#### C H ROBINSON WORLDWIDE INC

Form 4 October 04, 2016

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB** Washington, D.C. 20549 Number:

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

Form 5

obligations

may continue.

See Instruction

(Print or Type Responses)

1. Name and Address of Reporting Person \* **SHORT BRIAN** 

(First)

2. Issuer Name and Ticker or Trading

Symbol

C H ROBINSON WORLDWIDE

INC [CHRW]

3. Date of Earliest Transaction

(Month/Day/Year) 09/30/2016

(Street)

(Middle)

Filed(Month/Day/Year)

3.

4. If Amendment, Date Original

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Officer (give title

Person

Issuer

below)

X\_ Director

EDEN PRAIRIE, MN 55347

14701 CHARLSON ROAD

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

Securities Beneficially Owned Following Reported

5. Amount of

6. Ownership 7. Nature of Form: Direct Indirect Beneficial (D) or Indirect (I) Ownership (Instr. 4) (Instr. 4)

10% Owner

Other (specify

**OMB APPROVAL** 

Expires:

response...

5. Relationship of Reporting Person(s) to

6. Individual or Joint/Group Filing(Check

(Check all applicable)

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

(A) Transaction(s) or (Instr. 3 and 4)

Code V Amount (D) Price

4. Securities

21,672 D

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: C H ROBINSON WORLDWIDE INC - Form 4

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exer<br>Expiration D<br>(Month/Day/ | ate                | 7. Title and Amount o<br>Underlying Securities<br>(Instr. 3 and 4) |  | 8. Pric<br>Deriva<br>Securi<br>(Instr. |
|---|---|---|---|---------------------------------------|---|---|--------------------|--|--|--|
|   |   |   |   | Code V                                | ŕ   | Date<br>Exercisable                         | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |  |
| Phantom<br>Stock<br>(Restricted<br>Stock<br>Units)  | (1)   | 09/30/2016                              |   | A                                     | 780<br>(2)  | (3)   | (3)                | Common<br>Stock  | 780                                    | <u>(2</u>                              |

## **Reporting Owners**

| Reporting Owner Name / Address    | Relationships |           |         |       |  |  |  |
|-----------------------------------|---------------|-----------|---------|-------|--|--|--|
| Topolong of the Fund of Fundament | Director      | 10% Owner | Officer | Other |  |  |  |
| SHORT BRIAN                       |               |           |         |       |  |  |  |
| 14701 CHARLSON ROAD               | X             |           |         |       |  |  |  |
| EDEN PRAIRIE, MN 55347            |               |           |         |       |  |  |  |

## **Signatures**

/s/ Troy Renner, Attorney-in-Fact for Brian
Short

\*\*Signature of Reporting Person
Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each phantom share/restricted stock unit will be paid in one share of common stock.
- Of the 780 restricted stock units, 443 were granted at no cost to the reporting person as a quarterly installment of the annual equity-based (2) award provided to each non-employee director, and 337 were granted at a price of \$70.46 per unit in connection with the reporting person's election to defer receipt of the most recent quarterly cash retainer payment.
- (3) Following the reporting person's termination of service as a director, vested restricted stock units become payable in shares of common stock according to the schedule previously chosen by the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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