ETTINGER RONALD S

Form 4 May 23, 2018

FORM 4

OMB APPROVAL OMB

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

VP - Human Resources

Ownership

(D) or Indirect (I)

(Instr. 4)

6. Individual or Joint/Group Filing(Check

X Form filed by One Reporting Person

10% Owner

Other (specify

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

Section 16.

Form 4 or Form 5 obligations See Instruction

may continue.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

ETTINGER RONALD S

(Last)

(City)

1. Title of

Security

(Instr. 3)

Common

Common

Stock

Stock

(First)

(Middle)

(Zip)

(Month/Day/Year)

2. Transaction Date 2A. Deemed

(Month/Day/Year) Execution Date, if

ONE QUAKER PARK, 901 E.

HECTOR STREET

(Street)

CONSHOHOCKEN, PA 19428-2380

(State)

05/21/2018

2. Issuer Name and Ticker or Trading

Symbol

QUAKER CHEMICAL CORP [KWR]

3. Date of Earliest Transaction

(Month/Day/Year) 05/21/2018

4. If Amendment, Date Original

Filed(Month/Day/Year)

3.

Form filed by More than One Reporting

Price

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of 4. Securities Acquired 6.

Issuer

below)

Director

Applicable Line)

Securities

Following Reported

Owned

Beneficially

_X__ Officer (give title

Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

(A) Code V Amount (D)

I D 1,034

157.15

(Instr. 3 and 4) $2,210^{(1)}$

Transaction(s)

Ι

By 401(k)

SEC 1474

(9-02)

7. Nature of

Ownership

(Instr. 4)

Indirect

Form: Direct Beneficial

4,966 (2) D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8) Derivative		e		Securit	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Own
	Security	eurity Acquired									Follo
	·			(A) or							Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
			4, and 5)								
									A		
									Amount		
				Date	Expiration		or				
						Exercisable Date	Title Number				
				C 1 W	(A) (D)				of		
				Code V	(A) (D)				Shares		

Reporting Owners

Relationships Reporting Owner Name / Address

> 10% Owner Officer Other Director

ETTINGER RONALD S ONE QUAKER PARK 901 E. HECTOR STREET CONSHOHOCKEN, PA 19428-2380

VP - Human Resources

Signatures

Victoria K. Gehris, Attorney-in-Fact for Ronald S. Ettinger

05/23/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Based on account information as of May 21, 2018 and obtained by reporting person from Plan Administrator.
- Includes 25 shares acquired under the Company's Employee Stock Purchase Plan between February 28, 2018 and April 30, 2018 and 1 **(2)** share acquired under the Company's Dividend Reinvestment Plan on April 30, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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