Edgar Filing: BERKELEY ALFRED R III - Form 4

BERKELEY Form 4	ALFRED R III											
March 07, 2	012											
FORM	ЛЛ									OMB AF	PROVAL	
	UNITED	STATES				AND EX , D.C. 20		ANGE CO	OMMISSION	OMB Number:	3235-0287	
Check th if no lon	20 7									Expires:	January 31, 2005	
subject to Section 7 Form 4 c	SIAIE 16.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHI SECURITIES								Estimated average burden hours per response		
Form 5 obligations may continue.Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 19401(b).												
(Print or Type	Responses)											
BERKELEY ALFRED R III Symb			Symbol						5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Che					(Check	ck all applicable)			
(Month			(Month/I	Month/Day/Year) 3/02/2012				·	_X_ Director 10% Owner Officer (give title Other (specify below)			
NEW YOR	(Street) K, NY 10271		4. If Ame Filed(Mo			ate Origin r)	al		6. Individual or Joi Applicable Line) _X_ Form filed by Oi Form filed by Mo Person	ne Reporting Per	son	
(City)	(State)	(Zip)	Tab	le I - N	on-l	Derivative	e Secu		ired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	f 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if			3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or				cquired (A) (D)	· • •	ies Ownership Indi cially Form: Ben Direct (D) Own ing or Indirect (Inst ed (I) ction(s) (Instr. 4)		
Common	03/02/2012			Code M	V	Amount 2,600	(D) A	Price \$ 26.6	16,530	D		
Stock	03/02/2012			IVI		2,000	A	\$ 20.0	10,550	D		
Common Stock	03/02/2012			S		2,600	D	\$ 38.52	13,930	D		
Common Stock	03/05/2012			М		7,400	A	\$ 26.6	21,330	D		
Common Stock	03/05/2012			S		7,400	D	\$ 37.6053	13,930	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration D (Month/Day,	ate Underlying		Securitie
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amoun or Numbe of Shares
Non-Qualified Stock Option (right to buy)	\$ 26.6	03/02/2012		М	2,600	(2)	09/06/2017	Common Stock	2,60
Non-Qualified Stock Option (right to buy)	\$ 26.6	03/05/2012		М	7,400	(2)	09/06/2017	Common Stock	7,40

Reporting Owners

Reporting Owner Name / Address	Relationships							
1 8	Director	10% Owner	Officer	Other				
BERKELEY ALFRED R III 120 BROADWAY SUITE 3350 NEW YORK, NY 10271	Х							
Signatures								
By: /s/ Kathryn A. Ekeler, Attorney in Fact For: Alfred R.								

By: /s/ Kathryn A. Ekeler, Attorney in Fact For: Alfrec Berkeley III

03/06/2012

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

**Signature of Reporting Person

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The sale price ranged from \$37.55 to \$37.63, with a weighted average sale price of \$37.605268. The reporting person hereby undertakes
 (1) to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

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The options were granted pursuant to the Company's 2005 Equity and Performance Incentive Plan, as amended. The options vested on the

(2) day immediately prior to the date of the next annual meeting of stockholders of the Company following the date of grant, September 6, 2007. The options reported as exercised herin were vested prior to exercise.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.