

Walker Sean N
Form 3
February 05, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Walker Sean N

(Last) (First) (Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

02/01/2019

3. Issuer Name and Ticker or Trading Symbol
GENERAL MILLS INC [GIS]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

NUMBER ONE GENERAL
MILLS BLVD

(Street)

MINNEAPOLIS, MN 55426

(City) (State) (Zip)

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
Group President

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

63,094.607

D

À

Common Stock

218.63

I

By Trust ⁽¹⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Phantom Stock	Â (2)	Â (2)	Common Stock	14,462	\$ (2)	D	Â
Non-Qualified Stock Option (right to buy)	06/28/2015	07/28/2021	Common Stock	26,337	\$ 37.21	D	Â
Non-Qualified Stock Option (right to buy)	06/28/2014	07/28/2020	Common Stock	33,690	\$ 37.4	D	Â
Non-Qualified Stock Option (right to buy)	06/26/2016	07/26/2022	Common Stock	29,489	\$ 38.15	D	Â
Non-Qualified Stock Option (right to buy)	06/26/2022	07/26/2028	Common Stock	43,422	\$ 46.06	D	Â
Non-Qualified Stock Option (right to buy)	06/25/2017	07/25/2023	Common Stock	27,157	\$ 48.33	D	Â
Non-Qualified Stock Option (right to buy)	06/24/2018	07/24/2024	Common Stock	20,019	\$ 53.7	D	Â
Non-Qualified Stock Option (right to buy)	06/27/2021	07/27/2027	Common Stock	36,023	\$ 55.52	D	Â
Non-Qualified Stock Option (right to buy)	06/30/2019	07/31/2025	Common Stock	25,872	\$ 55.72	D	Â
Non-Qualified Stock Option (right to buy)	06/21/2020	07/21/2026	Common Stock	30,066	\$ 66.52	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Walker Sean N NUMBER ONE GENERAL MILLS BLVD MINNEAPOLIS, MN 55426	Â	Â	Â Group President	Â

Signatures

/s/ Christopher A. Rauschl For: Sean N.
Walker

02/05/2019

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Held in Trust by the Trustee of the General Mills Savings Plan.

(2)

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Each common stock unit represents the right to receive the cash value of one share of General Mills, Inc. common stock. The reporting person deferred the receipt of a cash bonus and salary under the 2011 Stock Compensation Plan into common stock units, which are payable in cash at the end of the deferral period. The reporting person may transfer their common stock unit investment into an alternative investment at any time.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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