Edgar Filing: Gevo, Inc. - Form 4

| Gevo, Inc. | | | | | | | | | | | |
|--------------------------|------------------------|-----------------------------|--------------|---|----------------------------|-----------|---------------------------------------|---|----------------------------|-------------------------|--|
| Form 4 | | | | | | | | | | | |
| August 15, 2 | 016 | | | | | | | | | | |
| FORM | 14 | | | | | ~ | | ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ | - | PPROVAL | |
| | • • UNITH | ED STATE | | ITIES A hington, | | | NGE (| COMMISSION | OMB Number: | 3235-0287 | |
| Check thi | | | | 0 | | | | | Expires: | January 31, | |
| if no long subject to | STAT | TEMENT O | F CHAN | GES IN I | IN BENEFICIAL OWNERSHIP OF | | | | • | 2005 2005 | |
| 5 | Section 16. SECURITIES | | | | | | Estimated average burden hours per | | | | |
| Form 4 or | | | | | | | | | response 0 | | |
| Form 5 obligation | | ^ | | | | | - | e Act of 1934, | | | |
| may cont | Section | | | • | • | · · | | f 1935 or Section | n | | |
| See Instru | uction | 30(n |) of the Inv | vestment | Compan | y Ac | t of 194 | +0 | | | |
| 1(b). | | | | | | | | | | | |
| (Print or Type F | Responses) | | | | | | | | | | |
| | | | | | | | | | | | |
| 1. Name and A | ddress of Report | ting Person [*] | 2. Issuer | Name and | Ticker or | Tradir | ıg | 5. Relationship of Reporting Person(s) to | | | |
| Roda Grego | Symbol | Symbol Gevo, Inc. [GEVO] | | | | Issuer | | | | | |
| | | | | | | Gevo, Ir | (Check all applicable) | | | | |
| (Last) | (First) | (Middle) | 3. Date of | Earliest Tr | ansaction | | | (Chee | k all applicable | 5) | |
| | | | (Month/D | (Month/Day/Year) | | | | Director 10% Owner | | | |
| | NESS DRIVE | | 08/12/20 |)16 | | | | X Officer (give below) | e title Other below) | er (specify | |
| SOUTH, BU | JILDING C, S | SUITE 310 | | | | | | · · · · · · · · · · · · · · · · · · · | ommercial Off | icer | |
| | (Street) | | 4. If Ame | ndment. Da | te Original | 1 | | 6. Individual or Jo | oint/Group Filin | 19(Check | |
| · / / · · · · · | | | | If Amendment, Date Original led(Month/Day/Year) | | | | Applicable Line) | | | |
| | | | | | | | | _X_ Form filed by C | 1 0 | | |
| ENGLEWO | OD, CO 801 | 12 | | | | | | Form filed by M Person | Iore than One Re | eporting | |
| (City) | (State) | (Zip) | Table | e I - Non-D | erivative | Securi | ities Acc | quired, Disposed of | f, or Beneficial | lly Owned | |
| 1.Title of | 2. Transaction | Date 2A. De | emed | 3. | 4. Securi | ties A | cquired | 5. Amount of | 6. Ownership | 7. Nature of | |
| Security | (Month/Day/Y | | on Date, if | Transaction | on(A) or D | ispose | d of | Securities | Form: Direct | | |
| (Instr. 3) | | any | | $\begin{array}{ccc} Code & (D) \\ (L + 2) & (L + 2 + 15) \end{array}$ | | | | Beneficially | | Beneficial | |
| | | (Month | /Day/Year) | (Instr. 8) (Instr. 3, 4 and 5) | | | 3) | Owned Following | Indirect (I) (Instr. 4) | Ownership (Instr. 4) | |
| | | | | | | () | | Reported | (| (| |
| | | | | | | (A) or | | Transaction(s) | | | |
| | | | | Code V | Amount | | Price | (Instr. 3 and 4) | | | |
| Common Stock | 08/12/2016 | | | S <u>(1)</u> | 16 | D | \$ 0.56 | 8,741 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Secur | int of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr |
|---|---|---|--|---|---------------------|--------------------|-------|--|---|--|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|---|---------------|-----------|--------------------------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| Roda Gregory 345 INVERNESS DRIVE SOUTH BUILDING C, SUITE 310 ENGLEWOOD, CO 80112 | | | Chief Commercial Officer | | | | | |
| Signatures | | | | | | | | |
| /s/ Geoff Williams, as Attorney in Fact | 08/15 | /2016 | | | | | | |
| **Signature of Reporting Person | Dat | te | | | | | | |
| Explanation of Respon | nses: | | | | | | | |

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares were sold to satisfy certain tax obligations of the reporting person triggered by the vesting of shares of restricted common stock. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted June 12, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.