTOR		Managem	ent		
	1	GLENDA J. FLANAG	AN		For	For	
	2	BRETT A. ROBERTS			For	For	
	3	THOMAS N. TRYFOR	ROS		For	For	
	4	SCOTT J. VASSALLU	JZZO		For	For	
2.	NAM	ISORY VOTE TO APPR ED EXECUTIVE OFFIC PENSATION.		Managem	ent For	For	
3.	FREQ VOTE EXEC	SORY VOTE ON THE QUENCY OF FUTURE A ES TO APPROVE NAME CUTIVE OFFICER PENSATION.		Managem	ent 3 Years	Aga	ainst
4.	OF GI CRED CORF REGIS	FICATION OF THE SEL RANT THORNTON LL DIT ACCEPTANCE PORATION'S INDEPEN STERED PUBLIC ACC FOR 2017.	P AS IDENT	Managem	ent For	For	

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavaila Shares	able Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET 997GLQ TRUST CO	8,800	0	28-Apr-2017	08-Jun-2017
997GLO	GLO	STATE STREET 997GL& TRUST CO	15,000	0	28-Apr-2017	08-Jun-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 TRUST CO	162	9,900	28-Apr-2017	08-Jun-2017

997AL	49	GLO BNP PLEDO	S SE 997AI <mark>&amp;</mark> T	TATE TREET ANK 2 TRUST CO	100		25,562	28-Apr-2017	08-Jun-2017
997AL	53	GLO MS PLEDGI	S E 997AI <mark>8</mark> T	TATE TREET ANK 23 RUST CO	6,950	)	0	28-Apr-2017	08-Jun-2017
VERT	EX PHAR	MACEUTICALS II	NCORPORAT	ED					
Securit	y	92532F100				Meeting	g Type	Annual	
Ticker	Symbol	VRTX				Meeting	g Date	08-Jun-2017	7
ISIN		US92532F1003				Agenda		934615278	- Management
Record	Date	12-Apr-2017				Holding Date	g Recon	12-Apr-201	7
City /	Country	,	/ United States			Vote De Date	eadline	07-Jun-2017	7
SEDO	L(s)					Quick C	Code		
Item	Proposa	1		Propo by	osed Vo	ote		against gement	
1.	DIREC	TOR		Mana	gemen	t			
	1 .	ALAN GARBER				For	Fo	or	
	2 1	MARGARET G. MO	CGLYNN			For	Fo	or	
	3	WILLIAM D. YOU	NG			For	Fo	or	
2.	AND B THE DI	DMENTS TO OUR Y-LAWS TO PROV ECLASSIFICATION O OF DIRECTORS.	IDE FOR	Mana	gemen	t For	Fo	or	
3.	OF OUT PLAN, INCRE AVAIL	DMENT AND REST R 2013 STOCK AN TO AMONG OTHE ASE THE NUMBE ABLE UNDER THI LLION SHARES.	D OPTION ER THINGS, R OF SHARES	Mana	gemen	t For	Fo	or	
4.	APPOII LLP AS REGIST FIRM F	CATION OF THE NTMENT OF ERNS OUR INDEPENDITERED PUBLIC ACTOR THE YEAR ENTER 11, 2017.	ENT CCOUNTING	Mana	gemen	t For	Fo	or	
5.	ADVIS	ORY VOTE ON OU	JR NAMED	Mana	gemen	t For	Fo	or	

EXECUTIVE OFFICER COMPENSATION.

ADVISORY VOTE ON THE

6. FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE Management 3 Years Against

COMPENSATION PROGRAM.

SHAREHOLDER PROPOSAL, IF PROPERLY PRESENTED AT THE MEETING, REQUESTING THAT WE

7. TAKE STEPS NECESSARY TO Shareholder Against For

ELIMINATE SUPERMAJORITY
PROVISIONS FROM OUR CHARTER

AND BY-LAWS.

SHAREHOLDER PROPOSAL, IF PROPERLY PRESENTED AT THE

8. MEETING, REQUESTING THAT WE PREPARE A REPORT ON OUR Shareholder Against For

POLICIES AND ACTIVITIES WITH

RESPECT TO LOBBYING.

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavaila Shares	ble Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET 997GL& TRUST CO	10,300	0	03-May-2017	09-Jun-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL 49 TRUST CO	25,800	0	03-May-2017	09-Jun-2017

#### KURA ONCOLOGY, INC.

Security	50127T109	Meeting Type	Annual
Ticker Symbol	KURA	Meeting Date	09-Jun-2017

ISIN US50127T1097 Agenda 934606419 - Management

Record Date 11-Apr-2017 Holding Recon Date 11-Apr-2017

, United Vote Deadline

City / Country / States Date 08-Jun-2017

SEDOL(s) Quick Code

Item Proposal Proposed by Vote For/Against Management

1.	DIREC	TOR		Manag	gement	t			
	1 '	TROY E. WILSON, PHD	, JD			For	Fo	or	
	2	FAHEEM HASNAIN				For	Fo	or	
	3 1	ROBERT E. HOFFMAN				For	Fo	or	
	4	THOMAS MALLEY				For	Fo	or	
	5	STEVEN H. STEIN, M.D	) <b>.</b>			For	Fo	or	
2.	YOUNG REGIST FIRM F	TIFY SELECTION OF E G LLP AS OUR INDEPE TERED PUBLIC ACCOU FOR OUR FISCAL YEAR IG DECEMBER 31, 2017	NDENT JNTING R	Manag	gement	t For	Fo	or	
3.	OUR A CERTII AS AM	PROVE AN AMENDME MENDED AND RESTA' FICATE OF INCORPOR IENDED, AS SET FORTI Y STATEMENT.	TED ATION,	Manag E	gement	t For	Fo	or	
Accour Numbe		Account Name	Internal Accoun	ustodiai	Ballo Share		Unavaila Shares	able Vote Date	Date Confirmed
997GL	O	GLO	S' 997GL & T	TATE TREET ANK C RUST	51,63	66	0	26-Apr-2017	12-Jun-2017
997AL	47	GLQ BNP PLEDGE	S' 997AI& T	TATE TREET ANK TRUST	100		21,000	26-Apr-2017	12-Jun-2017
T-MOI	BILE US,	INC.							
Securit	у	872590104				Meeting	g Type	Annual	
Ticker	Symbol	TMUS				Meeting	g Date	13-Jun-2017	
ISIN		US8725901040				Agenda		934605936 -	Management
Record	Date	17-Apr-2017				Holding Date	Recon	17-Apr-2017	
City /	Country	J /	nited tates			Vote De Date	eadline	12-Jun-2017	
SEDOI	$\mathcal{L}(s)$					Quick C	Code		
Item	Proposa	al		Propos by	sed Vo	ote		against agement	
1.	DIREC	TOR		Manag	gement	t			

	1	W. MICHAEL BARNES	For	For
	2	THOMAS DANNENFELDT	For	For
	3	SRIKANT M. DATAR	For	For
	4	LAWRENCE H. GUFFEY	For	For
	5	TIMOTHEUS HOTTGES	For	For
	6	BRUNO JACOBFEUERBORN	For	For
	7	RAPHAEL KUBLER	For	For
	8	THORSTEN LANGHEIM	For	For
	9	JOHN J. LEGERE	For	For
	10	TERESA A. TAYLOR	For	For
	11	KELVIN R. WESTBROOK	For	For
2.	APPO PRICE AS TH REGIS	FICATION OF THE INTMENT OF EWATERHOUSECOOPERS LLP HE COMPANY'S INDEPENDENT STERED PUBLIC ACCOUNTING FOR FISCAL YEAR 2017.	Management For	For
3.	COMI	SORY VOTE TO APPROVE THE PENSATION PROVIDED TO THE PANY'S NAMED EXECUTIVE CERS FOR 2016.	Management For	For
4.	FREQ VOTE PROV	SORY VOTE ON THE UENCY OF FUTURE ADVISORY OF SOME ADVISORY OF THE COMPENSATION TO THE COMPANY'S ED EXECUTIVE OFFICERS.	Management 3 Years	For
5.		KHOLDER PROPOSAL FOR EMENTATION OF PROXY ESS.	Shareholder Against	For
6.	LIMIT VEST THE I	KHOLDER PROPOSAL FOR FATIONS ON ACCELERATED ING OF EQUITY AWARDS IN EVENT OF A CHANGE OF TROL.	Shareholder Against	For
7.	AME	KHOLDER PROPOSAL FOR AN NDMENT OF THE COMPANY'S VBACK POLICY.	Shareholder Against	For

Account Number	Account Name	Internal Ballot Account Shares	Unavailable Shares Vote Date	Date Confirmed
997GLV	GLV	997GI <b>ST</b> ATE 6,300 STREET BANK &	0 28-Apr-2017	14-Jun-2017

				CRUST				
997GL	Q	GLQ	S 997GL T	TATE TREET SANK P TRUST	15,700	0	28-Apr-2017	14-Jun-2017
997AL	47	GLQ BNP PLEDGE	S 997AI T	TATE TREET AT TRUST	19,500	0	28-Apr-2017	14-Jun-2017
997AL	49	GLO BNP PLEDGE	S 997AL T	TATE TREET BANK 29 TRUST CO	100	77,800	28-Apr-2017	14-Jun-2017
997AL	61	GLQ MS PLEDGE	S 997AL S T	TATE TREET SANK TRUST	10,000	0	28-Apr-2017	14-Jun-2017
CELGE	ENE COI	RPORATION						
Security	y	151020104			M	eeting Type	Annual	
Ticker	Symbol	CELG			M	eeting Date	14-Jun-2017	
ISIN		US1510201049			Ag	genda	934609023 -	Management
Record	Date	20-Apr-2017			Ho Da	olding Recon	20-Apr-2017	
City /	Countr	v /	Jnited tates		Vo Da	ote Deadline ate	13-Jun-2017	
SEDOI	L(s)				Qι	uick Code		
Item	Propos	al		Propos by	sed Vote		Against agement	
1.	DIREC	CTOR		Manag	gement			
		ROBERT J. HUGIN			Fo		or	
		MARK J. ALLES			Fo		or	
		RICHARD W BARKER			Fo		or	
		MICHAEL D. CASEY			Fo		or	
		MICHAEL D. CASEY			Fo			
	6	CARRIE S. COX			Fo	ı F	or	

	7	MICHAEL A. FRIEDMAN, MD	For	For
	8	JULIA A. HALLER, M.D.	For	For
	9	GILLA S. KAPLAN, PH.D.	For	For
	10	JAMES J. LOUGHLIN	For	For
	11	ERNEST MARIO, PH.D.	For	For
2.	APPO THE O REGIS	FICATION OF THE INTMENT OF KPMG LLP AS COMPANY'S INDEPENDENT STERED PUBLIC ACCOUNTING FOR THE FISCAL YEAR NG DECEMBER 31, 2017.	Management For	For
3.	AND	OVAL OF AN AMENDMENT RESTATEMENT OF THE PANY'S STOCK INCENTIVE I.	Management For	For
4.	OF EX	OVAL, BY NON-BINDING VOTE, KECUTIVE COMPENSATION OF COMPANY'S NAMED CUTIVE OFFICERS.	Management For	For
5.	VOTE	ECOMMEND, BY NON-BINDING E, THE FREQUENCY OF CUTIVE COMPENSATION ES.	Management 3 Years	Against
6.	REQU LIMIT TO VO ANNU TO CI MATT	KHOLDER PROPOSAL TO JEST A BY-LAW PROVISION TING MANAGEMENT'S ACCESS OTE TALLIES PRIOR TO THE JAL MEETING WITH RESPECT ERTAIN EXECUTIVE PAY TERS, DESCRIBED IN MORE JIL IN THE PROXY STATEMENT.	Shareholder Against	For

Account Number	Account Name	Internal Custodia Account	Ballot <sup>n</sup> Shares	Unavail Shares	able Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET BANK 997GL TRUST CO	14,900	0	28-Apr-2017	15-Jun-2017
997GLO	GLO	STATE STREET 997GLO TRUST CO	17,200	0	28-Apr-2017	15-Jun-2017

STATE STREET

997AL49 GLO BNP PLEDGE 997AL49 100 19,900 28-Apr-2017 15-Jun-2017
TRUST
CO

#### SONY CORPORATION

Security	J76379106	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	15-Jun-2017
ISIN	JP3435000009	Agenda	708212484 - Management
Record Date	31-Mar-2017	Holding Recon Date	31-Mar-2017
City / Country	TOKYO / Japan	Vote Deadline Date	07-Jun-2017
SEDOL(s)	0821687 - 4823203 - 5485602 - 6821506 - B01DR28 -	Quick Code	67580

Item	Proposal	Proposed by Vote	For/Against Management
	Please reference meeting materials.	Non-Voting	
1.1	Appoint a Director Hirai, Kazuo	Management For	For
1.2	Appoint a Director Yoshida, Kenichiro	Management For	For
1.3	Appoint a Director Nagayama, Osamu	Management For	For
1.4	Appoint a Director Nimura, Takaaki	Management For	For
1.5	Appoint a Director Harada, Eiko	Management For	For
1.6	Appoint a Director Tim Schaaff	Management For	For
1.7	Appoint a Director Matsunaga, Kazuo	Management For	For
1.8	Appoint a Director Miyata, Koichi	Management For	For
1.9	Appoint a Director John V. Roos	Management For	For
1.10	Appoint a Director Sakurai, Eriko	Management For	For
1.11	Appoint a Director Minakawa, Kunihito	Management For	For
1.12	Appoint a Director Sumi, Shuzo	Management For	For
2	Approve Issuance of Share Acquisition Rights as Stock Options for Executive Officers and Employees of the Company and Directors and Employees of the Company's Subsidiaries	Management For	For

B0K3NH6 - B0ZMMV5

Account	Account Name	Internal	Ballot	Unavailable Vote Date	Date
Number	Account Name	Account	Shares	Shares Vote Date	Confirmed

GLV		GLV		STATE STREET BANK	27,700	0	22-May-2017	14-Jun-2017
GLQ		GLQ		STATE STREET BANK	44,600	0	22-May-2017	14-Jun-2017
GLO		GLO		STATE STREET BANK	113,000	0	22-May-2017	14-Jun-2017
EDITA	S MEDIO	CINE INC						
Security	y	28106W103			Meeti	ng Type	Annual	
Ticker	Symbol	EDIT			Meeti	ng Date	15-Jun-2017	
ISIN		US28106W1036			Agen	da	934602598 -	Management
Record	Date	18-Apr-2017			Holdi Date	ng Recon	18-Apr-2017	
City /	Country	7	United States		Vote 1 Date	Deadline	14-Jun-2017	
SEDOI	ـ(s)				Quick	Code		
Item	Proposa	1		Propose by	ed Vote		against agement	
1.	DIREC	TOR		Manage	ement			
	1 .	ALEXIS BORISY			For	Fo	or	
	2	DOUGLAS G. COLE	, M.D.		For	Fo	or	
	3	A.K. VAISHNAW M	D PHD		For	Fo	or	
2.	OF ERM COMPA REGIST FIRM F	ICATION OF THE SENST & YOUNG LLP ANY'S INDEPENDED FERED PUBLIC ACC FOR THE FISCAL YE G DECEMBER 31, 2	AS THE NT COUNTING EAR	Managa	ement For	Fo	or	

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavail Shares	able Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET 997GL TRUST CO	62,300	0	27-Apr-2017	16-Jun-2017
997GLO	GLO	997GISTATE STREET BANK & TRUST	54,800	0	27-Apr-2017	16-Jun-2017

	Edgar Filing: Clough G	lobal Divi	dend & Ir	ncome Fund - F	Form N-PX
		CO			
997AL49	GLO BNP PLEDGE 997	STATE STREE BANK AL49 TRUST CO	T 75,000	25,000	27-Apr-2017 16-Jun-2017
YELP INC					
Security	985817105		I	Meeting Type	Annual
Ticker Symbol	YELP		1	Meeting Date	15-Jun-2017
ISIN	US9858171054		I	Agenda	934613616 - Management
Record Date	17-Apr-2017			Holding Recon Date	17-Apr-2017
City / Count	ry / United States			Vote Deadline Date	14-Jun-2017
SEDOL(s)			(	Quick Code	
Item Propo	sal	Prop by	oosed Vot	΄Α	Against ngement
1. DIRE	CTOR	Mar	agement		
1	DIANE M. IRVINE		I	For Fo	or
2	MARIAM NAFICY		I	For Fo	or
2. INDE	ATIFY THE SELECTION OF DITTE & TOUCHE LLP AS YEI PENDENT REGISTERED PUBDUNTING FIRM FOR THE YEARS DECEMBER 31, 2017.	LIC Mar	agement I	For Fo	or
	PPROVE, ON AN ADVISORY S, THE COMPENSATION OF				

3.	YELP'S NAMED EXECUTIVE OFFICERS, AS DISCLOSED IN THE	Management For	For
	ACCOMPANYING PROXY STATEMENT.		

Account Number	Account Name	Internal Ballot Custodian Shares	Unavailable Shares	Date Confirmed
997GLQ	GLQ	STATE STREET 997GL  TRUST CO	0 02-May-2017	16-Jun-2017
997GLO	GLO	997GISOFATE 40,000 STREET BANK	0 02-May-2017	16-Jun-2017

		& TRUST CO				
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 TRUST CO	99,400	38,900	02-May-2017	16-Jun-2017
997AL49	GLO BNP PLEDGE	STATE STREET BANK 997AL 49 TRUST CO	100	267,600	02-May-2017	16-Jun-2017
997AL53	GLO MS PLEDGE	STATE STREET STREET 997AL 33 TRUST CO	40,000	0	02-May-2017	16-Jun-2017
CARDIOME PH	ARMA CORP.					
Security	14159U301		Meet	ing Type	Annual and S	pecial Meeting
Ticker Symbol	CRME		Meet	ing Date	20-Jun-2017	
ISIN	CA14159U3010		Ager	nda	934638098 -	Management
Record Date	16-May-2017		Hold Date	ing Recon	16-May-2017	,
City / Country	/ C	anada	Vote Date	Deadline	15-Jun-2017	
SEDOL(s)			Quic	k Code		

Item	Propo	osal	Proposed by Vot	e	For/Against Management
01	DIRE	ECTOR	Management		
	1	RICHARD M. GLICKMAN	I	For	For
	2	W. JAMES O'SHEA	I	For	For
	3	WILLIAM L. HUNTER	I	For	For
	4	MARK H. CORRIGAN	I	For	For
	5	ARTHUR H. WILLMS	I	For	For
	6	ROBERT J. MEYER	I	For	For
02	AUD	DINTMENT OF KPMG LLP AS DITORS OF THE CORPORATION THE ENSUING YEAR AND	Management I	For	For

AUTHORIZING THE DIRECTORS TO FIX THEIR REMUNERATION. TO APPROVE AN ORDINARY RESOLUTION APPROVING CERTAIN AMENDMENTS TO AND **UNALLOCATED ENTITLEMENTS** UNDER THE CORPORATION'S 03 Management For For RESTRICTED SHARE UNIT PLAN AS MORE PARTICULARLY SET OUT IN THE ACCOMPANYING MANAGEMENT INFORMATION CIRCULAR. TO APPROVE AN ORDINARY RESOLUTION APPROVING CERTAIN AMENDMENTS TO AND UNALLOCATED OPTIONS UNDER THE CORPORATION'S INCENTIVE Management For 04 For STOCK OPTION PLAN AS MORE PARTICULARLY SET OUT IN THE ACCOMPANYING MANAGEMENT INFORMATION CIRCULAR.

Account Number	Account Name	Internal Custodiai Account	Ballot Shares	Unavaila Shares	ible Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	STATE STREET 997AL45 TRUST CO	100	149,100	31-May-2017	21-Jun-2017
997AL47	GLQ BNP PLEDGE	STATE STREET BANK 997AL47 TRUST CO	100	418,100	31-May-2017	21-Jun-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL49 TRUST CO	1,042,181	0	31-May-2017	21-Jun-2017
BLACKSTONE MORTGAGE TRUST, INC						
Security	09257W100		Meetin	g Type	Annual	
Ticker Symbol	BXMT		Meetin	g Date	21-Jun-2017	
ISIN	US09257W1009		Agenda	a	934603881 -	Management

Record Date	13-Apr-2017		Holding Recon Date	13-Apr-2017
City / Country		/ United States	Vote Deadline Date	20-Jun-2017
SEDOL(s)			Quick Code	

SEDOL(s)		Quick Code		
Item	Proposal	Proposed by Vote	For/Against Management	
1.	DIRECTOR	Management		
	1 MICHAEL B. NASH	For	For	
	2 STEPHEN D. PLAVIN	For	For	
	3 LEONARD W. COTTON	For	For	
	4 THOMAS E. DOBROWSKI	For	For	
	5 MARTIN L. EDELMAN	For	For	
	6 HENRY N. NASSAU	For	For	
	7 JONATHAN L. POLLACK	For	For	
	8 LYNNE B. SAGALYN	For	For	
2.	RATIFICATION OF THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.		For	
3.	ADVISORY VOTE ON EXECUTIVE COMPENSATION: TO APPROVE IN A NON- BINDING, ADVISORY VOTE, THE COMPENSATION PAID TO OUR NAMED EXECUTIVE OFFICERS.	Management For	For	

Account Number	Account Name	Internal Custodian Ballo Account Share		able Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET  997GL  TRUST CO	00 0	28-Apr-2017	22-Jun-2017
997GLO	GLO	STATE STREET 997GLO 58,20 TRUST CO	00 0	28-Apr-2017	22-Jun-2017
997AL45	GLV BNP PLEDGE	997AIS#5ATE 32,50 STREET	39,700	28-Apr-2017	22-Jun-2017

		BANK & TRUST CO				
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL 47 TRUST CO	80,100	69,900	28-Apr-2017	22-Jun-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL 49 TRUST CO	100	206,100	28-Apr-2017	22-Jun-2017
997AL53	GLO MS PLEDGE	STATE STREET 997AL 33 TRUST CO	50,000	0	28-Apr-2017	22-Jun-2017
997AL60	GLV MS PLEDGE	STATE STREET BANK 997AL 60 TRUST CO	5,000	0	28-Apr-2017	22-Jun-2017
997AL61	GLQ MS PLEDGE	STATE STREET BANK 997AL & TRUST CO	10,800	0	28-Apr-2017	22-Jun-2017
SEMICONDUC	ΓOR MANUFACTURIN	G INTERNATIO	NAL CORP			
Security	G8020E119		Meetin	g Type	Annual Gene	eral Meeting
Ticker Symbol			Meetin	-	23-Jun-2017	
ISIN	KYG8020E1199		Agend		708261982 -	Management
Record Date	19-Jun-2017		Holdin Date	g Recon	19-Jun-2017	
City / Country	SHANGH AI / C	hina	Vote D Date	eadline	16-Jun-2017	
SEDOL(s)	BDFBM13 - BDFC8W9 BDH2T79 - BDRKV77 BDRKVB1 - BYZLGH BYZMKY2	-	Quick	Code		

Item	Proposal	Proposed Vote	For/Against Management
CMMT	PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 779586 DUE TO RESOLUTIONS-7 TO 15 ARE SINGLE ITEMS. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL BE-DISREGARDED AND YOU WILL NEED TO REINSTRUCT ON THIS MEETING NOTICE. THANK YOU	Non-Voting	
СММТ	PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR 'AGAINST' FOR- ALL RESOLUTIONS, ABSTAIN IS NOT A VOTING OPTION ON THIS MEETING	Non-Voting	
СММТ	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- (http://www.hkexnews.hk/listedco/listconev523/ltn20170523419.pdf)-,- (http://www.hkexnews.hk/listedco/listconev523/ltn20170523382.pdf)-AND- (http://www.hkexnews.hk/listedco/listconev531/ltn20170531819.pdf)	ws/sehk/2017/0	
1	TO RECEIVE AND CONSIDER THE AUDITED CONSOLIDATED FINANCIAL STATEMENTS AND THE REPORTS OF THE DIRECTORS OF THE COMPANY ("DIRECTOR(S)") AND THE AUDITORS OF THE COMPANY FOR THE YEAR ENDED 31 DECEMBER 2016	Management For	For
2.A	TO RE-ELECT DR. ZHOU ZIXUE AS AN EXECUTIVE DIRECTOR	Management For	For
2.B	TO RE-ELECT DR. TZU-YIN CHIU AS A NON- EXECUTIVE DIRECTOR	Management For	For
2.C	TO RE-ELECT DR. GAO YONGGANG AS AN EXECUTIVE DIRECTOR	Management For	For
2.D	TO RE-ELECT MR. WILLIAM TUDOR BROWN AS AN INDEPENDENT NON-EXECUTIVE DIRECTOR	Management For	For
2.E	TO RE-ELECT DR. TONG GUOHUA AS A NON- EXECUTIVE DIRECTOR	Management For	For

2.F	TO RE-ELECT DR. SHANG-YI CHIANG AS AN INDEPENDENT NON-EXECUTIVE DIRECTOR	Management For	For
2.G	TO RE-ELECT DR. JASON JINGSHENG CONG AS AN INDEPENDENT NON-EXECUTIVE DIRECTOR	Management For	For
2.H	TO AUTHORIZE THE BOARD OF DIRECTORS (THE "BOARD") TO FIX THEIR REMUNERATION	Management For	For
3	TO RE-APPOINT PRICEWATERHOUSECOOPERS AND PRICEWATERHOUSECOOPERS ZHONG TIAN LLP AS THE AUDITORS OF THE COMPANY FOR HONG KONG FINANCIAL REPORTING AND U.S. FINANCIAL REPORTING PURPOSES, RESPECTIVELY, AND TO AUTHORISE THE AUDIT COMMITTEE OF THE BOARD TO FIX THEIR REMUNERATION	Management For	For
4	TO GRANT A GENERAL MANDATE TO THE BOARD TO ALLOT, ISSUE, GRANT, DISTRIBUTE AND OTHERWISE DEAL WITH ADDITIONAL SHARES IN THE COMPANY, NOT EXCEEDING TWENTY PER CENT. OF THE NUMBER OF ISSUED SHARES OF THE COMPANY AT THE DATE OF THIS RESOLUTION	Management For	For
5	TO GRANT A GENERAL MANDATE TO THE BOARD TO REPURCHASE SHARES OF THE COMPANY, NOT EXCEEDING TEN PER CENT. OF THE NUMBER OF ISSUED SHARES OF THE COMPANY AT THE DATE OF THIS RESOLUTION	Management For	For
6	CONDITIONAL ON THE PASSING OF RESOLUTIONS 4 AND 5, TO AUTHORIZE THE BOARD TO EXERCISE THE POWERS TO ALLOT, ISSUE, GRANT, DISTRIBUTE AND OTHERWISE DEAL WITH THE ADDITIONAL AUTHORIZED BUT UNISSUED SHARES IN THE COMPANY REPURCHASED BY THE COMPANY	Management For	For
7		Management For	For

(A) TO AUTHORIZE THE INCREASE IN THE AUTHORISED SHARE CAPITAL OF THE COMPANY FROM USD 22,000,000 TO USD 42,000,000 BY THE CREATION OF AN ADDITIONAL 5.000.000.000 COMMON SHARES IN THE SHARE CAPITAL OF THE COMPANY; AND (B) TO AUTHORIZE ANY DIRECTOR OF THE COMPANY TO DO ALL SUCH ACTS ON BEHALF OF THE COMPANY AS HE/SHE MAY CONSIDER NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF, OR IN CONNECTION WITH, THE IMPLEMENTATION OF AND GIVING EFFECT TO THE INCREASE IN AUTHORIZED SHARE CAPITAL OF THE COMPANY

(A) TO AUTHORIZE THE REDUCTION OF THE AMOUNT STANDING TO THE CREDIT OF THE SHARE PREMIUM ACCOUNT OF THE COMPANY BY AN AMOUNT OF USD 910,849,175.17 AND TO AUTHORIZE THE DIRECTORS OF

8 THE COMPANY TO APPLY SUCH
AMOUNT TO ELIMINATE THE
ACCUMULATED LOSSES OF THE
COMPANY AS AT 31 DECEMBER 2016
IN THE SAME AMOUNT; AND (B) TO
AUTHORIZE ANY DIRECTOR OF THE
COMPANY

TO DO ALL ACTS ON BEHALF OF THE COMPANY AS HE/SHE MAY CONSIDER NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF, OR IN CONNECTION WITH, THE IMPLEMENTATION OF AND COMPLETION OF THE SHARE PREMIUM REDUCTION OF THE COMPANY

9 (A) TO APPROVE AND CONFIRM THE Management For PROPOSED GRANT OF 2,109,318 RSUS TO DR. TZU-YIN CHIU, THE FORMER CHIEF EXECUTIVE OFFICER OF THE COMPANY AND A NON-EXECUTIVE DIRECTOR, IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INCENTIVE PLAN, AND SUBJECT TO ALL APPLICABLE LAWS, RULES,

Management For

For

For

REGULATIONS AND THE OTHER

APPLICABLE DOCUMENTS; AND (B)

TO AUTHORIZE ANY DIRECTOR OF

THE COMPANY TO EXERCISE THE

POWERS OF THE COMPANY TO

ALLOT AND ISSUE THE COMMON

SHARES OF THE COMPANY

PURSUANT TO THE PROPOSED RSU

GRANT UNDER THE SPECIFIC

MANDATE GRANTED TO THE

**DIRECTORS BY THE** 

SHAREHOLDERS AT THE ANNUAL

GENERAL MEETING OF THE

COMPANY HELD ON 13 JUNE 2013 IN

ACCORDANCE WITH THE TERMS OF

THE 2014 EOUITY INVENTIVE PLAN

AND/OR TO DO ALL SUCH ACTS ON

BEHALF OF THE COMPANY AS

HE/SHE MAY CONSIDER

NECESSARY, DESIRABLE OR

EXPEDIENT FOR THE PURPOSE OF.

OR IN CONNECTION WITH THE

**IMPLEMENTATION AND** 

COMPLETION OF THE

TRANSACTIONS CONTEMPLATED

PURSUANT TO THE PROPOSED RSU

**GRANT** 

10 (A) TO APPROVE AND CONFIRM THE Management For

PROPOSED GRANT OF 62,500 RSUS

TO DR. CHEN SHANZHI, A

NON-EXECUTIVE DIRECTOR, IN

ACCORDANCE WITH THE TERMS OF

THE 2014 EQUITY INCENTIVE PLAN,

AND SUBJECT TO ALL APPLICABLE

LAWS, RULES, REGULATIONS AND

THE OTHER APPLICABLE

DOCUMENTS; AND (B) TO

AUTHORIZE ANY DIRECTOR OF THE

COMPANY TO EXERCISE THE

POWERS OF THE COMPANY TO

ALLOT AND ISSUE THE COMMON

SHARES OF THE COMPANY

PURSUANT TO THE PROPOSED RSU

GRANT UNDER THE SPECIFIC

MANDATE GRANTED TO THE

**DIRECTORS BY THE** 

SHAREHOLDERS AT THE ANNUAL

GENERAL MEETING OF THE

COMPANY HELD ON 13 JUNE 2013 IN

ACCORDANCE WITH THE TERMS OF

For

THE 2014 EQUITY INVENTIVE PLAN AND/OR TO DO ALL SUCH ACTS ON BEHALF OF THE COMPANY AS HE/SHE MAY CONSIDER NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF, OR IN CONNECTION WITH THE IMPLEMENTATION AND COMPLETION OF THE TRANSACTIONS CONTEMPLATED PURSUANT TO THE PROPOSED RSU GRANT

(A) TO APPROVE AND CONFIRM THE PROPOSED GRANT OF 62,500 RSUS TO MR. LIP-BU TAN, AN INDEPENDENT NON-EXECUTIVE DIRECTOR, IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INCENTIVE PLAN, AND SUBJECT TO ALL APPLICABLE LAWS, RULES, REGULATIONS AND THE OTHER APPLICABLE DOCUMENTS; AND (B) TO AUTHORIZE ANY DIRECTOR OF THE COMPANY TO EXERCISE THE POWERS OF THE COMPANY TO ALLOT AND ISSUE THE COMMON SHARES OF THE COMPANY PURSUANT TO THE PROPOSED RSU GRANT UNDER THE SPECIFIC

11 MANDATE GRANTED TO THE

**DIRECTORS BY THE** 

SHAREHOLDERS AT THE ANNUAL

GENERAL MEETING OF THE

COMPANY HELD ON 13 JUNE 2013 IN

ACCORDANCE WITH THE TERMS OF

THE 2014 EQUITY INVENTIVE PLAN

AND/OR TO DO ALL SUCH ACTS ON

BEHALF OF THE COMPANY AS

HE/SHE MAY CONSIDER

NECESSARY, DESIRABLE OR

EXPEDIENT FOR THE PURPOSE OF,

OR IN CONNECTION WITH THE

**IMPLEMENTATION AND** 

COMPLETION OF THE

TRANSACTIONS CONTEMPLATED

PURSUANT TO THE PROPOSED RSU

**GRANT** 

12 (A) TO APPROVE AND CONFIRM THE Management For

PROPOSED GRANT OF 187,500 RSUS

TO DR. SHANG-YI CHIANG, AN

Management For For

For

124

INDEPENDENT NON-EXECUTIVE DIRECTOR, IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INCENTIVE PLAN, AND SUBJECT TO ALL APPLICABLE LAWS, RULES, REGULATIONS AND THE OTHER APPLICABLE DOCUMENTS; AND (B) TO AUTHORIZE ANY DIRECTOR OF THE COMPANY TO EXERCISE THE POWERS OF THE COMPANY TO ALLOT AND ISSUE THE COMMON SHARES OF THE COMPANY PURSUANT TO THE PROPOSED RSU GRANT UNDER THE SPECIFIC MANDATE GRANTED TO THE DIRECTORS BY THE SHAREHOLDERS AT THE ANNUAL GENERAL MEETING OF THE COMPANY HELD ON 13 JUNE 2013 IN ACCORDANCE WITH THE TERMS OF THE 2014 EOUITY INVENTIVE PLAN AND/OR TO DO ALL SUCH ACTS ON BEHALF OF THE COMPANY AS HE/SHE MAY CONSIDER NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF. OR IN CONNECTION WITH THE **IMPLEMENTATION AND COMPLETION OF THE** TRANSACTIONS CONTEMPLATED PURSUANT TO THE PROPOSED RSU **GRANT** PROPOSED GRANT OF 187,500 RSUS TO DR. TONG GUOHUA, A

(A) TO APPROVE AND CONFIRM THE NON-EXECUTIVE DIRECTOR, IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INCENTIVE PLAN, AND SUBJECT TO ALL APPLICABLE

13 LAWS, RULES, REGULATIONS AND THE OTHER APPLICABLE DOCUMENTS; AND (B) TO AUTHORIZE ANY DIRECTOR OF THE COMPANY TO EXERCISE THE POWERS OF THE COMPANY TO ALLOT AND ISSUE THE COMMON SHARES OF THE COMPANY

> PURSUANT TO THE PROPOSED RSU GRANT UNDER THE SPECIFIC MANDATE GRANTED TO THE

Management For For DIRECTORS BY THE

SHAREHOLDERS AT THE ANNUAL

GENERAL MEETING OF THE

COMPANY HELD ON 13 JUNE 2013 IN

ACCORDANCE WITH THE TERMS OF

THE 2014 EQUITY INVENTIVE PLAN

AND/OR TO DO ALL SUCH ACTS ON

BEHALF OF THE COMPANY AS

HE/SHE MAY CONSIDER

NECESSARY, DESIRABLE OR

EXPEDIENT FOR THE PURPOSE OF.

OR IN CONNECTION WITH THE

**IMPLEMENTATION AND** 

COMPLETION OF THE

TRANSACTIONS CONTEMPLATED

PURSUANT TO THE PROPOSED RSU

**GRANT** 

14 (A) TO APPROVE AND CONFIRM THE Management For

PROPOSED GRANT OF 187,500 RSUS

TO DR. JASON JINGSHENG CONG, AN

INDEPENDENT NON-EXECUTIVE

DIRECTOR, IN ACCORDANCE WITH

THE TERMS OF THE 2014 EQUITY

INCENTIVE PLAN, AND SUBJECT TO

ALL APPLICABLE LAWS, RULES,

REGULATIONS AND THE OTHER

APPLICABLE DOCUMENTS; AND (B)

TO AUTHORIZE ANY DIRECTOR OF

THE COMPANY TO EXERCISE THE

POWERS OF THE COMPANY TO

ALLOT AND ISSUE THE COMMON

SHARES OF THE COMPANY

PURSUANT TO THE PROPOSED RSU

GRANT UNDER THE SPECIFIC

MANDATE GRANTED TO THE

DIRECTORS BY THE

SHAREHOLDERS AT THE ANNUAL

GENERAL MEETING OF THE

COMPANY HELD ON 13 JUNE 2013 IN

ACCORDANCE WITH THE TERMS OF

THE 2014 EQUITY INVENTIVE PLAN

AND/OR TO DO ALL SUCH ACTS ON

BEHALF OF THE COMPANY AS

HE/SHE MAY CONSIDER

NECESSARY, DESIRABLE OR

EXPEDIENT FOR THE PURPOSE OF,

OR IN CONNECTION WITH THE

**IMPLEMENTATION AND** 

COMPLETION OF THE

TRANSACTIONS CONTEMPLATED

For

Management For

For

PURSUANT TO THE PROPOSED RSU GRANT

(A) TO APPROVE AND CONFIRM THE PROPOSED PAYMENT OF USD 688,000 TO DR.CHIU, THE NON-EXECUTIVE VICE CHAIRMAN AND A NON-EXECUTIVE DIRECTOR, AS A TOKEN OF APPRECIATION FOR HIS CONTRIBUTIONS TO THE COMPANY DURING HIS TENURE AS CHIEF EXECUTIVE OFFICER OF THE

**COMPANY BETWEEN 5 AUGUST 2011** 

AND 10 MAY 2017; AND (B) TO
AUTHORIZE ANY DIRECTOR OF THE
COMPANY (WITH THE EXCEPTION
OF DR. CHIU) BE AND IS HEREBY
AUTHORISED TO DO ALL ACTS ON
BEHALF OF THE COMPANY AS
HE/SHE MAY CONSIDER

NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF, OR IN CONNECTION WITH, THE

GRATUITY OF THE PROPOSED

PAYMENT TO DR. CHIU

Account Number	Account Name	Internal Ballot Custodian Shares	Unavailab Shares	ole Vote Date	Date Confirmed
GLQ	GLQ	STATE STREET 780,000 BANK	0	02-Jun-2017	19-Jun-2017
GLO	GLO	STATE STREET 1,960,000 BANK	0	02-Jun-2017	19-Jun-2017

#### INTRA-CELLULAR THERAPIES INC

46116X101

Security

Security	4011074101	wiceting Type	Timuai
Ticker Symbol	ITCI	Meeting Date	27-Jun-2017
ISIN	US46116X1019	Agenda	934621978 - Management
Record Date	28-Apr-2017	Holding Recon Date	28-Apr-2017
a: / a	, United	Vote Deadline	26.1 2017

Meeting Type

Annual

City / Country / United Vote Deadline States Date 26-Jun-2017

SEDOL(s) Quick Code

ItemProposed<br/>byProposed<br/>VoteFor/Against<br/>Management

1. DIRECTOR Management

For

For

RICHARD LERNER, M.D.

TO RATIFY THE APPOINTMENT OF

2.	ERNST & YOUNG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.			agement F	gement For For			
3.	VOTE T	ROVE BY AN ADVISO THE COMPENSATION ( DEXECUTIVE OFFICE) OSED IN THE PROXY MENT.	OF OUR	agement F	or I	For		
Accoun Number	Δ	account Name	Internal Custodia Account	Ballot Shares	Unavai Shares	lable Vote Date	Date Confirmed	
997GL0	Q	GLQ	STATE STREET 997GLQ TRUST CO	Г 69,200	0	10-May-2017	28-Jun-2017	
997AL4	49	GLO BNP PLEDGE	STATE STREET 997AL 49 TRUST CO	Σ 210,500	0	10-May-2017	28-Jun-2017	
997AL:	53	GLO MS PLEDGE	STATE STREET BANK 997AL 3 TRUST CO	30,000	0	10-May-2017	28-Jun-2017	
997AL6	51	GLQ MS PLEDGE	STATE STREET BANK 997AL61 TRUST CO	25,000	0	10-May-2017	28-Jun-2017	
NINTE	NDO CO.	,LTD.						
Security	y	J51699106		N	leeting Type	Annual Gene	eral Meeting	
Ticker S	Symbol			N	leeting Date	29-Jun-2017	29-Jun-2017	
ISIN		JP3756600007		A	genda	708274446 -	708274446 - Management	
Record	Date	31-Mar-2017			Iolding Recon	31-Mar-2017		

City /	Country	KYOTO / Ja	apan		Vote Do Date	eadline	21-Jun-2017	
SEDOI	L(s)	5334209 - 6639550 - B02JMD1 - B0ZGTW7 BRTM8H8	7 -		Quick (	Code	79740	
Item	Proposa	1		Proposed by	ote		gainst gement	
	Please r	eference meeting materia	ls.	Non-Voting				
1	Approv	e Appropriation of Surplu	ıs	Managemen	t For	Fo	r	
2.1		t a Director except as Sup ttee Members Kimishima	•	Managemen	at For	Fo	r	
2.2		t a Director except as Supttee Members Miyamoto,	-	Managemen	at For	Fo	r	
2.3		t a Director except as Sup ttee Members Takahashi,	•	Managemen	at For	Fo	r	
2.4		t a Director except as Sup ttee Members Furukawa,	•	Managemen	at For	Fo	r	
2.5		t a Director except as Supttee Members Shiota, Ko	ervisory	Managemen	t For	Fo	r	
Accour Numbe		Account Name	Internal Accoun	Jistoqian	ot es	Unavaila Shares	ble Vote Date	Date Confirmed
GLV		GLV	S	TATE TREET 1,800 SANK	)	0	07-Jun-2017	28-Jun-2017
GLQ		GLQ	S	TATE TREET 5,700 SANK	)	0	07-Jun-2017	28-Jun-2017
GLO		GLO	S	TATE TREET 14,80 SANK	00	0	07-Jun-2017	28-Jun-2017

#### **SIGNATURE**

Pursuant to the requirements of the Investment Company Act of 1940, the Registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Clough Dividend and Income Fund

By: /s/ Edmund J. Burke Edmund J. Burke

President

Date: August 23, 2017