### Edgar Filing: ROCKWELL AUTOMATION INC - Form 4

| ROCKWELI<br>Form 4<br>October 04, 2   | L AUTOMATIO                          | ON INC   |                                   |  |  |                     |                         |  |  |                      |  |  |
|---|--------------------------------------|--|-----------------------------------|--|--|---------------------|-------------------------|--|--|----------------------|--|--|
| FORM  | 4                                    |  |                                   |  |  |                     |                         |  | OMB AF   | PROVAL               |  |  |
| Washington, D.C. 20549  |                                      |  |                                   |  |  | NGE C               | OMMISSION               | OMB<br>Number:   | 3235-0287  |                      |  |  |
| Check thi<br>if no long<br>subject to<br>Section 1<br>Form 4 of<br>Form 5<br>obligation<br>may cont<br><i>See</i> Instru<br>1(b). | 6.<br>Filed pu<br>Section 17         | MENT O<br>ursuant to S<br>7(a) of the<br>30(h) | Section 1                         | ITIES<br>e Securit<br>ling Con         | ies E<br>1pany                                   | xchange<br>y Act of | burden hour<br>response | ted average<br>hours per   |  |                      |  |  |
| (Print or Type F  | Responses)                           |  |                                   |  |  |                     |                         |  |  |                      |  |  |
| SPEER DAVID B Symbol<br>ROCK  |                                      |  | Symbol                            | r Name <b>and</b><br>WELL AU           |  |                     |                         | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)  |  |                      |  |  |
| (Last)<br>1201 SOUT   | (First)<br>H SECOND ST               | (Middle)                                       | 3. Date of<br>(Month/D<br>10/02/2 | -                                      | ansaction  |                     |                         | Director<br>Officer (give below)   |  | Owner<br>er (specify |  |  |
|   |                                      |  |                                   | endment, Da<br>nth/Day/Year            | -  | l                   |                         | <ul> <li>6. Individual or Joint/Group Filing(Check<br/>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul> |  |                      |  |  |
| MILWAUK   | EE, WI 33204                         |  |                                   |  |  |                     |                         | Person   |  |                      |  |  |
| (City)  | (State)                              | (Zip)  | Tabl                              | e I - Non-D                            | erivative  | Secur               | ities Acq               | uired, Disposed of   | , or Beneficial  | ly Owned             |  |  |
| 1.Title of<br>Security<br>(Instr. 3)  | 2. Transaction Da<br>(Month/Day/Year | r) Executio<br>any                             | n Date, if                        | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securi<br>on(A) or Di<br>(Instr. 3,<br>Amount | spose               | d of (D)                | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)   | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |                      |  |  |
| Common<br>Stock   | 10/02/2006                           |  |                                   | A <u>(1)</u>                           | 466  | A                   | \$<br>58.06             | 8,440  | D (2)  |                      |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5.<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     |                    | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|--|---|---------------------|--------------------|---|--|---|---|
|   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |   |

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## **Reporting Owners**

Relationships

10% Owner Officer

**Reporting Owner Name / Address** 

SPEER DAVID B 1201 SOUTH SECOND STREET MILWAUKEE, WI 53204

## Signatures

Karen A. Balistreri, Attorney-in-Fact for David B. Speer

\*\*Signature of Reporting Person

Date

10/03/2006

Other

# **Explanation of Responses:**

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares delivered as compensation for service as a director under the 2003 Directors Stock Plan.

Director

(2) 6,040 shares are held by company to implement restrictions on transfer unless and until certain conditions are met.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.