Edgar Filing: MERRIWEATHER ALFRED G - Form 4

| MERRIWEATH Form 4 April 10, 2019 | ER ALFRED G | | | | | | | | | |
|---|--|--|--|---|---|------|--|---|---|--|
| FORM 4 | 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Statement of CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the June transmit Company Act of 1940 | | | | | | | | Number: 3235-028 | |
| if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b). | | | | | | | | Expires: January 31, 2005 Estimated average burden hours per response 0.5 | | |
| (Print or Type Respo | onses) | | | | | | | | | |
| 1. Name and Addres MERRIWEATH | Name and Ticker or Trading Pharmaceuticals Inc | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | |
| (Last) C/O ADAMAS PHARMACEUT POWELL ST., S | Earliest Transaction ay/Year))19 | | | | Director 10% Owner Officer (give title Other (specify below) below) Chief Financial Officer | | | | | |
| (| ndment, Date Original th/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | | |
| EMERYVILLE, | | | | | | | Person | lore than One Re | porting | |
| | (State) (Zip | Table | | | | _ | uired, Disposed of | | - | |
| | a | A. Deemed Execution Date, if ny Month/Day/Year) | 3. Transactio Code (Instr. 8) Code V | 4. Securiti n(A) or Dis (D) (Instr. 3, 4 Amount 25,000 | posed | of | Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Stock 04/ | /08/2019 | | A | <u>(1)</u> | А | \$ 0 | 49,603 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 5. Number of 6. Date Exercisable and 2 4. 7. Title and Amount of 8 Derivative Conversion (Month/Day/Year) Execution Date, if TransactionDerivative **Expiration Date Underlying Securities** Γ Security or Exercise any Code Securities (Month/Day/Year) (Instr. 3 and 4) S Price of (Month/Day/Year) (Instr. 8) (Instr. 3) Acquired (A) (Derivative or Disposed of Security (D) (Instr. 3, 4, and 5) Amount Expiration Date or Title Exercisable Date Number Code V (A) (D) of Shares Stock Option Common \$6.81 04/08/2019 50,000 (2) 04/07/2029 50,000 Α Stock (Right to Buy) **Reporting Owners** Relationships **Reporting Owner Name / Address** Director 10% Owner Officer Other MERRIWEATHER ALFRED G C/O ADAMAS PHARMACEUTICALS, INC. Chief Financial Officer 1900 POWELL ST., SUITE 1000 EMERYVILLE, CA 94608 Signatures /s/Jennifer Rhodes, as 04/10/2019 Attorney-in-Fact **Signature of Reporting Person Date

Edgar Filing: MERRIWEATHER ALFRED G - Form 4

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The shares of Common Stock are to be acquired upon the vesting of certain Restricted Stock Units granted to the Reporting Person. The
 (1) Restricted Stock Units shall vest as follows: 25% of the total number of Restricted Stock Units will vest on each of March 20, 2020, March 20, 2021, March 20, 2022 and March 20, 2023, subject to Reporting Person's Continuous Service as of each such date.

(2) The Option shares shall vest as follows: 1/48th of the option shares shall vest on the 1st of each month beginning with May 1, 2019, subject to Reporting Person's Continuous Service as of each such date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.