Miiller Ronald L Form 4 October 19, 2017

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \* Miiller Ronald L

(First)

(Middle)

Symbol COMMVAULT SYSTEMS INC

2. Issuer Name and Ticker or Trading

[CVLT]

(Street) Filed(Month/Day/Year)

10/16/2016 4. If Amendment, Date Original

(Month/Day/Year)

(Check all applicable) 3. Date of Earliest Transaction

Issuer

Person

Director 10% Owner \_X\_\_ Officer (give title ) \_ Other (specify below) SVP, Worldwide Sales

5. Relationship of Reporting Person(s) to

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

TINTON FALLS, NJ 07724

1 COMMVAULT WAY

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	, , ,		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock (1)	10/16/2016	10/16/2016	Code V A	Amount 5,668	(D)	Price \$ 0	130,117.968	D		
Common Stock (1)	05/13/2017	05/13/2017	A	6,445	A	\$0	81,521.968	D		
Common Stock (2)	05/23/2017	05/23/2017	A	12,216	A	<u>(3)</u>	88,335.968	D		
Common Stock (1)	08/13/2017	08/13/2017	A	888	A	\$ 0	87,872.968	D		
Common Stock (1)	10/15/2017	10/15/2017	A	5,552	A	\$ 0	92,411.968	D		

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Common Stock (4)	10/17/2017	10/17/2017	F	6,556	D	\$ 59.23 (5)	85,855.968	D
Common Stock (4)	10/18/2017	10/18/2017	F	1,065	D	\$ 59.34 (5)	84,790.968	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	of See Add (A Di of (In	umber		ate	7. Tit Amou Under Secur (Instr	int of rlying	8. Price of Derivative Security (Instr. 5)
				Code	V (A	A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships
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Director 10% Owner Officer Other

Miiller Ronald L

1 COMMVAULT WAY SVP, Worldwide Sales

TINTON FALLS, NJ 07724

### **Signatures**

Warren H. Mondschein, Attorney-in-Fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 2

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- (1) These shares represent vesting of previously granted performance share units.
- (2) The common stock is being granted as restricted stock units and is subject to a 3-year vesting schedule, as follows: 1/3 on the first anniversary of the grant with the remaining portion vesting in equal quarterly installments thereafter.
- (3) Not applicable.
- (4) This sale of common stock was made to satisfy certain tax withholding obligations resulting from the vesting of restricted stock units.
- (5) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$59.20 to \$59.90 inclusive.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.