Edgar Filing: HASTINGS DAVID C - Form 4

HASTINGS	DAVID C											
Form 4	10											
March 12, 2018 FORM 4 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). March 12, 2018 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								OMB APPROVAL OMB 3235-0287 Number: January 31, 2005 Estimated average burden hours per response 0.5				
(Print or Type R	Responses)											
HASTINGS DAVID C Symbol			Symbol	er Name and Ticker or Trading EXIS INC [SCYX]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) 101 HUDSC 3610	(First)	(Middle) UITE	3. Date of (Month/D 03/08/20	•	ansaction			X Director Officer (give below)	10%	Owner er (specify		
			ndment, Date Original hth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person					
JERSEY CI	TY, NJ 07302							Form filed by M Person				
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	ecurit	ties Acq	uired, Disposed of	f, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Yea	r) Executio any	med n Date, if Day/Year)	3. Transactio Code (Instr. 8) Code V	4. Securit n(A) or Dis (Instr. 3, 4)	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common Stock	03/08/2018			Р	11,834	А	φ 1.69 (1)	31,834	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number prof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercis Expiration Dat (Month/Day/Y	e	7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Common Stock Warrant (right to buy)	\$ 1.85	03/08/2018		Р	8,875	03/08/2018	03/14/2019	Common Stock	8,875
Common Stock Warrant (right to buy)	\$ 2	03/08/2018		Р	5,325	03/08/2018	03/08/2023	Common Stock	5,325

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Reporting Owners

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other
HASTINGS DAVID C 101 HUDSON STREET SUITE 3610 JERSEY CITY, NJ 07302	Х			
Signatures				
/s/ Robert F. Joyce Jr., by Powe Attorney	er of	03	3/12/201	8

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reflects the purchase on March 8, 2018, of 11,834 shares of the issuer's common stock, par value \$0.001 per share, at a public offering
(1) price of \$1.69 per share of common stock and accompanying warrants to purchase 0.75 of a share of common stock (Series 1 warrant) and 0.45 of a share of common stock (Series 2 warrant).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.