Edgar Filing: WESTERN ALLIANCE BANCORPORATION - Form 3/A

WESTERN ALLIANCE BANCORPORATION

Form 3/A March 16, 2015

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement WESTERN ALLIANCE BANCORPORATION [WAL] Ardrey J. Kelly Jr. (Month/Day/Year) 09/01/2011 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O WESTERN ALLIANCE 09/01/2011 (Check all applicable) BANCORPORATION, Â ONE E WASHINGTON STREET, STE 10% Owner Director 1400 _X__ Officer Other (give title below) (specify below) (Street) 6. Individual or Joint/Group SVP, Chief Accounting Officer Filing(Check Applicable Line) _X_ Form filed by One Reporting Person PHOENIX. AZÂ 85004 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (Instr. 5) Â Common Stock $12,500 \frac{(1)}{}$ D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative Security
2. Date Exercisable and Securities Underlying Conversion Ownership Operivative Security

Expiration Date Securities Underlying Operivative Security

Derivative Security

3. Title and Amount of Securities Underlying Operivative Security

The security of Exercise Operivative Security

Securities Underlying Operivative Security

The security of Exercise Security of Exercise Operivative Security

1. Title of Derivative Security

Securities Underlying Operivative Security

The securities Underlying Operivative Securities Un

Edgar Filing: WESTERN ALLIANCE BANCORPORATION - Form 3/A

(Instr. 4) Price of Derivative Derivative Security: Date **Expiration Title** Amount or Security Direct (D) Exercisable Number of or Indirect Shares (I) (Instr. 5)

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer

Ardrey J. Kelly Jr.

C/O WESTERN ALLIANCE BANCORPORATION ONE E WASHINGTON STREET, STE 1400 PHOENIX, AZÂ 85004

 \hat{A} \hat{A} \hat{A} SVP, Chief Accounting Officer \hat{A}

Other

Signatures

/s/ Dale Gibbons (Attorney-in-fact) 03/16/2015

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The number of shares beneficially owned by the Reporting Person immediately prior to becoming subject to Section 16 on 9/1/2011 was inadvertently stated as 20,500 shares on his initial Form 3 report filed on 9/7/2011 and as 18,362 shares on his amended Form 3/A report filed on 4/2/2014. Accordingly, an incorrect amount of securities beneficially owned was reported on subsequent filings by the Reporting Person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2