Edgar Filing: KELLOGG W K FOUNDATION TRUST - Form 4/A

KELLOGG W K FOUNDATION TRUST

Form 4/A

December 14, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

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3235-0287

January 31, 2005

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Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Last)

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person * **KELLOGG W K FOUNDATION TRUST**

(First)

(Middle)

C/O THE NORTHERN TRUST COMPANY, 50 S. LASALLE

(Street)

4. If Amendment, Date Original

3. Date of Earliest Transaction

Filed(Month/Day/Year) 12/13/2017

KELLOGG CO [K]

(Month/Day/Year)

12/13/2017

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director _ 10% Owner Other (specify Officer (give title

below)

6. Individual or Joint/Group Filing(Check

7. Nature of

Indirect

Beneficial

Ownership

(Instr. 4)

Applicable Line)

Form filed by One Reporting Person X Form filed by More than One Reporting

6.

CHICAGO, IL 60603

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed 4. Securities Acquired (A) 5. Amount of 3. Transactionr Disposed of (D) Security (Month/Day/Year) Execution Date, if Securities (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially (Month/Day/Year) (Instr. 8) Owned (A) or Code V

Symbol

Common 12/13/2017 12/13/2017 S Stock

Amount (D) \$ 50,000 D

Price

66.0668

Following Reported Transaction(s) (Instr. 3 and 4)

Direct (D) or Indirect (I)

Ownership

Form:

(Instr. 4)

67,731,838 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

(1)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Titl	le and	8. Price of	9. Nu
Derivative	e Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration D	ate	Amou	int of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Secur	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	. 3 and 4)		Own
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						·
					4, and 5)						
									Amount		
						Date	Expiration	m: .1	or		
						Exercisable	Date	Title	Number		
				C 1 W	(A) (D)				of		
				Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
KELLOGG W K FOUNDATION TRUST C/O THE NORTHERN TRUST COMPANY 50 S. LASALLE CHICAGO, IL 60603		X					
KELLOGG W K FOUNDATION ONE MICHIGAN AVENUE EAST BATTLE CREEK, MI 49017		X					

Signatures

Craig R. Carberry, Associate General Counsel, The Northern Trust Company, as Corporate Trustee of the W.K. Kellogg Foundation Trust and signing for the W.K. Kellogg Foundation pursuant to a Power of Attorney dated August 30, 2017

12/14/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- 67,731,838 shares were owned following the reported transaction by the W.K. Kellogg Foundation Trust (the "Trust") of which LaJune **(1)** Montgomery Tabron, John Bryant, Roderick D. Gillum and The Northern Trust Company are Trustees and the W.K. Kellogg Foundation (the "Foundation") is the sole beneficiary.

Remarks:

The sales reported on this Form 4 were made pursuant to trading instructions given by the W.K. Kellogg Foundation Trust on

This Form 4/A is being filed to amend the Form 4 filed on December 13, 2017 to correct the following typographical errors:

- (1) Table 1, Item 4, Price to reflect 66.0668
- (2) Table 1, Item 5, Amount of Securities Beneficially Owned Following Reported Transactions to reflect footnote.
- (3) Table 1, Item 6, to remove footnote

Reporting Owners 2

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.