#### AMARIN CORP PLC\UK

Form 4 July 13, 2016

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

3235-0287 Number: January 31, Expires: 2005

> 10% Owner Other (specify

0.5

**OMB APPROVAL** 

Estimated average burden hours per response...

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Zakrzewski Joseph S

2. Issuer Name and Ticker or Trading Symbol

AMARIN CORP PLC\UK [AMRN]

5. Relationship of Reporting Person(s) to

Issuer

below)

(Last)

(First)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 07/11/2016

(Check all applicable)

C/O AMARIN PHARMA, INC., 1430 ROUTE 206

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X\_ Director

Officer (give title

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(Instr. 4)

BEDMINSTER, NJ 07921

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

Code V Amount (D) Price

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I) Ownership

(Instr. 4)

(A) or

Reported Transaction(s) (Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if any

4. 5. Number of TransactionDerivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of **Underlying Securities** (Instr. 3 and 4)

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| (Instr. 3)                           | Price of<br>Derivative<br>Security |            | (Month/Day/Year) | (Instr. 8 | 3) | Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |     |                     |                    |                        |                                     |
|--------------------------------------|------------------------------------|------------|------------------|-----------|----|--|-----|---------------------|--------------------|------------------------|-------------------------------------|
|                                      |                                    |            |                  | Code      | V  | (A)  | (D) | Date<br>Exercisable | Expiration<br>Date | Title                  | Amount<br>or<br>Number<br>of Shares |
| Stock<br>Option<br>(right to<br>buy) | \$ 2.19                            | 07/11/2016 |                  | A         |    | 28,847   |     | <u>(1)</u>          | 07/11/2026         | Ordinary<br>Shares (2) | 28,847                              |
| Restricted<br>Stock<br>Units         | \$ 0 (3)                           | 07/11/2016 |                  | A         |    | 20,548   |     | <u>(4)</u>          | <u>(5)</u>         | Ordinary<br>Shares (2) | 20,548                              |

## **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |         |       |  |  |
|--|---------------|-----------|---------|-------|--|--|
| Reporting Owner Name / Address   | Director      | 10% Owner | Officer | Other |  |  |
| Zakrzewski Joseph S<br>C/O AMARIN PHARMA, INC., 1430 ROUTE 206<br>BEDMINSTER, NJ 07921 | X             |           |         |       |  |  |

### **Signatures**

/s/ Michael W. Kalb, by power of attorney 07/13/2016 \*\*Signature of Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- On July 11, 2016, the Reporting Person was granted an option to purchase 28,847 Ordinary Shares under the Amarin Corporation plc (1) 2011 Stock Incentive Plan (the "Plan"). The shares subject to this option shall vest and become exercisable in a single annual installment upon the earlier of (i) the anniversary of the grant date of July 11, 2016 or (ii) the Issuer's annual general meeting of shareholders in 2017.
- (2) The Ordinary Shares may be represented by American Depositary Shares, each of which currently represents one Ordinary Share.
  - Each Restricted Stock Unit ("RSU") represents a contingent right to receive one Ordinary Share or cash in lieu thereof at the Issuer's
- (3) discretion, with settlement to be made on a deferred basis, specifically upon the non-employee director's separation of service with the Issuer.
- On July 11, 2016, the Reporting Person was granted 20,548 RSUs under the Plan. The RSUs vest in equal annual installments over a (4) three-year period, with each installment vesting upon the earlier of (i) the anniversary of the grant date of July 11, 2016 or (ii) the Issuer's annual general meeting of shareholders in such year.
- (5) Not applicable.

#### **Remarks:**

In the event of a Change of Control (as defined in the Plan), each of the grants described in this Form 4 vests in full. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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