## Edgar Filing: ROCKWELL AUTOMATION INC - Form 4/A

|   | _aga: 11   |   |  |                              |                                |   |   |                          |  |
|---|--|---|--|------------------------------|--------------------------------|---|---|--------------------------|--|
| ROCKWELI<br>Form 4/A<br>May 04, 200   | L AUTOMATION INC   |   |  |                              |                                |   |   |                          |  |
| <b>FORN</b><br>Check thi<br>if no long<br>subject to<br>Section 1<br>Form 4 o<br>Form 5<br>obligation<br>may cont<br><i>See</i> Instru<br>1(b). | <b>14</b> UNITED STATE<br>is box<br>ger<br>6.<br>7<br>6.<br>7<br>7<br>8<br>7<br>8<br>8<br>8<br>8<br>8<br>8<br>8<br>8<br>8<br>9<br>9<br>9<br>9<br>9<br>9<br>9 | Section 16(a) of the                                      | , D.C. 205<br>BENEFI<br>RITIES<br>ne Securiti<br>Iding Com | 549<br>CIAI<br>es Ex<br>pany | L OWN<br>tchange<br>Act of     | NERSHIP OF<br>e Act of 1934,<br>1935 or Sectior   | OMB<br>Number:<br>Expires:<br>Estimated a<br>burden hour<br>response    |                          |  |
| (Print or Type F  | Responses)   |   |  |                              |                                |   |   |                          |  |
| 1. Name and A<br>COHN JOH   | ddress of Reporting Person <u>*</u><br>N D   | 2. Issuer Name <b>an</b><br>Symbol<br>ROCKWELL A<br>[ROK] |  |                              | -                              | 5. Relationship of<br>Issuer<br>(Check  | Reporting Pers  |                          |  |
| (Last)<br>1201 SOUT   | (First) (Middle)   | 3. Date of Earliest T<br>(Month/Day/Year)<br>04/30/2007   | ransaction   |                              |                                | Director<br>Officer (give t<br>below)   |   | Owner<br>r (specify      |  |
| MILWAUK   | (Street)<br>EE, WI 53204   | 4. If Amendment, D<br>Filed(Month/Day/Yea<br>05/01/2007   | -  |                              |                                | <ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting Person</li> </ul> |   |                          |  |
| (City)  | (State) (Zip)  | Table I - Non-  | Derivative S   | Securit                      | ties Acqu                      | uired, Disposed of,   | , or Beneficial   | y Owned                  |  |
| 1.Title of<br>Security<br>(Instr. 3)  | 2. Transaction Date 2A. De<br>(Month/Day/Year) Executi<br>any<br>(Month  | emed 3.<br>on Date, if Transacti                          | 4. Securiti<br>or(A) or Dis<br>(Instr. 3, 4                | es Acc<br>posed              | quired<br>of (D)<br>)<br>Price | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)  | 6.<br>Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect |  |
| Common<br>Stock   | 04/30/2007   | М   | 10,000<br>(1)  | A                            | \$<br>27.75                    | 26,142  | D   |                          |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5.<br>orNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     |                    | Amou<br>Under<br>Secur | le and<br>int of<br>rlying<br>ities<br>. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owna<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|--|---|---------------------|--------------------|------------------------|---|---|--|
|   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title                  | Amount<br>or<br>Number<br>of<br>Shares            |   |  |

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## **Reporting Owners**

| <b>Reporting Owner Name / Address</b>                          | Relationships |           |                    |       |  |  |  |
|--|---------------|-----------|--------------------|-------|--|--|--|
|  | Director      | 10% Owner | Officer            | Other |  |  |  |
| COHN JOHN D<br>1201 SOUTH SECOND STREET<br>MILWAUKEE, WI 53204 |               |           | Sr. Vice President |       |  |  |  |
| Signatures   |               |           |                    |       |  |  |  |
| Karen A. Balistreri, Attorney-in-Fa<br>Cohn                    | ct for Joh    | n D.      | 05/04/2007         |       |  |  |  |
| **Signature of Reporting Perso                                 | n             |           | Date               |       |  |  |  |

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On May 1, 2007, the reporting person filed a Form 4 reporting an option exercise and sale pursuant to a Rule 10b5-1 plan. The exercise (1) and sale did not in fact occur pursuant to a plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.