Edgar Filing: Pendrell Corp - Form 4/A

Pendrell Cor Form 4/A	р											
December 0	1, 2016											
FORM	14								OMB A	PPROVAL		
	UNITED	STATES		RITIES A shington			ANGE C	OMMISSION	OMB Number:	3235-0287		
Check th if no long subject to Section 1 Form 4 o Form 5 obligatio may cont See Instr	F CHAN Section 1 Public U	NGES IN SECUF 6(a) of th tility Hol westment	January Expires: 20 Estimated average burden hours per response									
1(b).												
(Print or Type I	Responses)											
1. Name and Address of Reporting Person <u>*</u> MIKLES LEE E			Symbol	er Name and Il Corp [P		r Trad	ing	5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (I	Middle)		of Earliest T	-			(Chec	k all applicabl	e)		
				Day/Year) 2016				_X_ Director 10% Owner _X_ Officer (give title Other (specify below) below) President and CEO				
F				endment, Dannend, Dan 2016	-	al		 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person 				
(City)	(State)	(Zip)	Tah	le I - Non-I	Derivative	Secu	rities Aca	uired, Disposed of	or Beneficia	lly Owned		
1.Title of Security (Instr. 3)	Title of ecurity2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date,				4. Securi or(A) or Di (Instr. 3,	ties A ispose	cquired d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount		Price	(Instr. 3 and 4)		D		
Class A Common Stock (1) (2)	11/23/2016			S	5,500	D	\$ 6.4025 (3)	7,000	Ι	By revocable trust		
Class A Common Stock (1) (2)	11/25/2016			S	5,000	D	\$ 6.4227 (4)	2,000	I	By revocable trust		
Class A Common Stock (1) (2)	11/28/2016			S	2,000	D	\$ 6.3901 (5)	0	I	By revocable trust		
Class A Common								111,257 <u>(6)</u>	D			

Stock										
Class A Common Stock					12,579 <u>(</u>	<u>7)</u> I		By s	spouse	
Class A Common Stock (8)					8,610	Ι		By t for t bene child	he efit of	
Class A Common Stock					1,000	Ι				
Clsas A Common Stock					100	I		acco	odial ount for oenefit on	
Reminder: Report on a separate line fo	r each clas	ss of securities benef	Persor inform require	ns who res ation cont ed to respo ys a curre	or indirectly. spond to the c tained in this ond unless th ntly valid OMI	form are le form	not	SEC 14 (9-0		
Table I		ative Securities Acq outs, calls, warrants				wned				
1. Title of 2. 3. Transact	(e.g., p tion Date ty/Year)			5. onNumber of	securities) 6. Date Exercis Expiration Date (Month/Day/Ye	able and	7. Titl Amou Under Secur (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
1. Title of Derivative2.3. Transact (Month/Da or ExerciseSecurity (Instr. 3)or Exercise Price of Derivative	(e.g., p tion Date ty/Year)	3A. Deemed Execution Date, if any	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	securities) 6. Date Exercis Expiration Date (Month/Day/Yo	able and	Amou Under Secur (Instr.	int of lying ities	Derivative Security	Deriv Secur Bene Owne Follo Repo Trans
1. Title of Derivative2.3. Transact (Month/Da or ExerciseSecurity (Instr. 3)or Exercise Price of Derivative	(e.g., p ion Date y/Year)	3A. Deemed Execution Date, if any	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	securities) 6. Date Exercis Expiration Date (Month/Day/Yo	able and e ear) Expiration	Amou Under Secur (Instr.	Amount or Number of	Derivative Security	Deriv Secur Bene Owne Follo Repo Trans
1. Title of 2. Conversion Security or Exercise (Instr. 3) Price of Derivative Security Security Security Security 3. Transact (Month/Da	(e.g., p ion Date y/Year)	outs, calls, warrants 3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	securities) 6. Date Exercis Expiration Date (Month/Day/Yo	able and e ear) Expiration	Amou Under Secur (Instr.	Amount or Number of	Derivative Security	Deriv Secur Bene Owne Follo Repo Trans
1. Title of 2. 3. Transact Derivative Conversion (Month/Da Security or Exercise (Instr. 3) Price of Derivative Security	(e.g., p ion Date y/Year)	A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	securities) 6. Date Exercis Expiration Date (Month/Day/Yo	able and e ear) Expiration	Amou Under Secur (Instr.	Amount or Number of	Derivative Security	Deriv Secur Bene Owne Follo Repo Trans

MIKLES LEE E 2300 CARILLON POINT KIRKLAND, WA 98033

Signatures

/s/ Timothy M. Dozois, Attorney-in-Fact

12/01/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transaction was inadvertently reported on Form 4 filed on November 28, 2016 as a sale of shares from the reporting person's direct holdings rather than from the Lee E. Mikles Revocable Trust.
- In Forms 4 filed on March 13, 2015, March 18, 2015 and June 16, 2015, ownership of 125,000 pre-split shares of Class A Common Stock (2) (rather than 161,100 shares) should have been attributed to Mr. Mikles' revocable trust. Ownership of an additional 36,100 pre-split shares of Class A Common Stock should have been attributed to the trust for the benefit of Mr. Mikles' children.

Represents the weighted average sales price. The highest price at which shares were sold was \$6.45212 and the lowest price at which(3) shares were sold was \$6.375703. The reporting person undertakes to provide upon request to the staff of the Securities and Exchange Commission, the issuer or its shareholders, full information regarding the number of shares purchased or sold at each separate price.

Represents the weighted average sales price. The highest price at which shares were sold was \$6.435187 and the lowest price at which
(4) shares were sold was \$6.40387. The reporting person undertakes to provide upon request to the staff of the Securities and Exchange Commission, the issuer or its shareholders, full information regarding the number of shares purchased or sold at each separate price.

Represents the weighted average sales price. The highest price at which shares were sold was \$6.4262 and the lowest price at which(5) shares were sold was \$6.354. The reporting person undertakes to provide upon request to the staff of the Securities and Exchange Commission, the issuer or its shareholders, full information regarding the number of shares purchased or sold at each separate price.

- (6) Includes 7,941 shares of Class A Common Stock held in the reporting person's individual retirement account.
- (7) Includes 230 shares of Class A Common Stock held in the individual retirement account of the reporting person's spouse.

In Forms 4 filed on March 13, 2015, March 18, 2015 and June 16, 2015, ownership of 86,100 pre-split shares of Class A Common Stock (8) (rather than 50,000 shares) should have been attributed to the trust for the benefit of Mr. Mikles' children. Ownership of 36,100 pre-split shares of Class A Common Stock was inadvertently attributed to Mr. Mikles' revocable trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.