Simons Doyle Form 4 May 08, 2018

### FORM 4

#### **OMB APPROVAL**

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005 Estimated average

Form 5 obligations may continue.

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

burden hours per response... 0.5

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Simons Doyle

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Last)

(Middle)

FISERV INC [FISV]

(Month/Day/Year)

Filed(Month/Day/Year)

3.

(Instr. 8)

05/07/2018

(Check all applicable)

220 OCCIDENTAL AVENUE

(First)

3. Date of Earliest Transaction

X\_ Director Officer (give title

10% Owner Other (specify

SOUTH

(Street)

4. If Amendment, Date Original

Applicable Line)

below)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

SEATTLE, WA 98104

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)

(A)

or

5. Amount of Securities Beneficially Owned

7. Nature of 6. Ownership Indirect Form: Direct Beneficial (D) or Ownership Indirect (I) (Instr. 4)

Following Reported Transaction(s)

(Instr. 4) (Instr. 3 and 4)

D

Common Stock

05/07/2018

Price Code V Amount (D) \$ 12,248 M Α 12.75 (1)

56,974 (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

## Edgar Filing: Simons Doyle - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Stock Option (right to buy)	\$ 12.75 (1)	05/07/2018		M		12,248 (1)	05/20/2009(2)	05/21/2018	Common Stock	12,248 (1)
Stock Option (right to buy)	\$ 61.38 (1)						05/23/2018	05/24/2027	Common Stock	4,288 (1)
Stock Option (right to buy)	\$ 51.23 (1)						05/18/2017	05/18/2026	Common Stock	5,178 (1)
Stock Option (right to buy)	\$ 40.56 (1)						05/18/2016	05/20/2025	Common Stock	6,594 (1)
Stock Option (right to buy)	\$ 29.95 (1)						05/20/2015	05/28/2024	Common Stock	7,096 (1)
Stock Option (right to buy)	\$ 22.34						05/22/2014	05/22/2023	Common Stock	10,06 <sup>2</sup>
Stock Option (right to buy)	\$ 16.68 (1)						05/22/2013	05/23/2022	Common Stock	10,90 <sup>2</sup>
Stock Option (right to buy)	\$ 15.65 (1)						05/23/2012	05/25/2021	Common Stock	10,388 (1)
Stock Option (right to buy)	\$ 11.62 (1)						05/25/2011	05/26/2020	Common Stock	13,728 (1)

Stock

Option \$ 10.34 (1) (right to buy)

05/20/2010 05/20/2019

Common Stock

15,310

# **Reporting Owners**

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other Simons Doyle

X

220 OCCIDENTAL AVENUE SOUTH SEATTLE, WA 98104

**Signatures** 

/s/ Lynn S. McCreary 05/08/2018 (attorney-in-fact)

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Adjusted to reflect the two-for-one split of Fisery, Inc. common stock distributed at the close of business on March 19, 2018 to holders of **(1)** record on March 5, 2018.
- (2) This option vested in full on May 20, 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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