

Ketchum Steven B  
Form 4  
October 02, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Ketchum Steven B

(Last) (First) (Middle)

C/O AMARIN PHARMA,  
INC., 1430 ROUTE 206

(Street)

BEDMINSTER, NJ 07921

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

AMARIN CORP PLC\UK [AMRN]

3. Date of Earliest Transaction  
(Month/Day/Year)

09/28/2018

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_X\_\_ Officer (give title below) \_\_\_\_ Other (specify  
below) below)

Chief Scientific Officer

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3)               | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|-----------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
| Ordinary<br>Shares <sup>(1)</sup><br><sub>(2)</sub> | 09/28/2018                              |                                                             | M                                    | 200,000                                                                 | A \$<br>8.77                                                                                                       | 541,297                                                              | D <sup>(3)</sup>                                                  |
| Ordinary<br>Shares <sup>(1)</sup><br><sub>(2)</sub> | 09/28/2018                              |                                                             | S                                    | 100,000                                                                 | D \$ 15                                                                                                            | 441,297                                                              | D <sup>(3)</sup>                                                  |
| Ordinary<br>Shares <sup>(1)</sup><br><sub>(2)</sub> | 09/28/2018                              |                                                             | S                                    | 100,000                                                                 | D \$ 16                                                                                                            | 341,297                                                              | D <sup>(3)</sup>                                                  |
| Ordinary<br>Shares <sup>(1)</sup><br><sub>(2)</sub> | 10/01/2018                              |                                                             | M                                    | 100,000                                                                 | A \$<br>8.77                                                                                                       | 441,297                                                              | D <sup>(3)</sup>                                                  |

(2)

|                                             |            |   |       |   |            |         |              |
|---------------------------------------------|------------|---|-------|---|------------|---------|--------------|
| Ordinary<br>Shares <u>(1)</u><br><u>(2)</u> | 10/01/2018 | M | 2,771 | A | \$<br>2.95 | 444,068 | D <u>(3)</u> |
|---------------------------------------------|------------|---|-------|---|------------|---------|--------------|

|                                             |            |   |       |   |        |         |              |
|---------------------------------------------|------------|---|-------|---|--------|---------|--------------|
| Ordinary<br>Shares <u>(1)</u><br><u>(2)</u> | 10/01/2018 | M | 3,750 | A | \$ 2.5 | 447,818 | D <u>(3)</u> |
|---------------------------------------------|------------|---|-------|---|--------|---------|--------------|

|                                             |            |   |       |   |        |         |              |
|---------------------------------------------|------------|---|-------|---|--------|---------|--------------|
| Ordinary<br>Shares <u>(1)</u><br><u>(2)</u> | 10/01/2018 | M | 3,312 | A | \$ 2.5 | 451,130 | D <u>(3)</u> |
|---------------------------------------------|------------|---|-------|---|--------|---------|--------------|

|                                             |            |   |       |   |        |         |              |
|---------------------------------------------|------------|---|-------|---|--------|---------|--------------|
| Ordinary<br>Shares <u>(1)</u><br><u>(2)</u> | 10/01/2018 | M | 4,167 | A | \$ 2.5 | 455,297 | D <u>(3)</u> |
|---------------------------------------------|------------|---|-------|---|--------|---------|--------------|

|                                             |            |   |       |   |        |         |              |
|---------------------------------------------|------------|---|-------|---|--------|---------|--------------|
| Ordinary<br>Shares <u>(1)</u><br><u>(2)</u> | 10/01/2018 | M | 2,604 | A | \$ 1.4 | 457,901 | D <u>(3)</u> |
|---------------------------------------------|------------|---|-------|---|--------|---------|--------------|

|                                             |            |   |         |   |            |         |              |
|---------------------------------------------|------------|---|---------|---|------------|---------|--------------|
| Ordinary<br>Shares <u>(1)</u><br><u>(2)</u> | 10/01/2018 | S | 116,604 | D | \$<br>17.2 | 341,297 | D <u>(3)</u> |
|---------------------------------------------|------------|---|---------|---|------------|---------|--------------|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4, and<br>5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                               |                                  |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-----------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------|-------------------------------|----------------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V (A) (D)                                                                                                 | Date<br>Exercisable                                            | Expiration<br>Date                                                  | Title                         | Amount or<br>Number of<br>Shares |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 8.77                                                            | 09/28/2018                              |                                                             | M                                    | 200,000                                                                                                   | <u>(4)</u>                                                     | 03/01/2022                                                          | Ordinary<br>Shares <u>(2)</u> | 200,000                          |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 8.77                                                            | 10/01/2018                              |                                                             | M                                    | 100,000                                                                                                   | <u>(4)</u>                                                     | 03/01/2022                                                          | Ordinary<br>Shares <u>(2)</u> | 100,000                          |

|                                      |         |            |   |       |            |            |                               |       |
|--------------------------------------|---------|------------|---|-------|------------|------------|-------------------------------|-------|
| Stock<br>Option<br>(Right to<br>Buy) | \$ 2.95 | 10/01/2018 | M | 2,771 | <u>(5)</u> | 01/31/2027 | Ordinary<br>Shares <u>(2)</u> | 2,771 |
| Stock<br>Option<br>(Right to<br>Buy) | \$ 2.5  | 10/01/2018 | M | 3,750 | <u>(6)</u> | 07/06/2025 | Ordinary<br>Shares <u>(2)</u> | 3,750 |
| Stock<br>Option<br>(Right to<br>Buy) | \$ 2.5  | 10/01/2018 | M | 3,312 | <u>(6)</u> | 07/06/2025 | Ordinary<br>Shares <u>(2)</u> | 3,312 |
| Stock<br>Option<br>(Right to<br>Buy) | \$ 2.5  | 10/01/2018 | M | 4,167 | <u>(7)</u> | 07/06/2025 | Ordinary<br>Shares <u>(2)</u> | 4,167 |
| Stock<br>Option<br>(Right to<br>Buy) | \$ 1.4  | 10/01/2018 | M | 2,604 | <u>(8)</u> | 01/31/2026 | Ordinary<br>Shares <u>(2)</u> | 2,604 |

## Reporting Owners

| Reporting Owner Name / Address                                                        | Relationships |           |                          |       |
|---------------------------------------------------------------------------------------|---------------|-----------|--------------------------|-------|
|                                                                                       | Director      | 10% Owner | Officer                  | Other |
| Ketchum Steven B<br>C/O AMARIN PHARMA, INC.<br>1430 ROUTE 206<br>BEDMINSTER, NJ 07921 |               |           | Chief Scientific Officer |       |

## Signatures

/s/ Joseph T. Kennedy, by power of attorney  
10/02/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option exercises and sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.
- (2) The Ordinary Shares may be represented by American Depositary Shares, each of which currently represents one Ordinary Share.
- (3) Please see the section titled "Remarks" below for additional information.
- (4) The option granted to the Reporting Person is fully vested as of the date hereof.
- (5) On February 1, 2017, the Reporting Person was granted an option to purchase 133,000 Ordinary Shares under the Amarin Corporation plc 2011 Stock Incentive Plan (the "Plan"). The shares subject to this option shall vest and become exercisable in 48 equal monthly

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installments on the last day of each month that began on February 28, 2017.

- (6) On July 6, 2015, the Reporting Person was granted an option to purchase 180,000 Ordinary Shares under the Plan. The vesting of the shares is subject to certain performance milestone that was previously achieved. The shares subject to this option shall vest and become exercisable in 48 equal monthly installments on the last day of each month that began on July 31, 2015.
- (7) On July 6, 2015, the Reporting Person was granted an option to purchase 200,000 Ordinary Shares under the Plan. The shares subject to this option shall vest and become exercisable in 48 equal monthly installments on the last day of each month that began on July 31, 2015.
- (8) On February 1, 2016, the Reporting Person was granted an option to purchase 125,000 Ordinary Shares under the Plan. The shares subject to this option shall vest and become exercisable in 48 equal monthly installments on the last day of each month that began on February 29, 2016.

### Remarks:

In the case of a Change of Control (as defined in the Plan), the grants described in this Form 4 vest in full. As of the date of this

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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